

(12) **United States Patent**  
**Monson et al.**

(10) **Patent No.:** **US 9,333,025 B2**  
(45) **Date of Patent:** **May 10, 2016**

(54) **BATTERY INITIALIZATION CLIP**

(71) Applicant: **Ethicon Endo-Surgery, Inc.**, Cincinnati, OH (US)

(72) Inventors: **Gavin M. Monson**, Oxford, OH (US); **Gregory A. Trees**, Loveland, OH (US); **Gordon J. Leather**, White Roding (GB); **David J. Stocks**, Melbourn (GB); **David I. Ruddenklau**, Bishopdale (NZ); **Robin M. Lee**, Linton (GB); **Rebecca A. Wilkins**, Essex (GB); **Wai T. Chan**, Longstanon (GB)

(73) Assignee: **Ethicon Endo-Surgery, LLC**, Los Frailes Industrial Park, Guaynabo, PR (US)

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 400 days.

(21) Appl. No.: **13/658,790**

(22) Filed: **Oct. 23, 2012**

(65) **Prior Publication Data**

US 2013/0131660 A1 May 23, 2013

**Related U.S. Application Data**

(60) Provisional application No. 61/550,768, filed on Oct. 24, 2011.

(51) **Int. Cl.**  
**A61B 18/12** (2006.01)  
**H02J 7/00** (2006.01)  
(Continued)

(52) **U.S. Cl.**  
CPC ..... **A61B 18/1206** (2013.01); **A61B 18/1233** (2013.01); **A61B 18/1445** (2013.01);  
(Continued)

(58) **Field of Classification Search**  
CPC ..... A61B 18/1206; A61B 18/1233; A61B 18/1445; A61B 2018/00196; A61B

2018/00595; A61B 2018/00642; A61B 2018/00702; A61B 2018/0072; A61B 2018/00726; A61B 2018/00732; A61B 2018/00767; A61B 2018/00827; A61B 2018/00875; A61B 2018/00892; A61B 2018/00916; A61B 2018/00922; A61B 2018/1226; A61B 2018/1286; A61B 2018/1455

See application file for complete search history.

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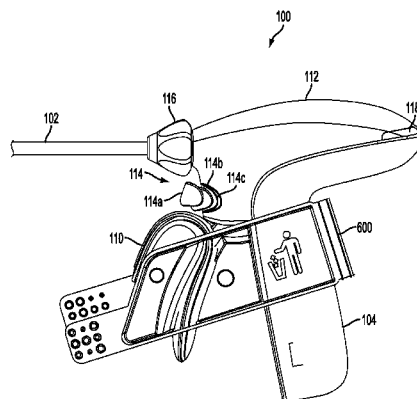
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*Primary Examiner* — Michael Peffley  
*Assistant Examiner* — Daniel Fowler

(57) **ABSTRACT**

A medical instrument is disclosed. The medical instrument includes a housing, a control lever rotatably coupled to the housing, at least one electrical contact, a radio frequency (RF) generation circuit coupled to and operated by the battery and operable to generate an RF drive signal and to provide the RF drive signal to the at least one electrical contact and an initialization clip coupled to housing and the control lever to prevent operation of the RF generation circuit and movement of the control lever.

**21 Claims, 39 Drawing Sheets**



- (51) **Int. Cl.**  
*A61B 18/18* (2006.01)  
*F16B 2/20* (2006.01)  
*A61B 18/14* (2006.01)  
*A61B 18/00* (2006.01)
- (52) **U.S. Cl.**  
 CPC . *A61B18/18* (2013.01); *F16B 2/20* (2013.01);  
*H02J 7/00* (2013.01); *A61B 2018/0072*  
 (2013.01); *A61B 2018/00196* (2013.01); *A61B*  
*2018/00595* (2013.01); *A61B 2018/00642*  
 (2013.01); *A61B 2018/00702* (2013.01); *A61B*  
*2018/00726* (2013.01); *A61B 2018/00732*  
 (2013.01); *A61B 2018/00767* (2013.01); *A61B*  
*2018/00827* (2013.01); *A61B 2018/00875*  
 (2013.01); *A61B 2018/00892* (2013.01); *A61B*  
*2018/00916* (2013.01); *A61B 2018/00922*  
 (2013.01); *A61B 2018/1226* (2013.01); *A61B*  
*2018/1286* (2013.01); *A61B 2018/1455*  
 (2013.01); *Y10T 74/20666* (2015.01)
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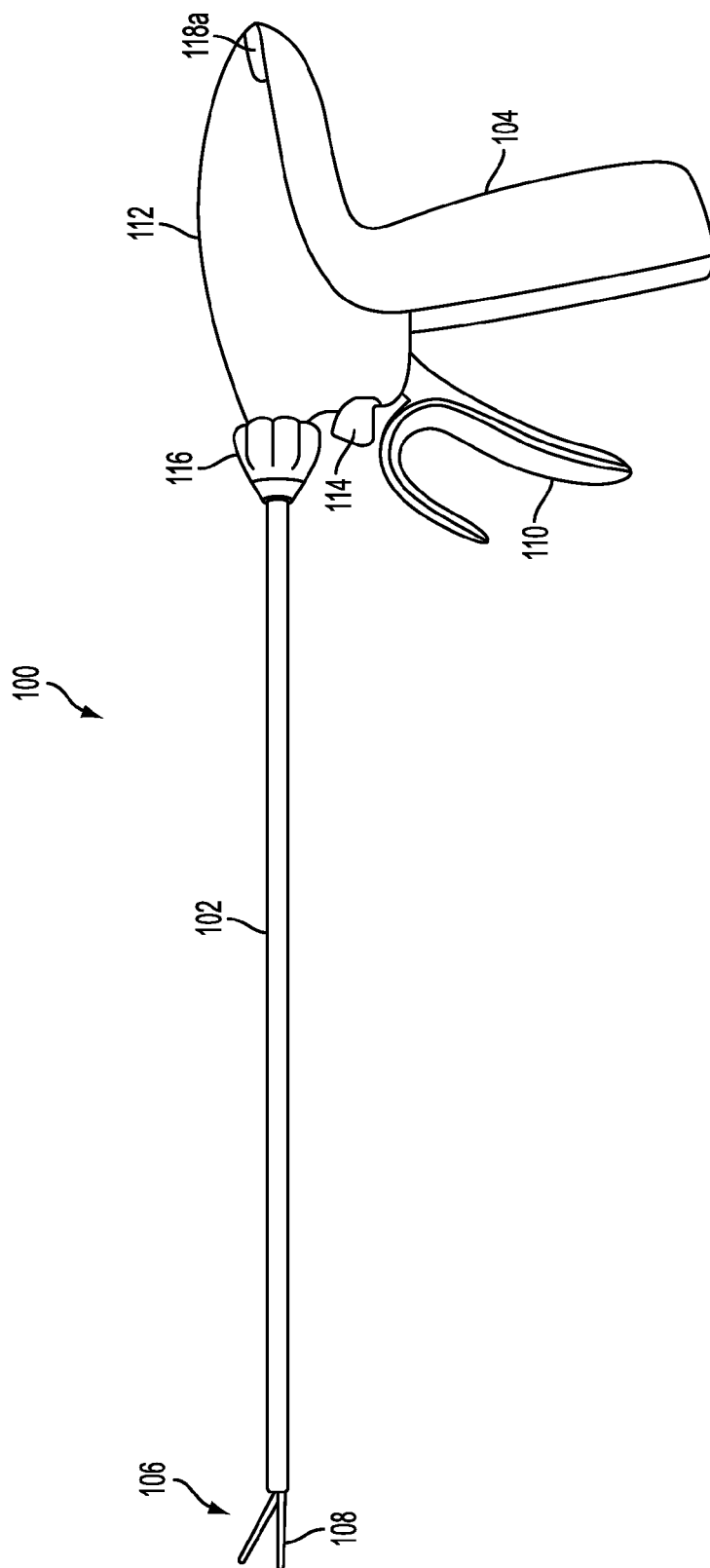


FIG. 1

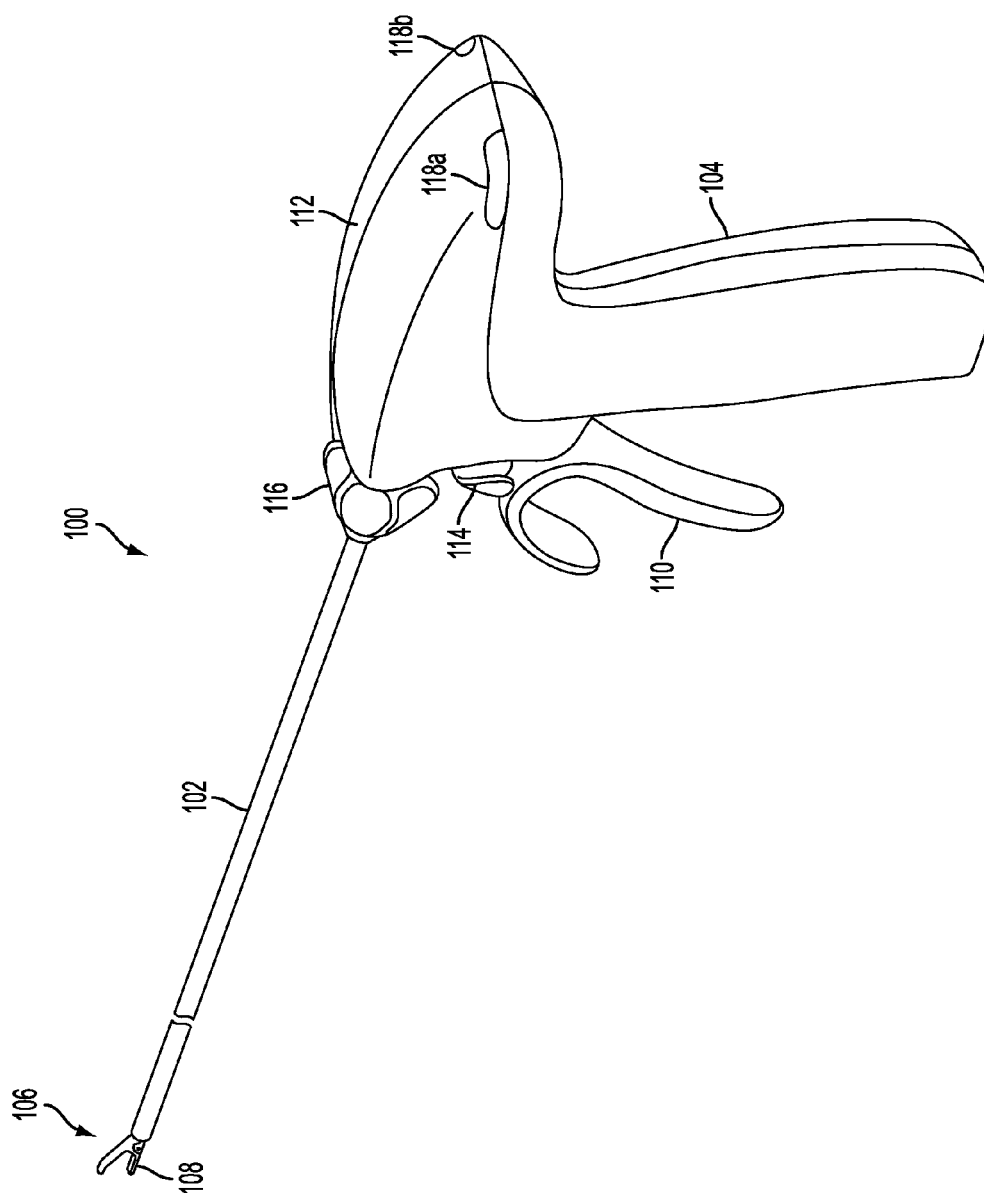


FIG. 2



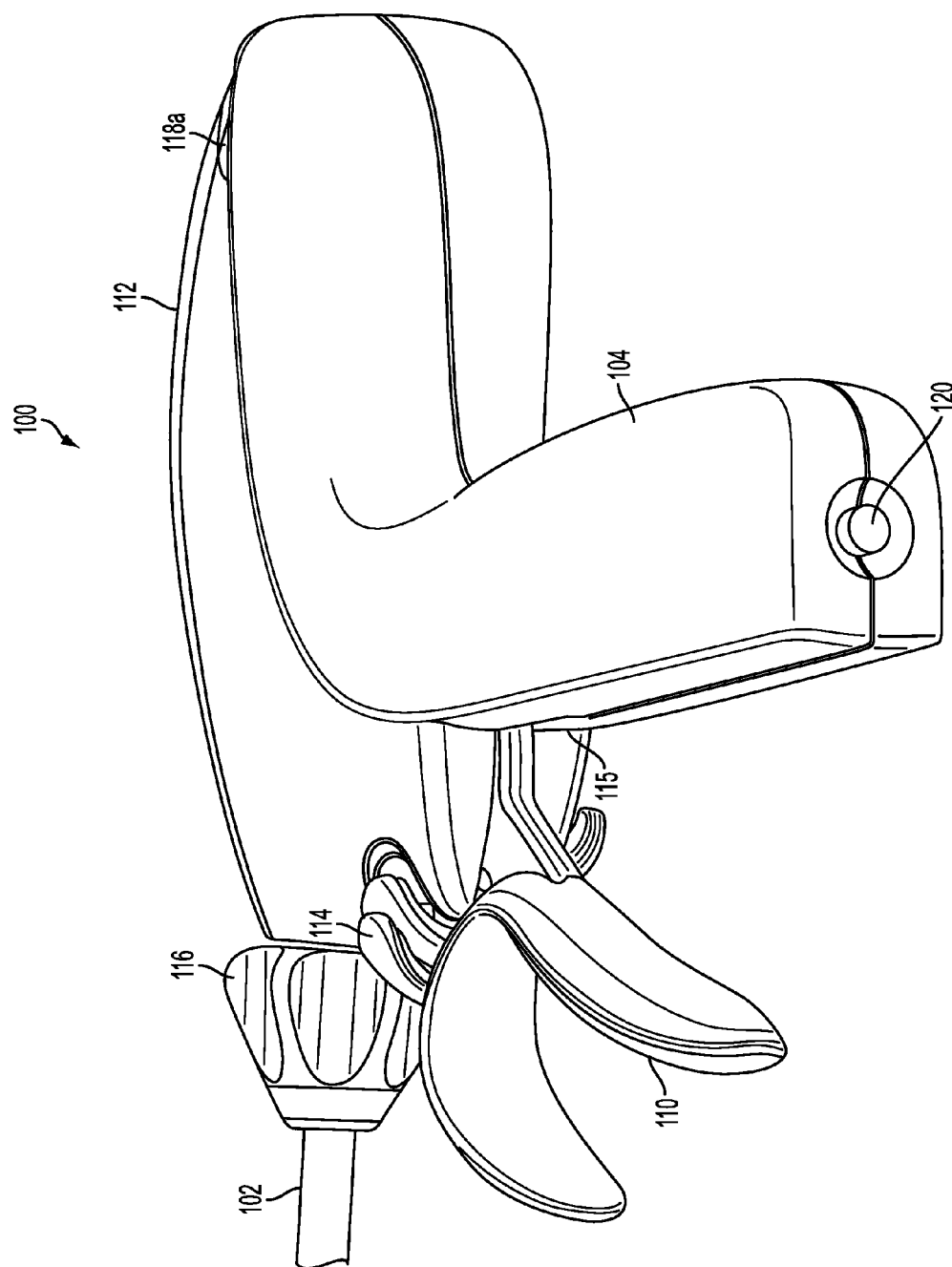


FIG. 3

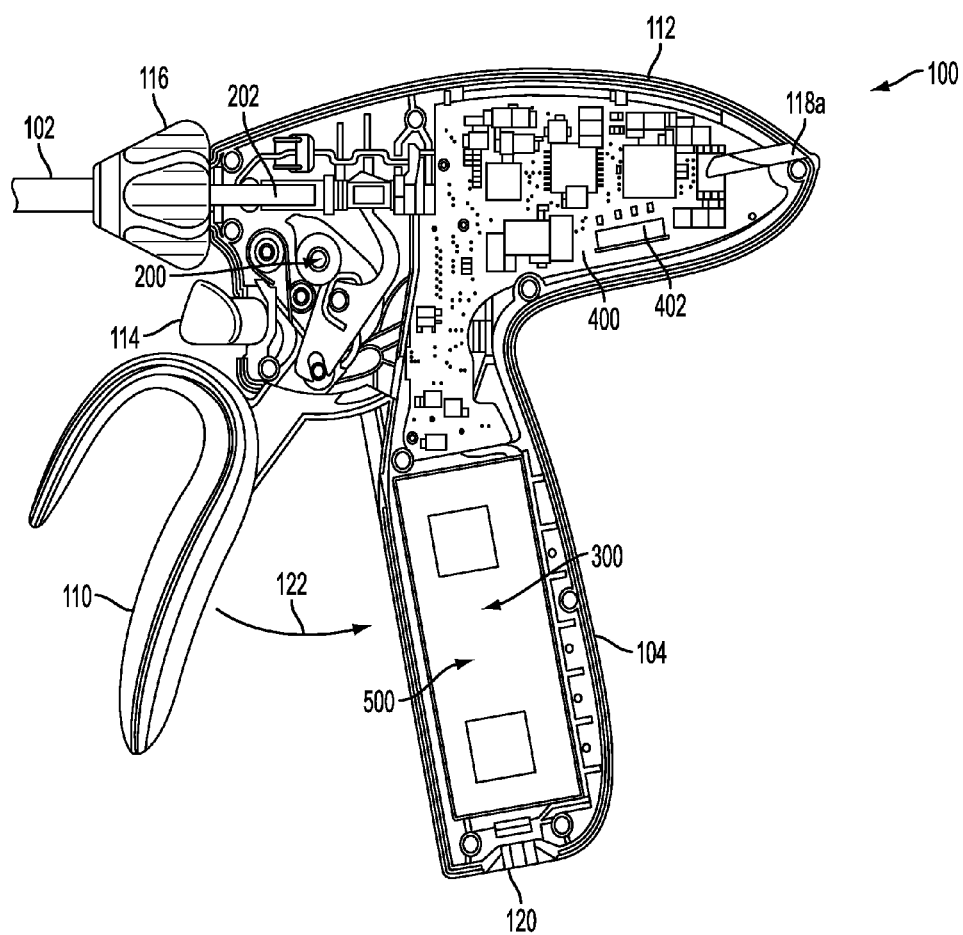
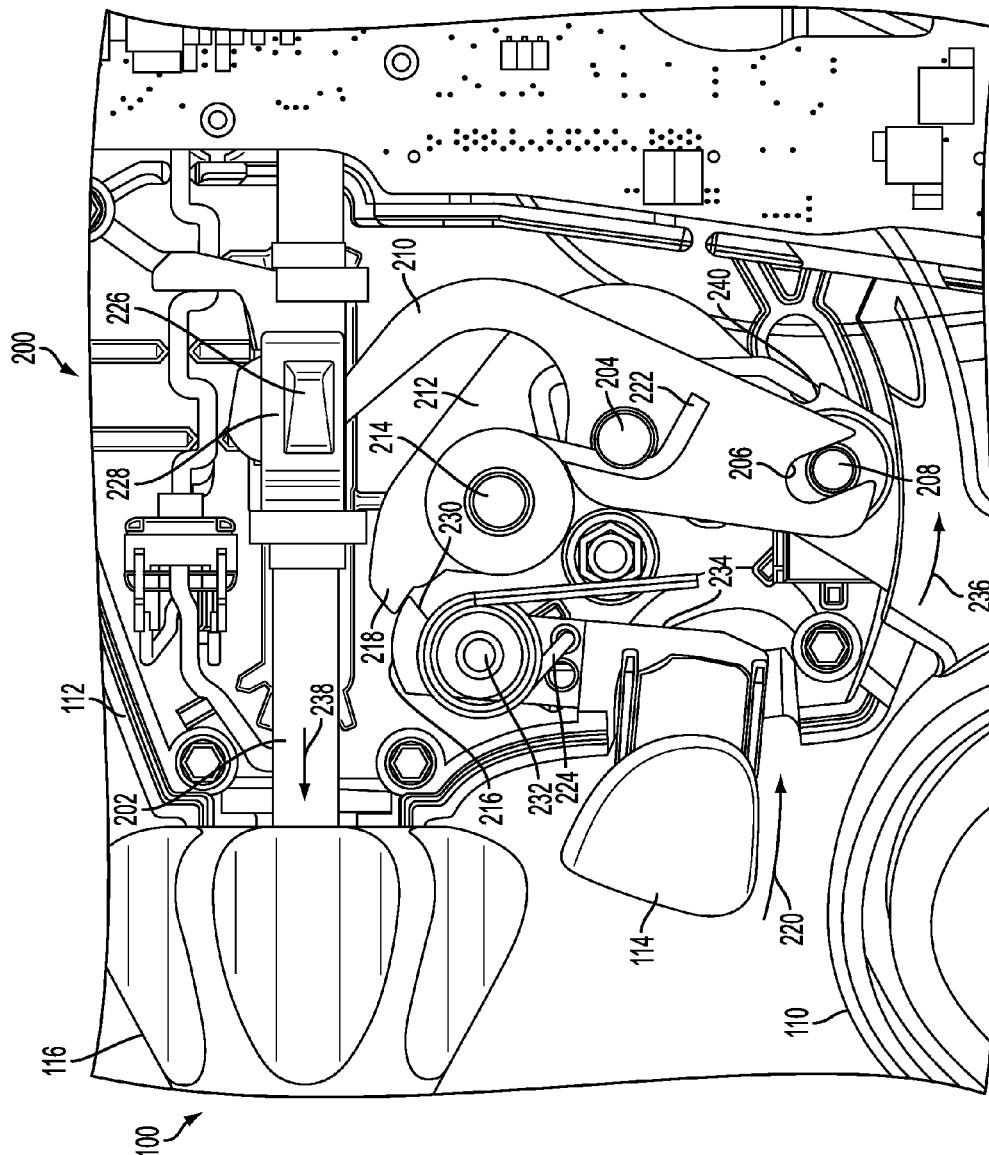


FIG. 4



**FIG. 5**

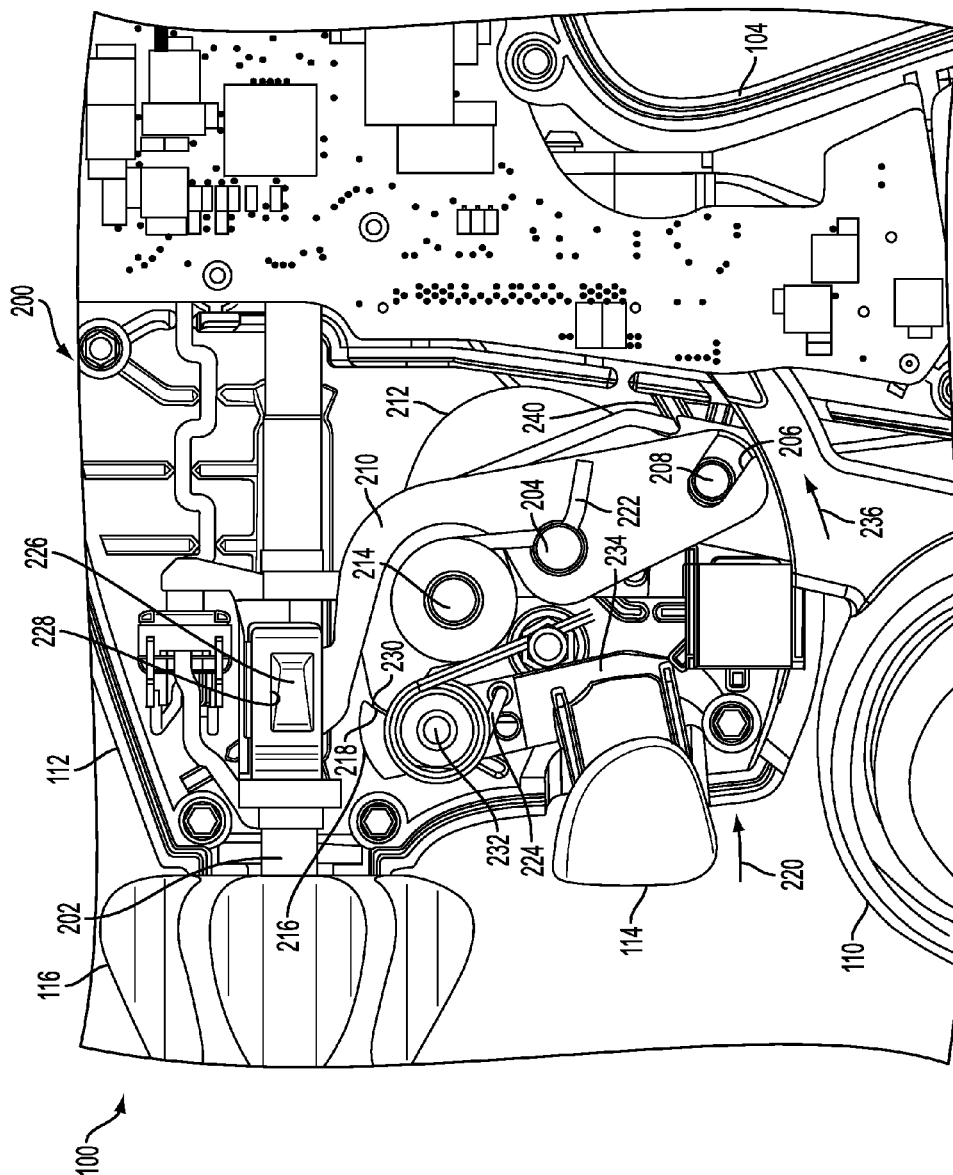


FIG. 6

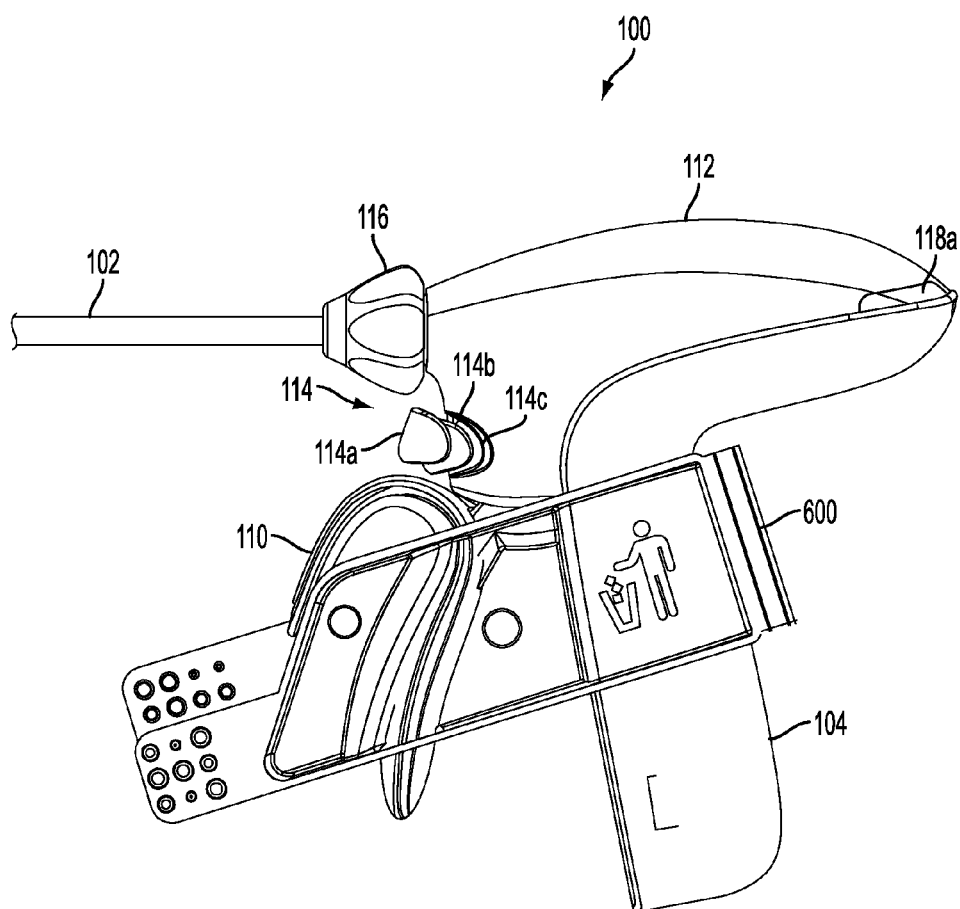


FIG. 7

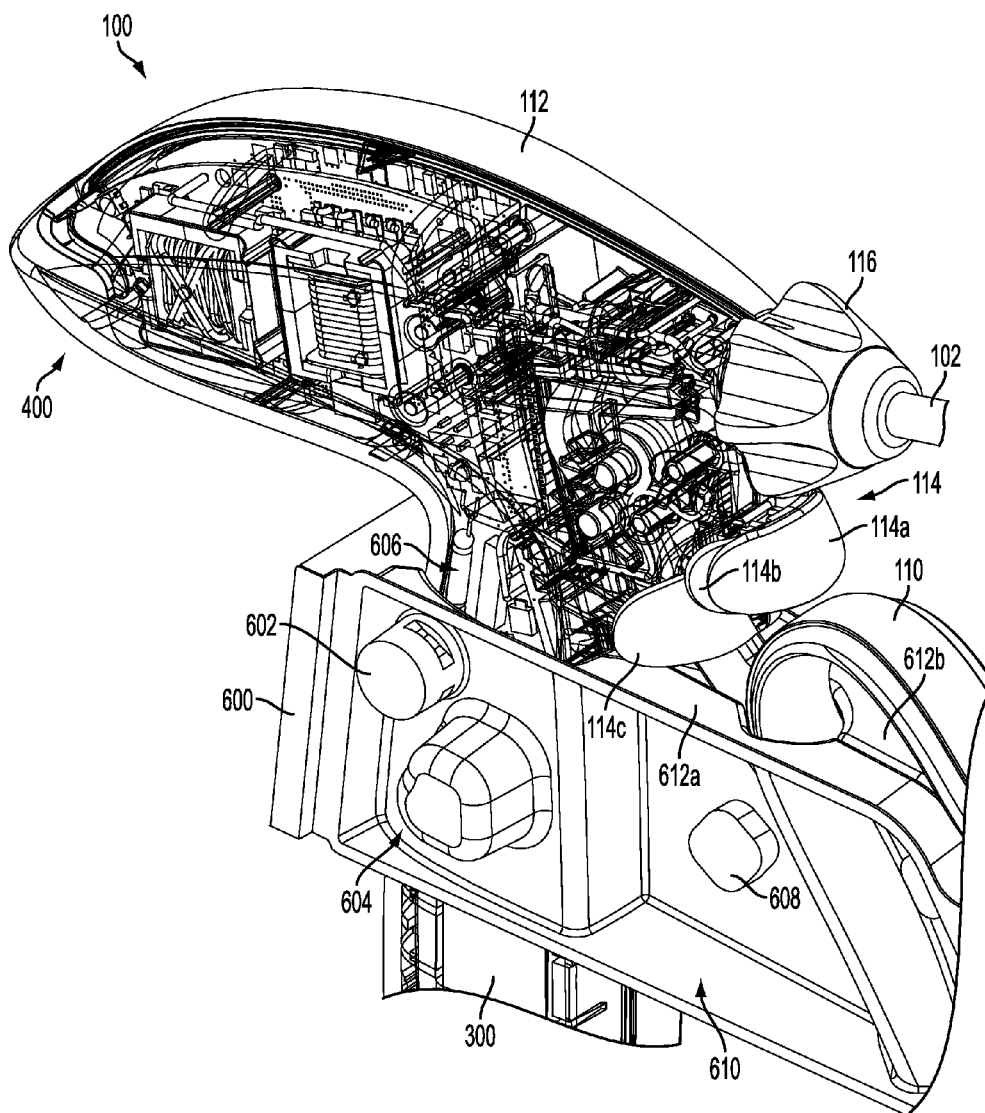


FIG. 8

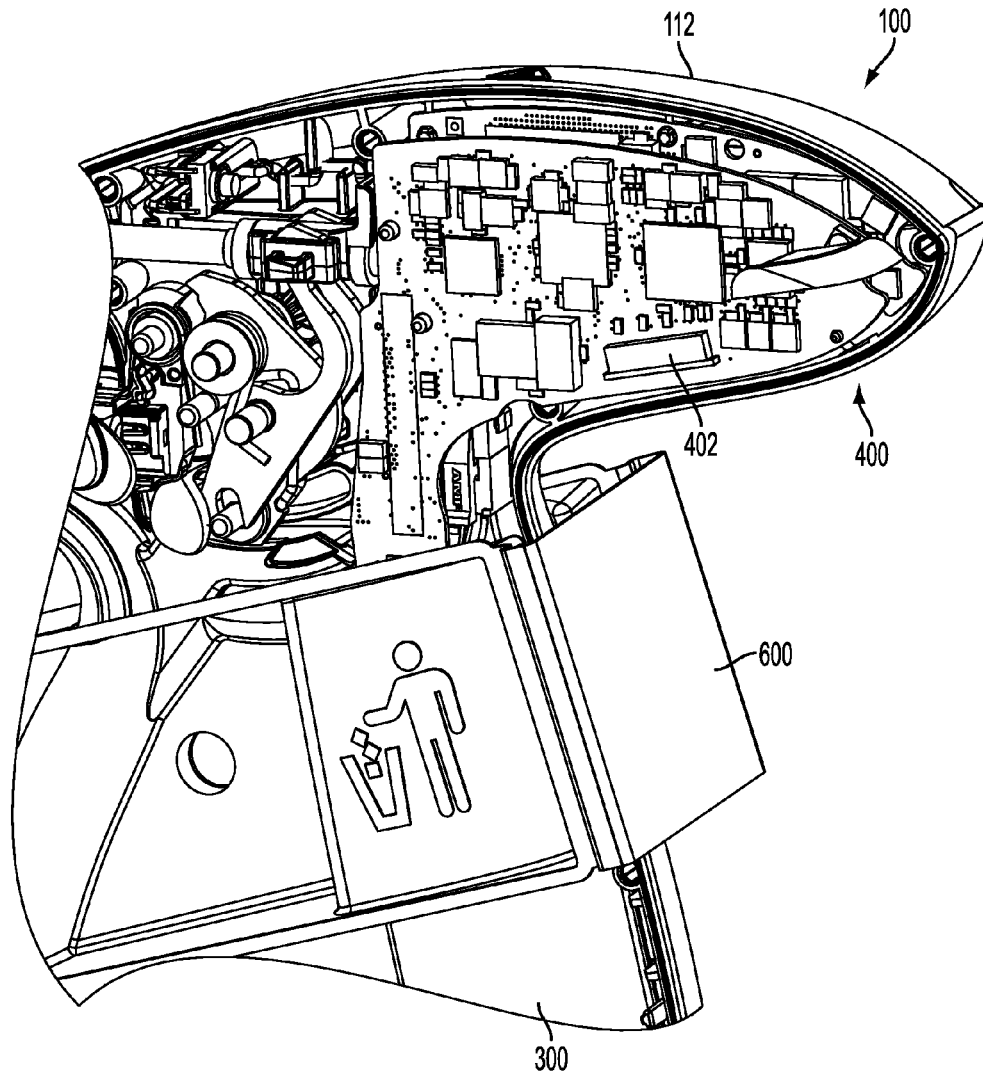
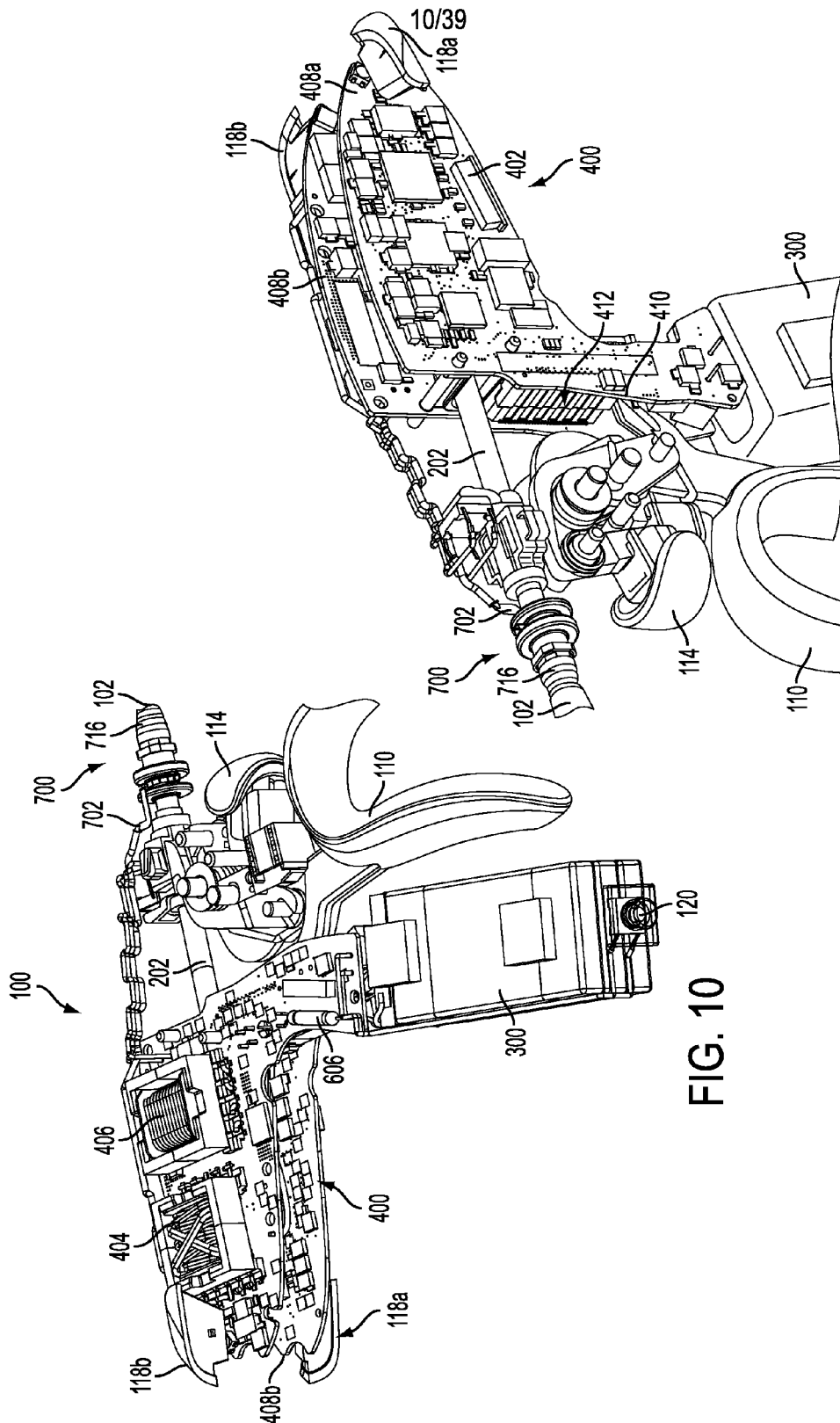


FIG. 9



**FIG. 10**

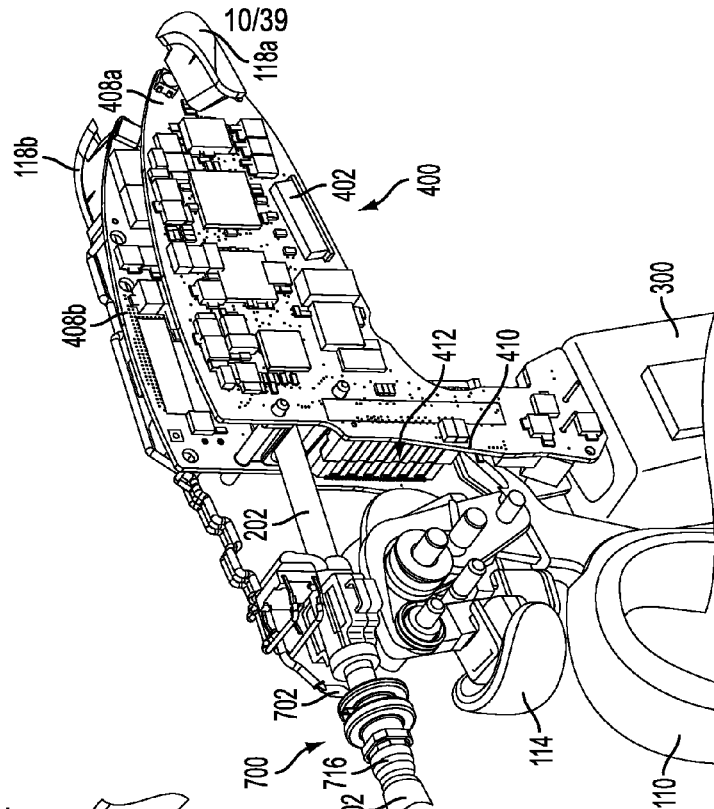


FIG. 11



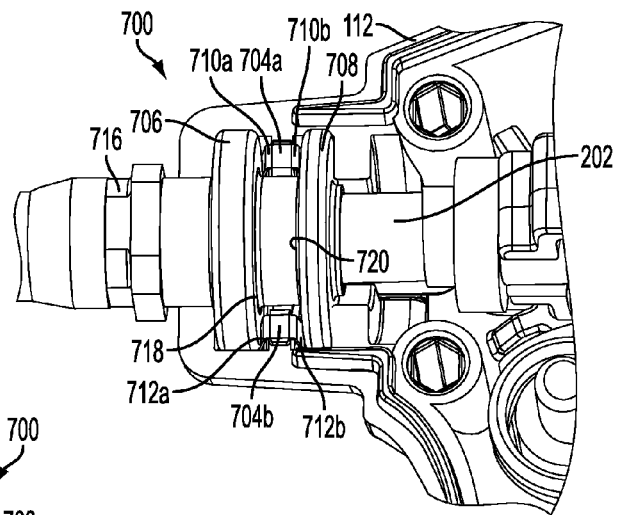


FIG. 12

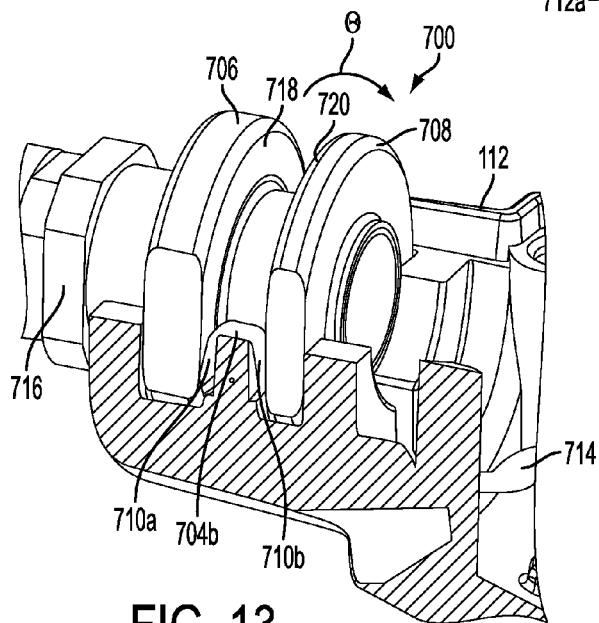


FIG. 13

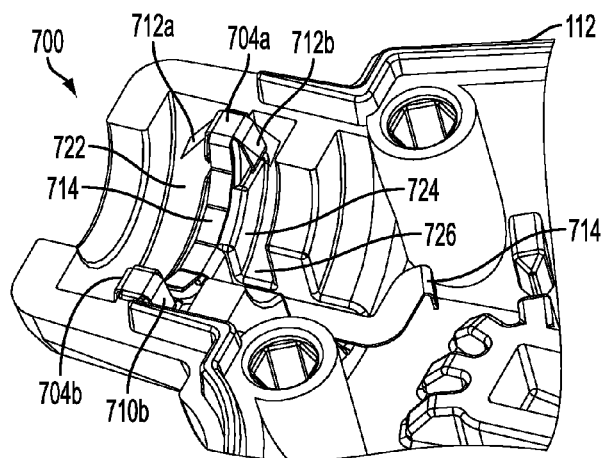


FIG. 14

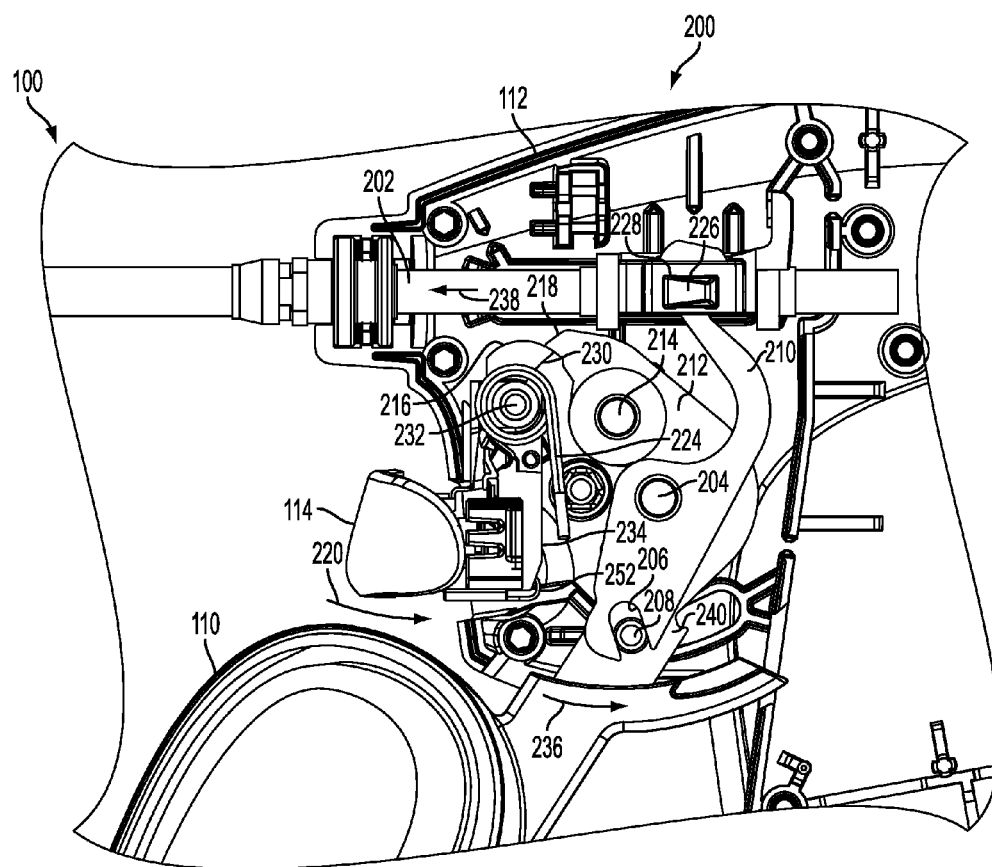


FIG. 15

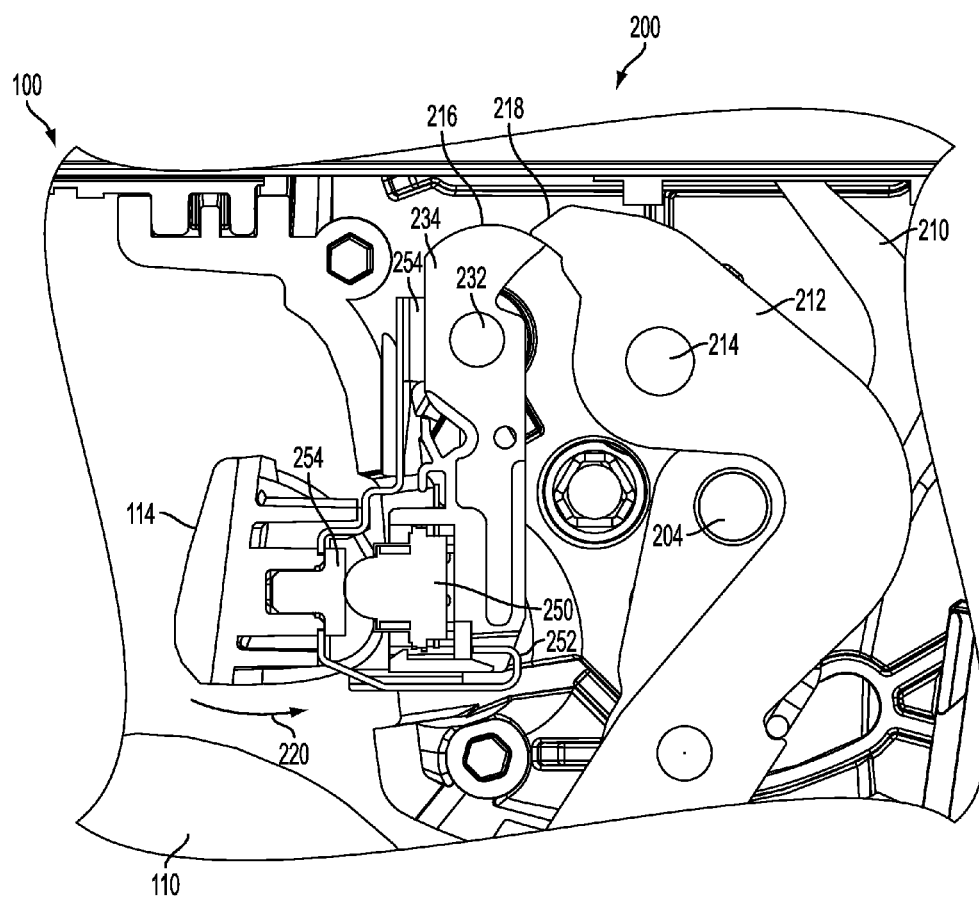


FIG. 16

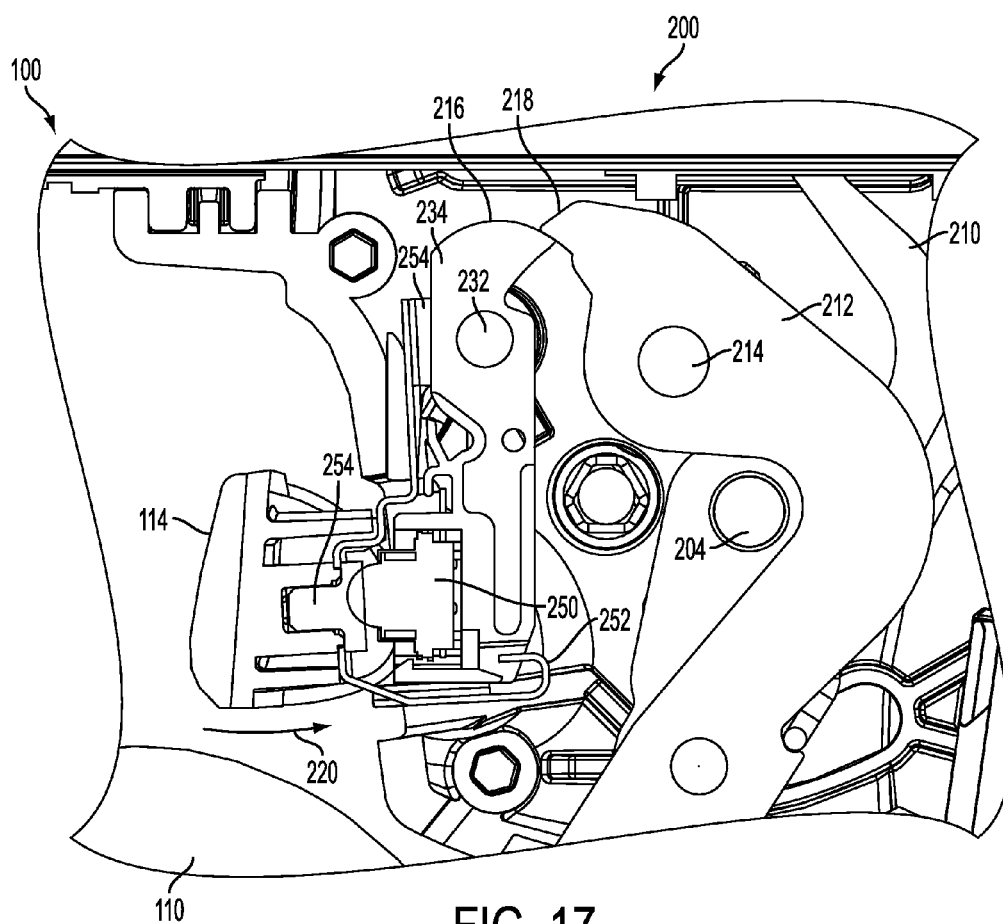


FIG. 17

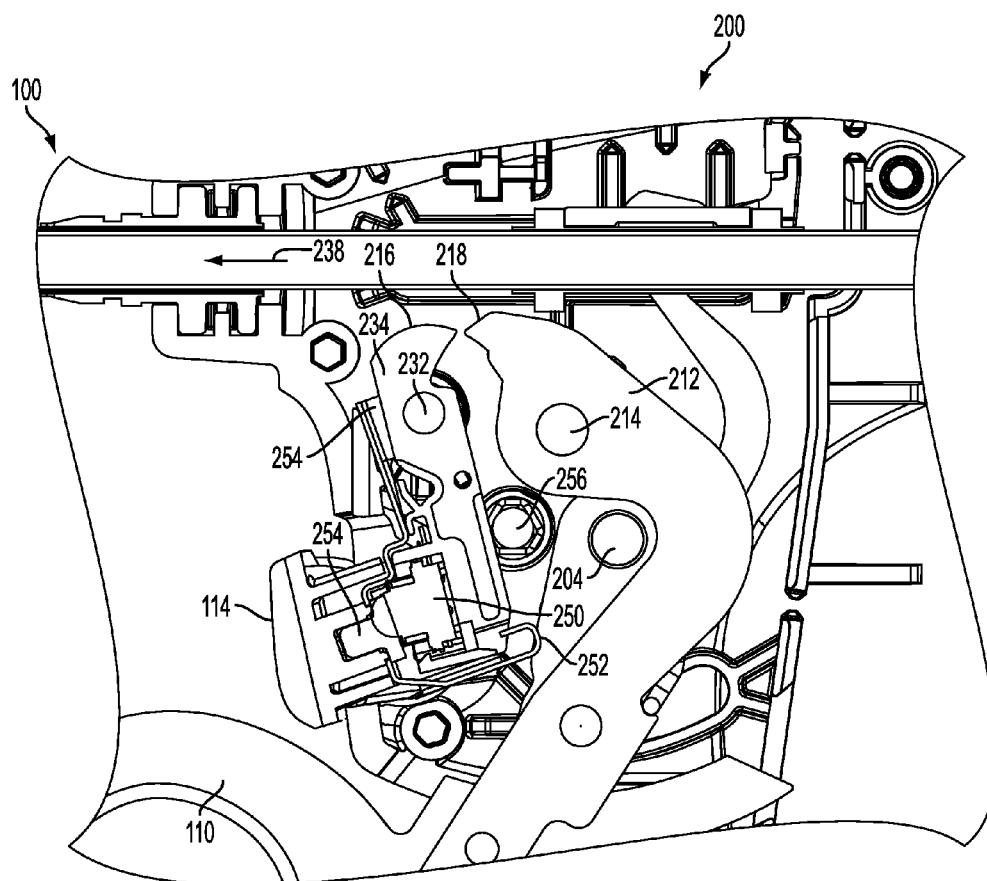


FIG. 18

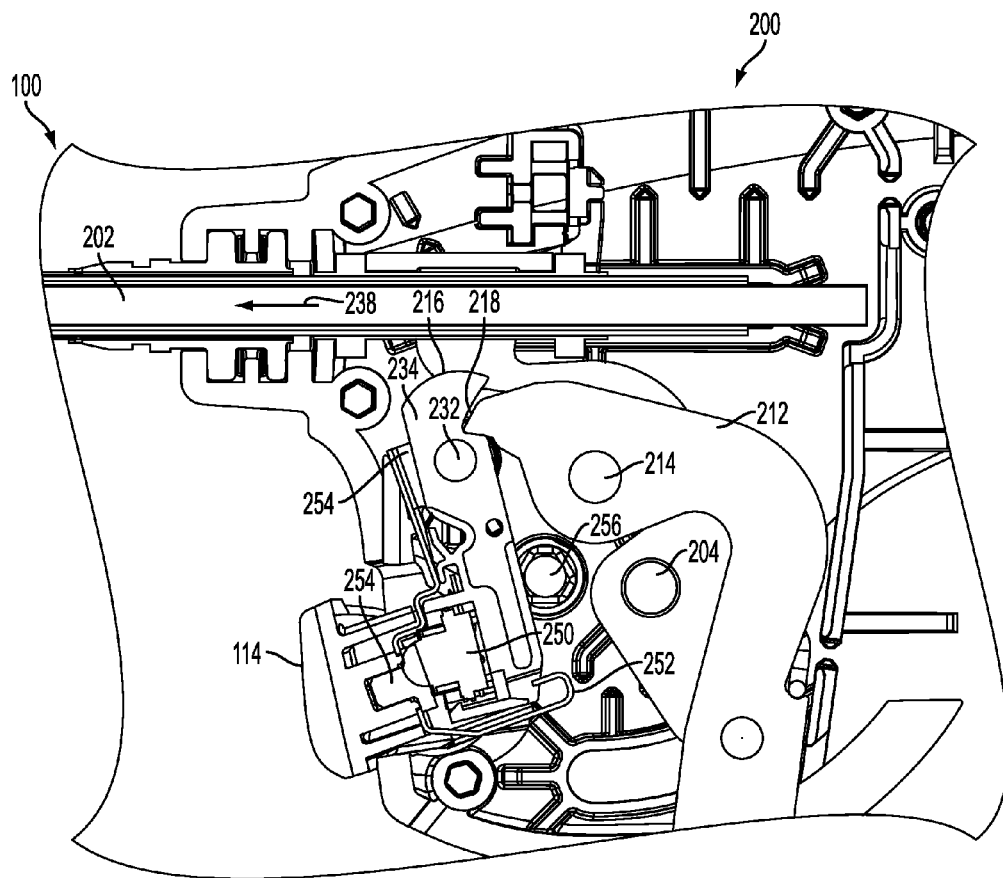


FIG. 19

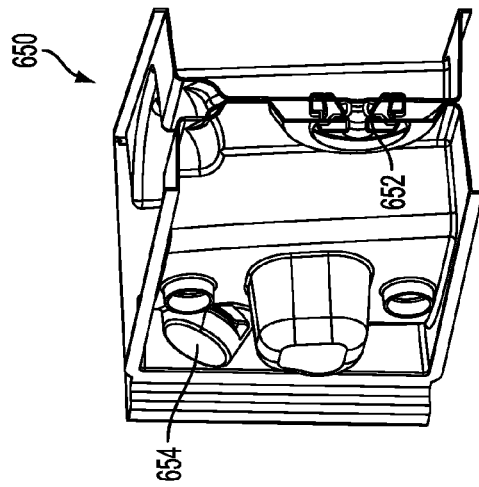


FIG. 21

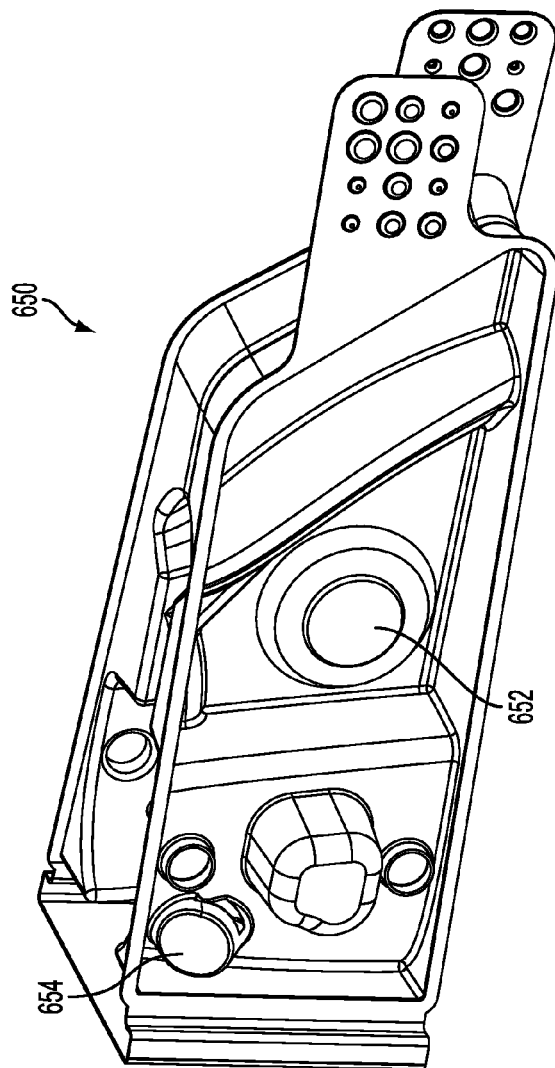


FIG. 20

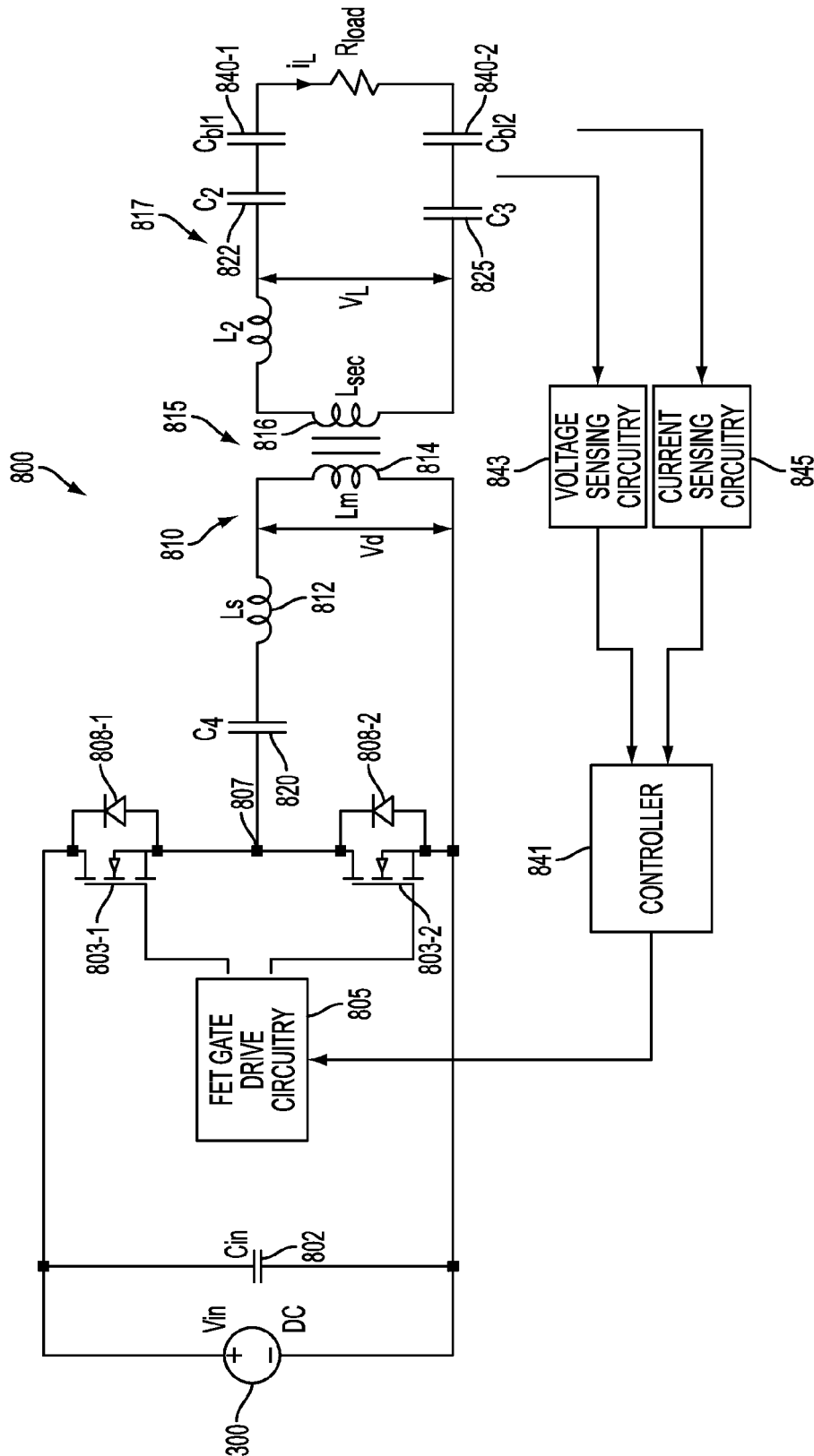


FIG. 22



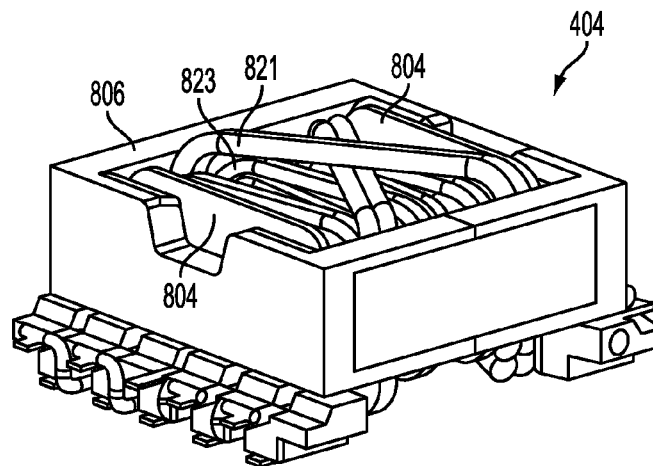


FIG. 23

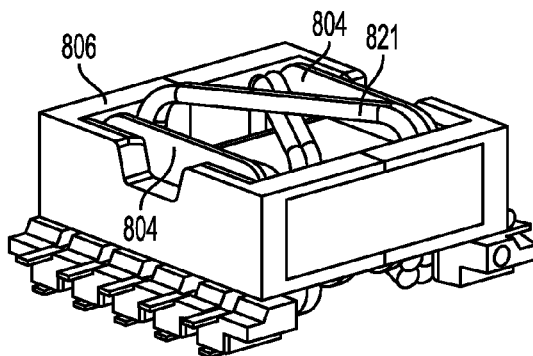


FIG. 24

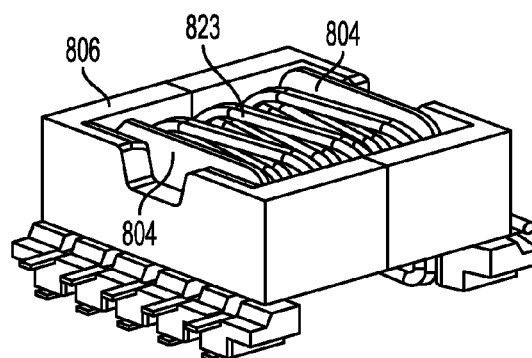


FIG. 25

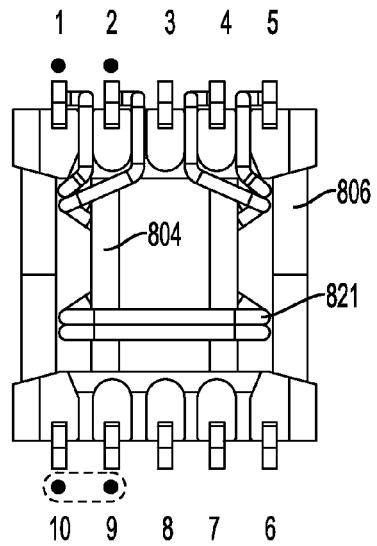


FIG. 26

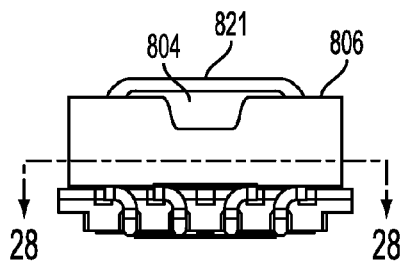


FIG. 27

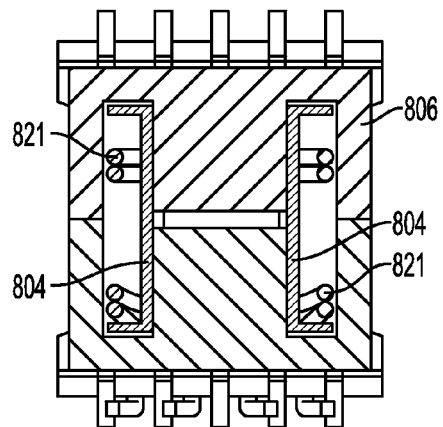


FIG. 28

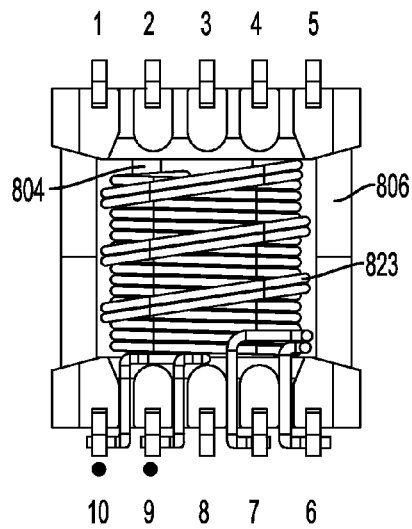


FIG. 29

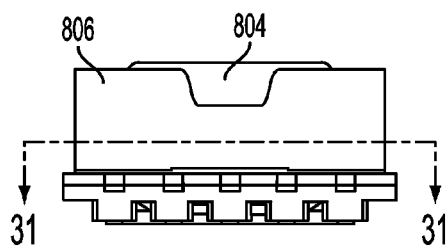


FIG. 30

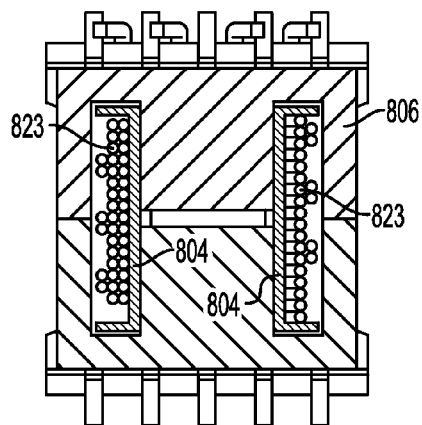


FIG. 31

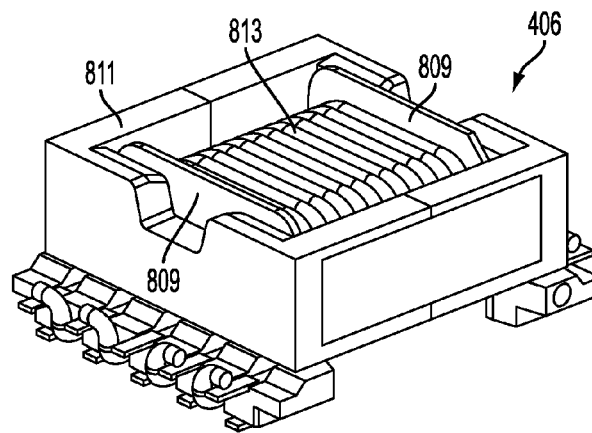


FIG. 32

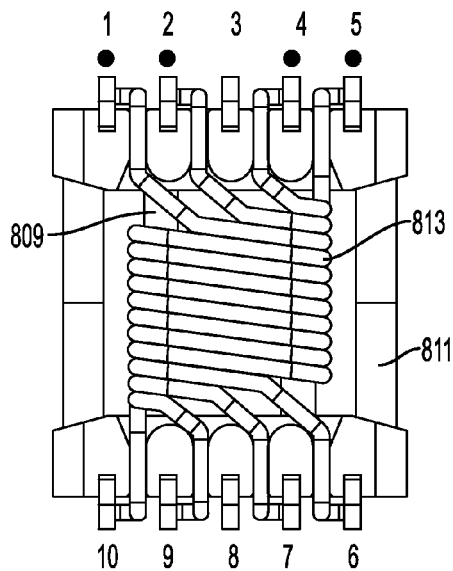


FIG. 33

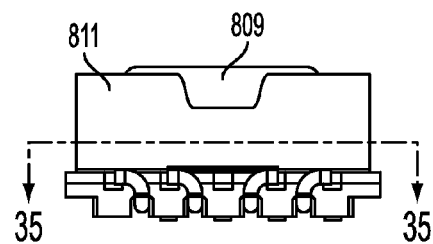


FIG. 34

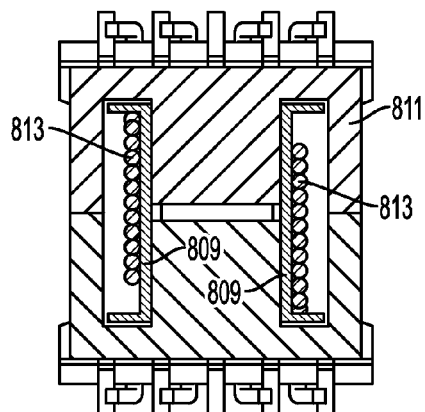


FIG. 35

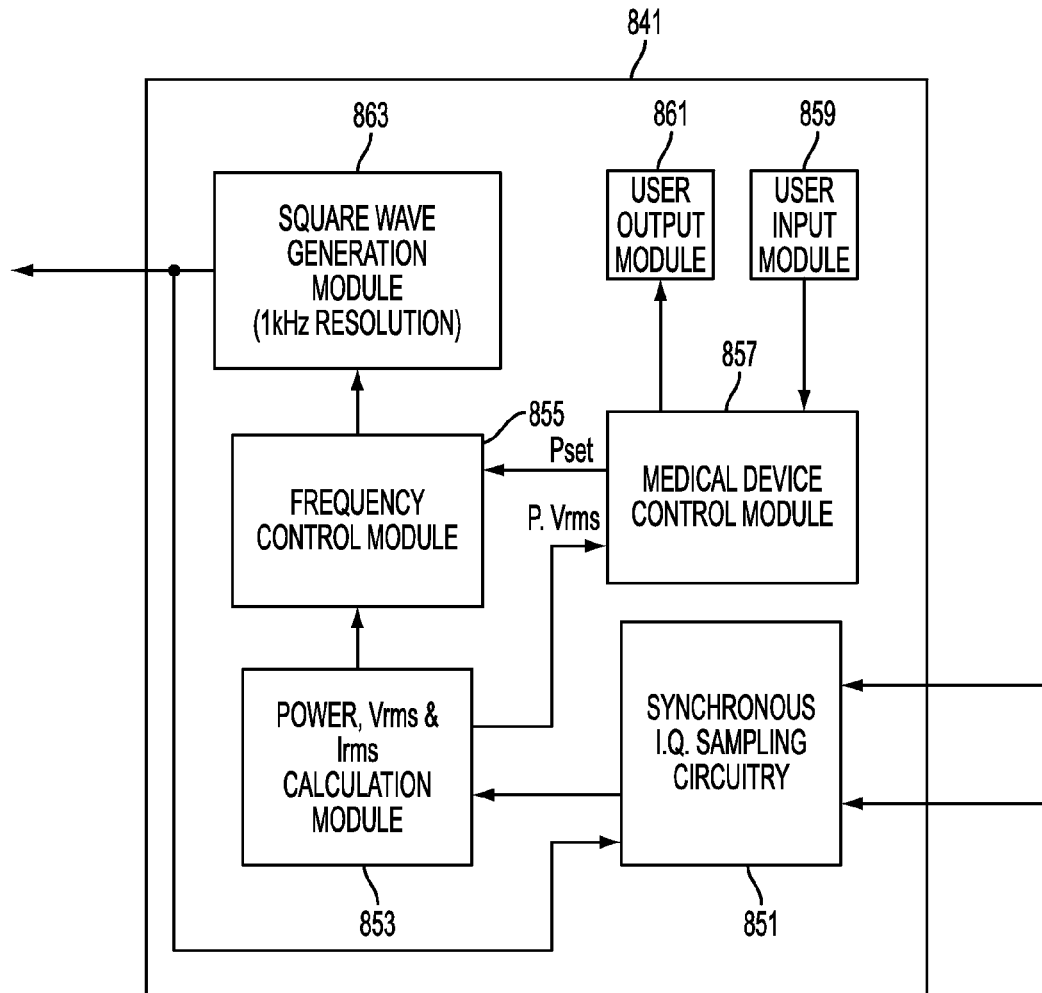


FIG. 36

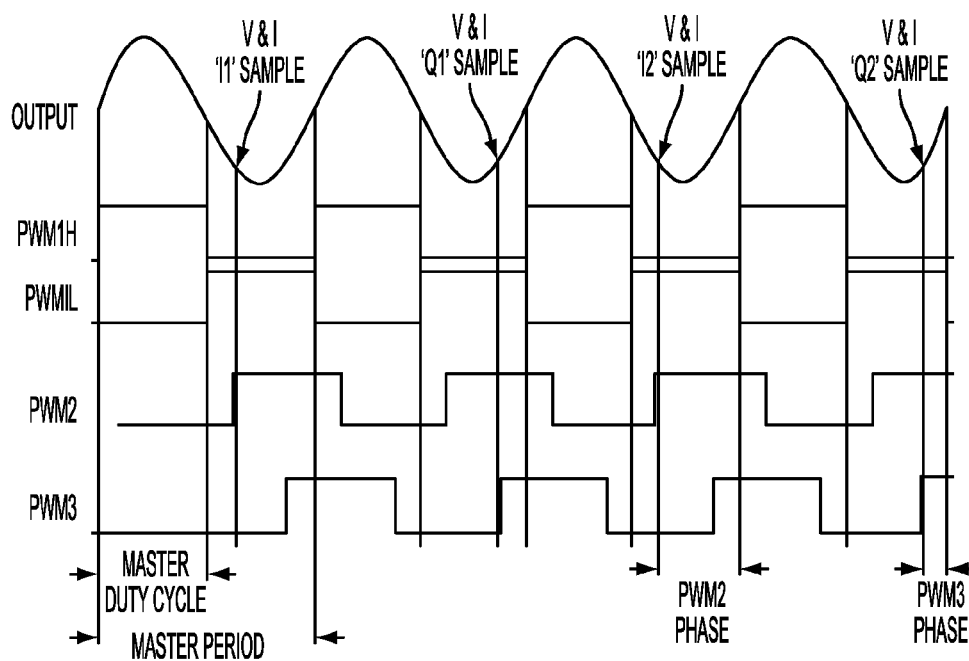


FIG. 37

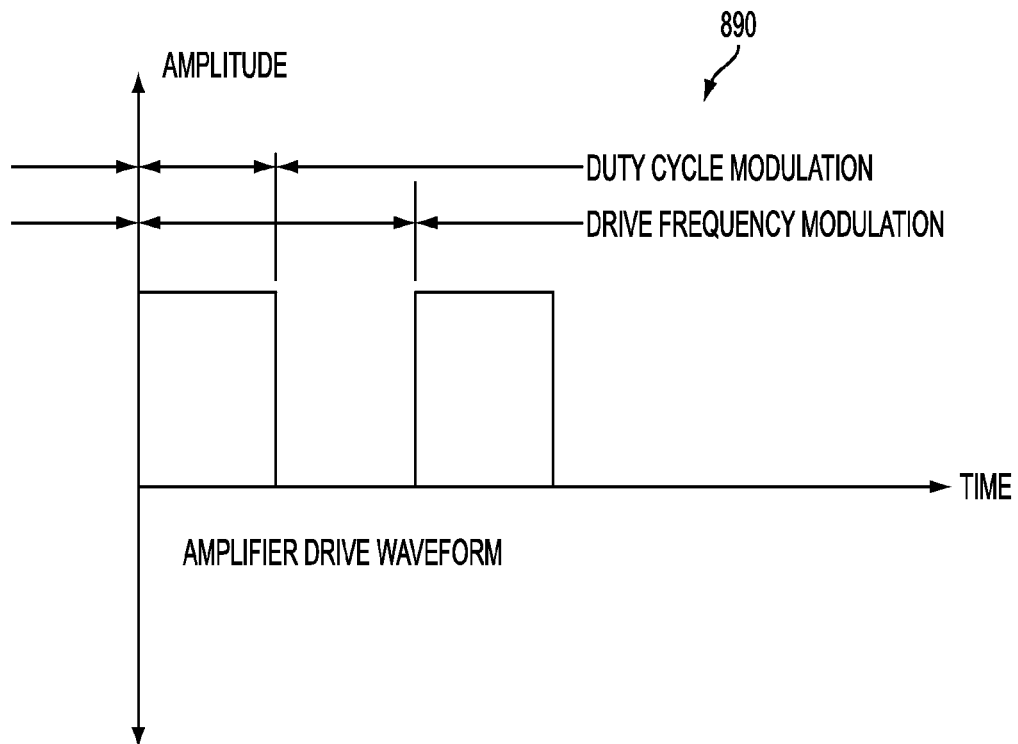


FIG. 38

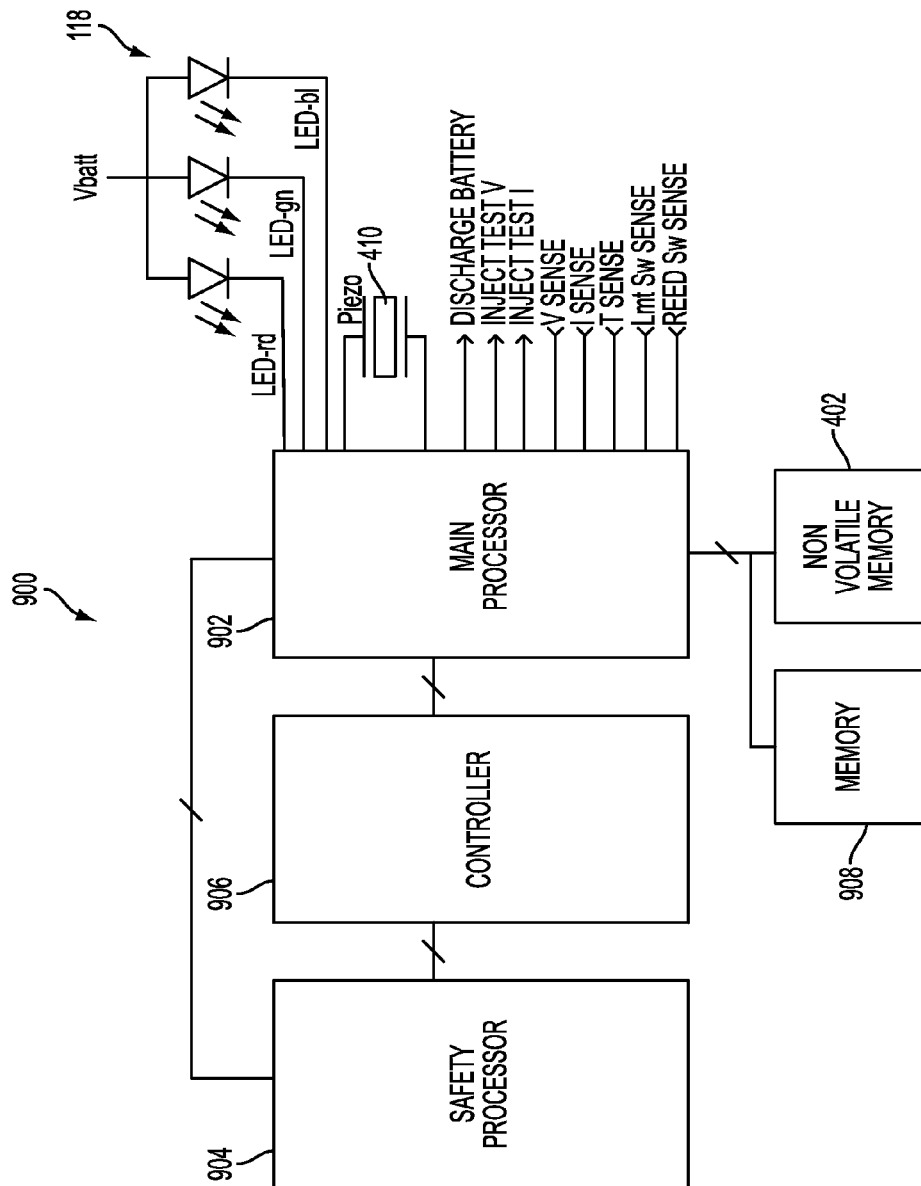


FIG. 39



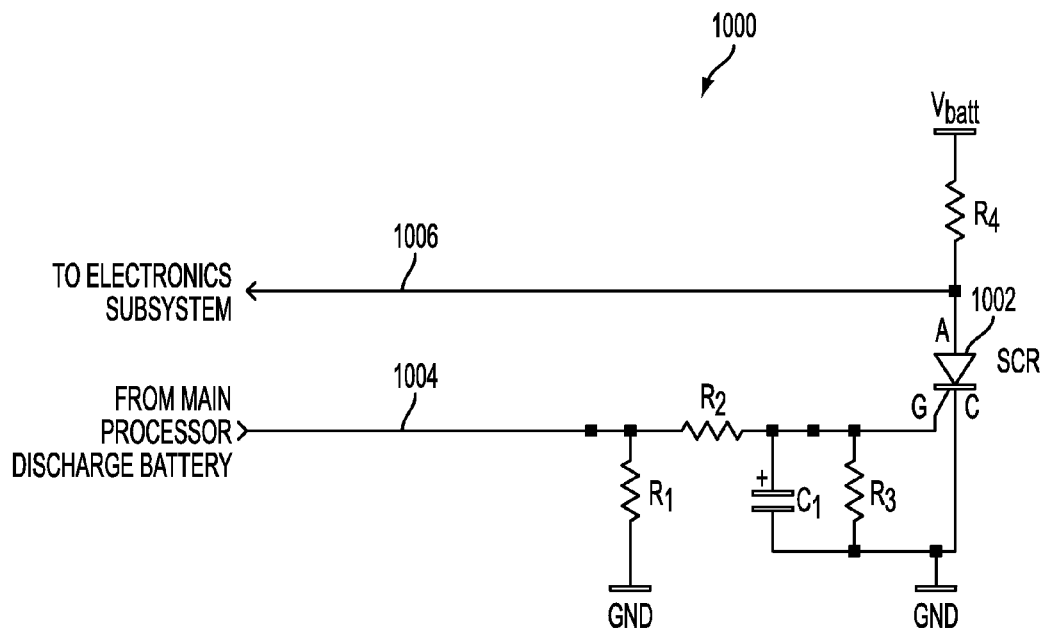
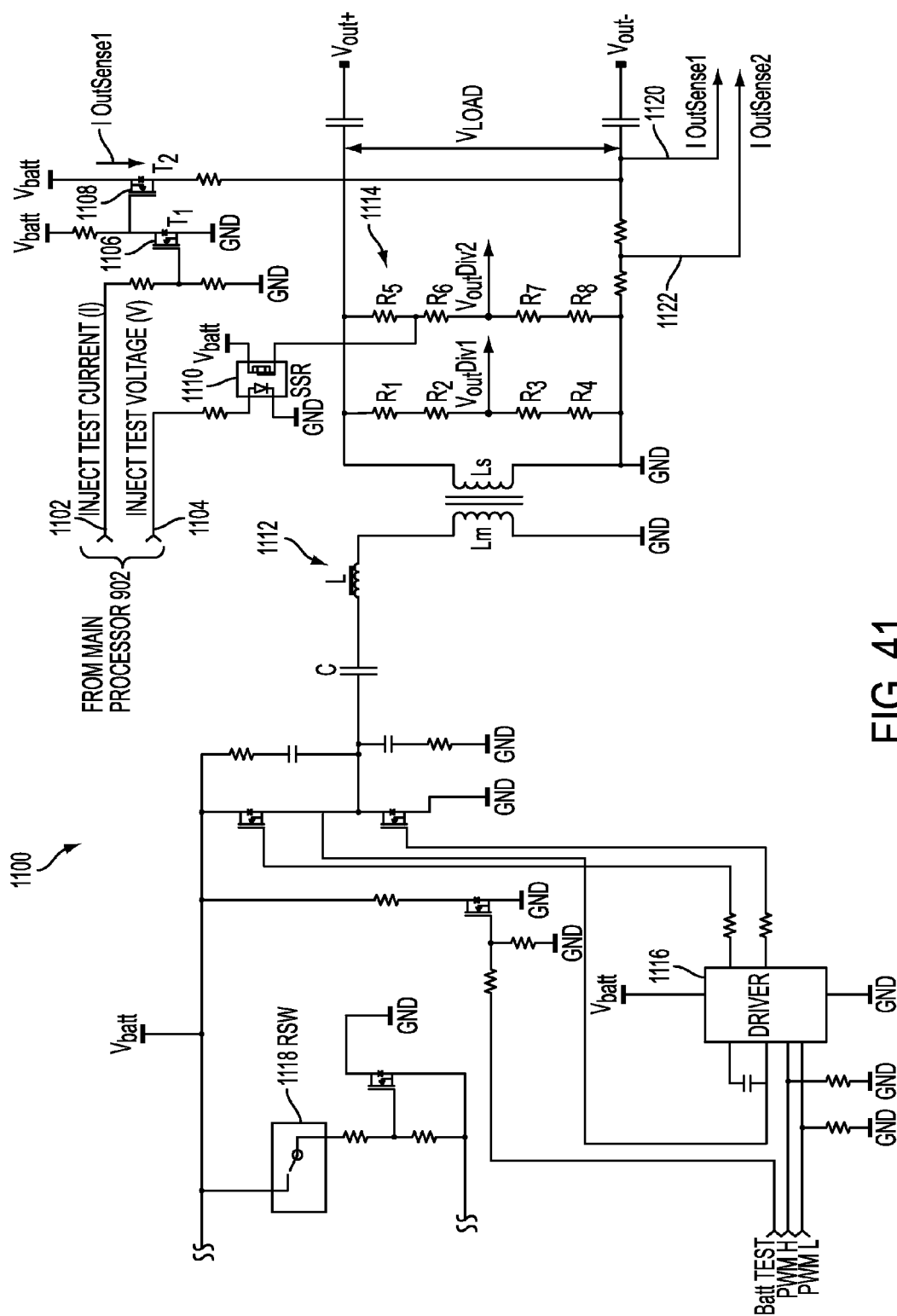


FIG. 40



**FIG. 41**

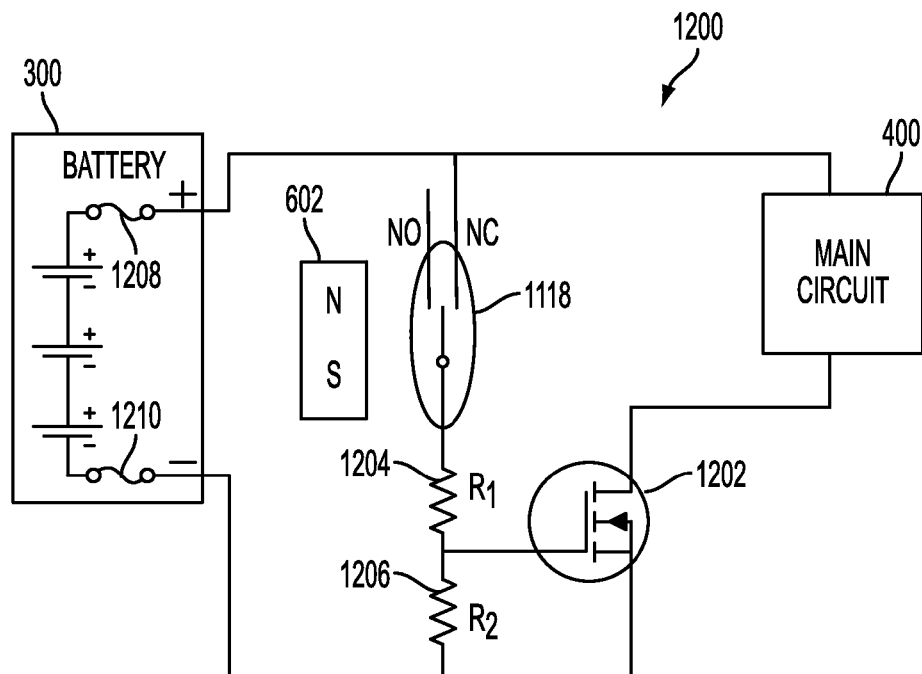


FIG. 42

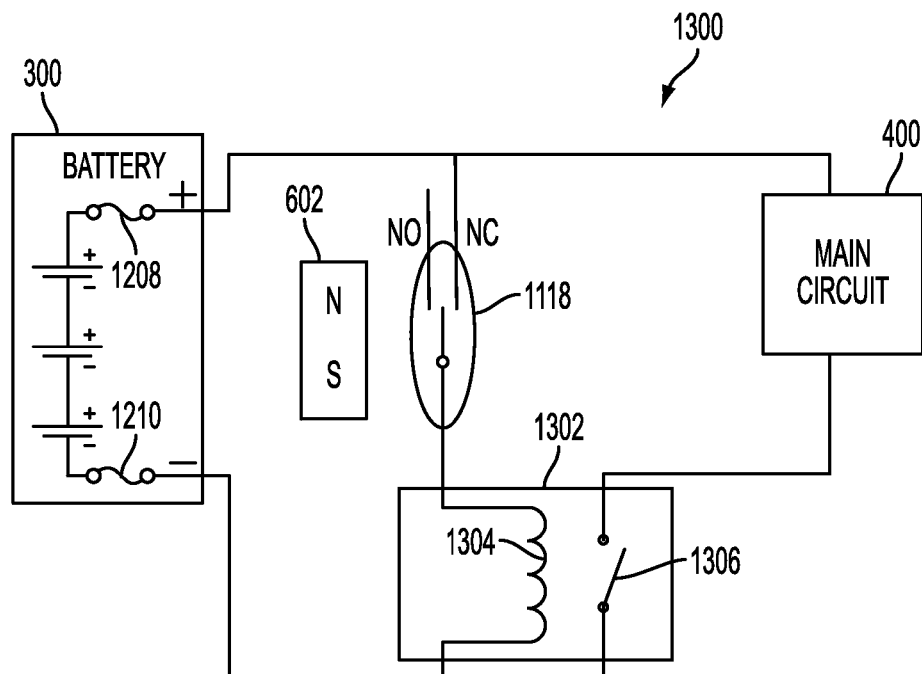


FIG. 43

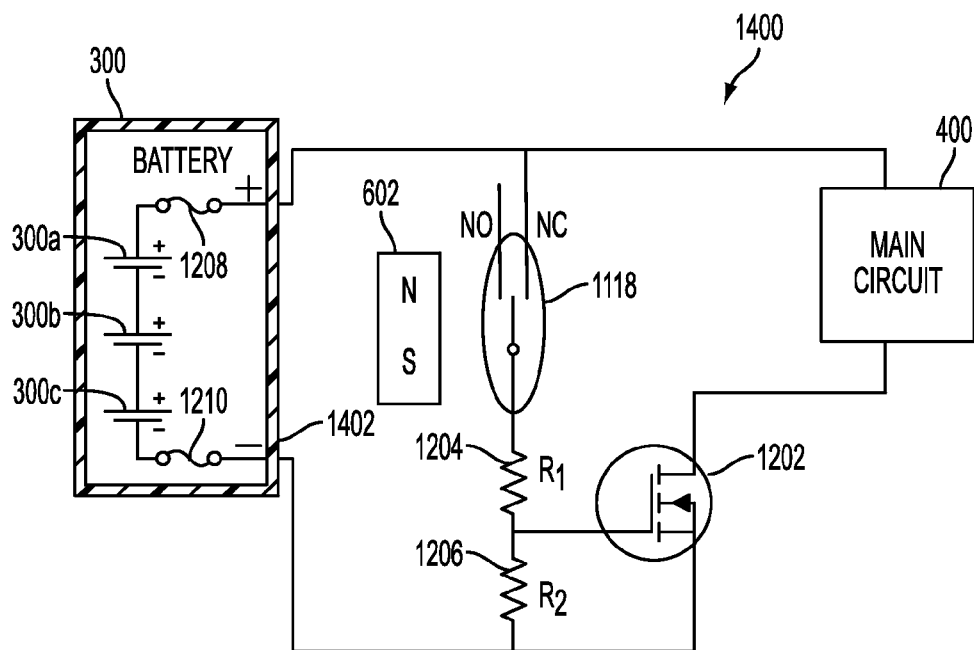


FIG. 44

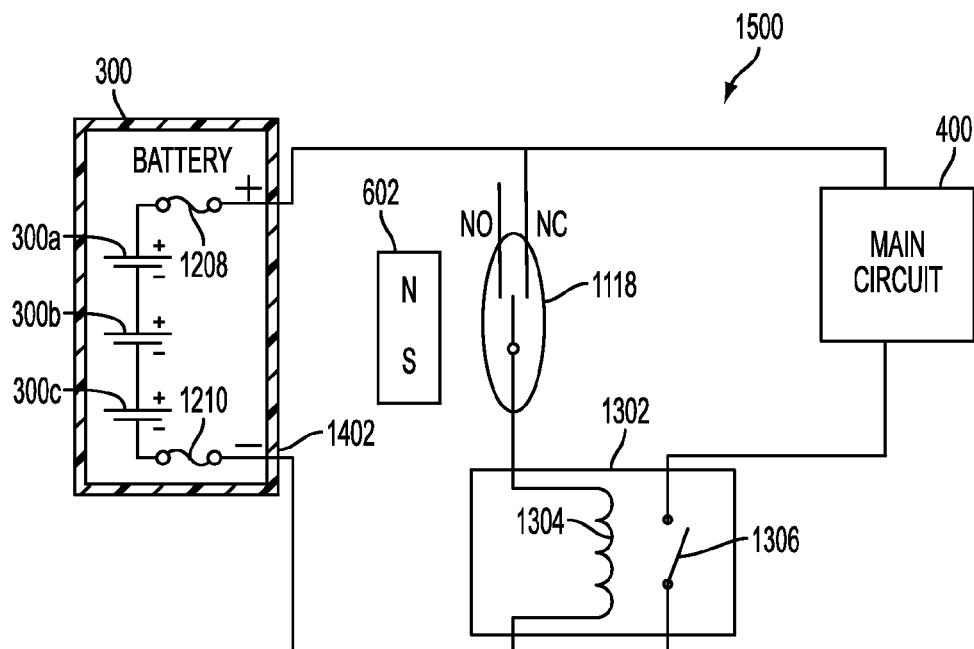


FIG. 45

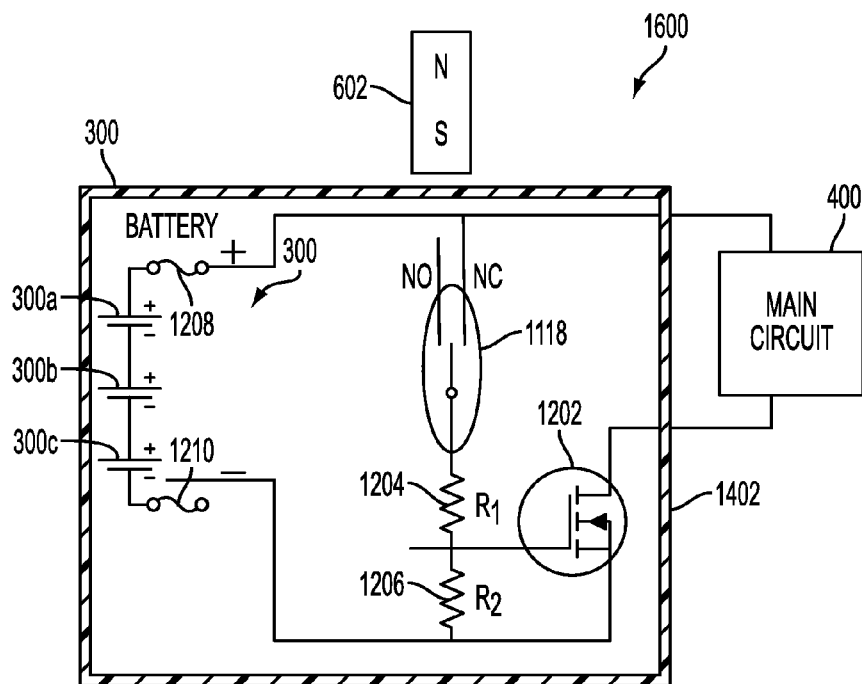


FIG. 46

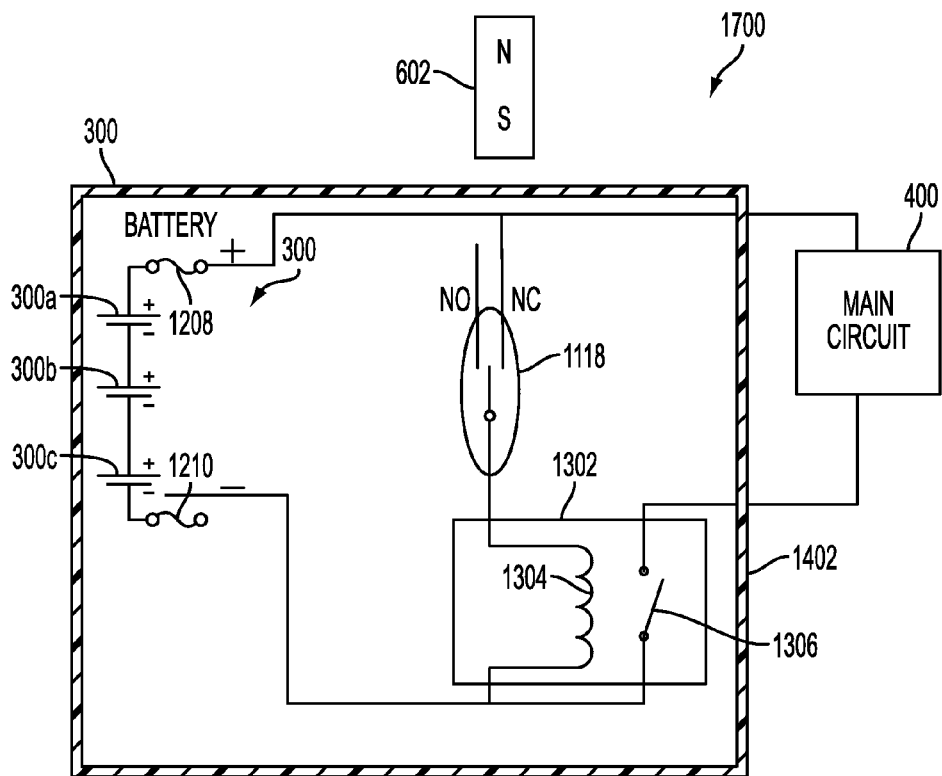


FIG. 47

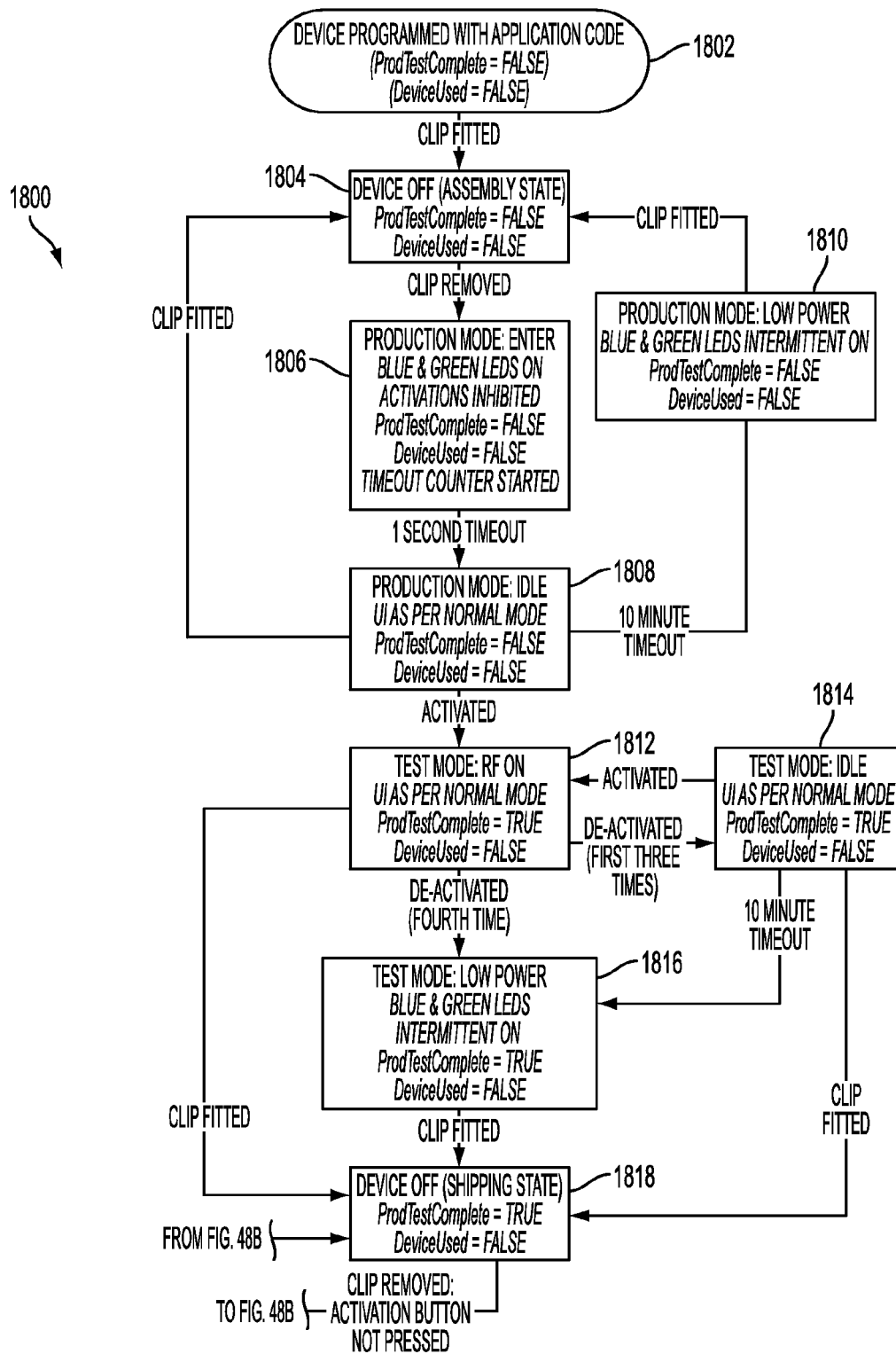


FIG. 48A

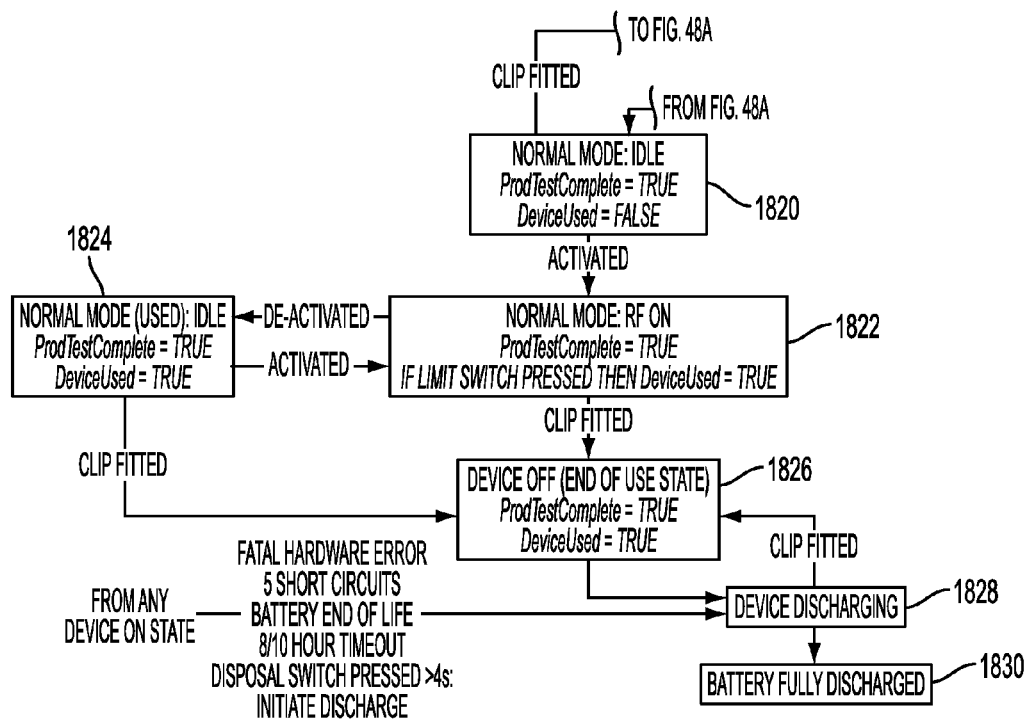


FIG. 48B

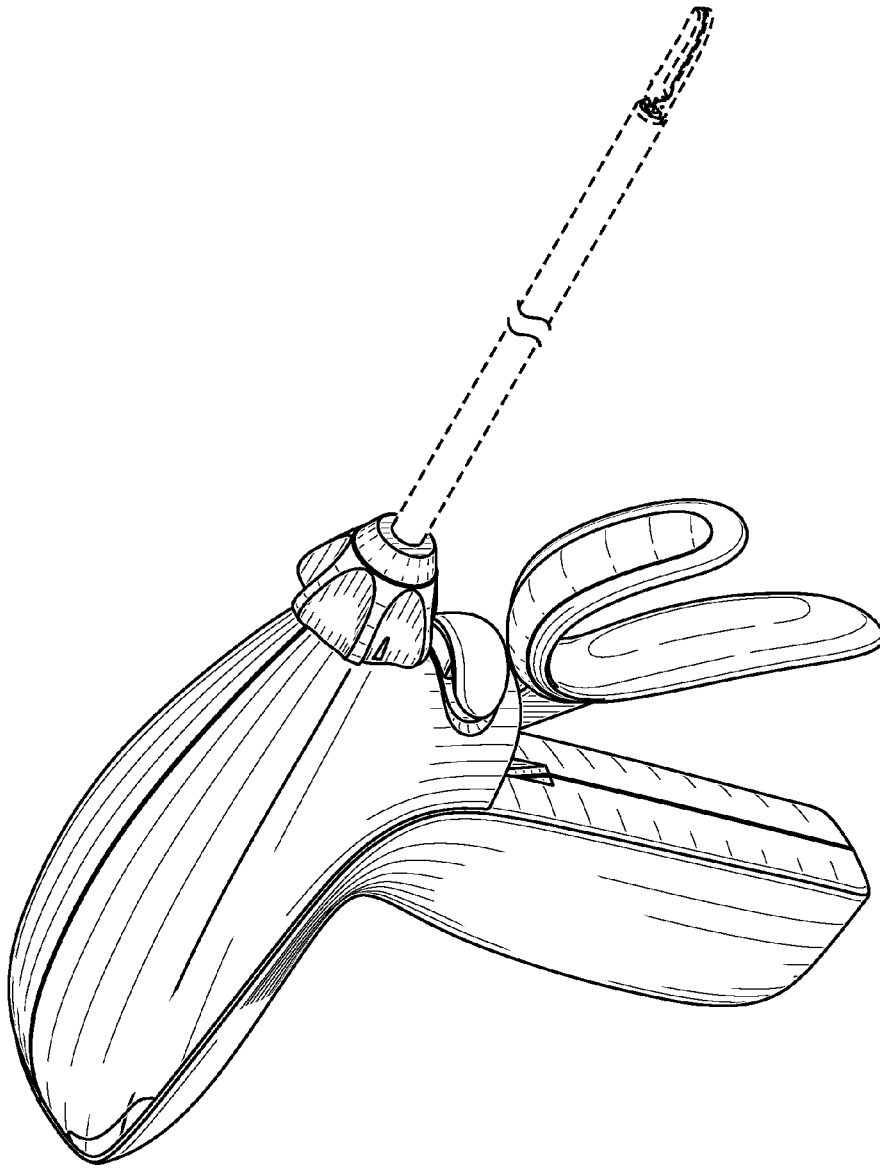


FIG. 49



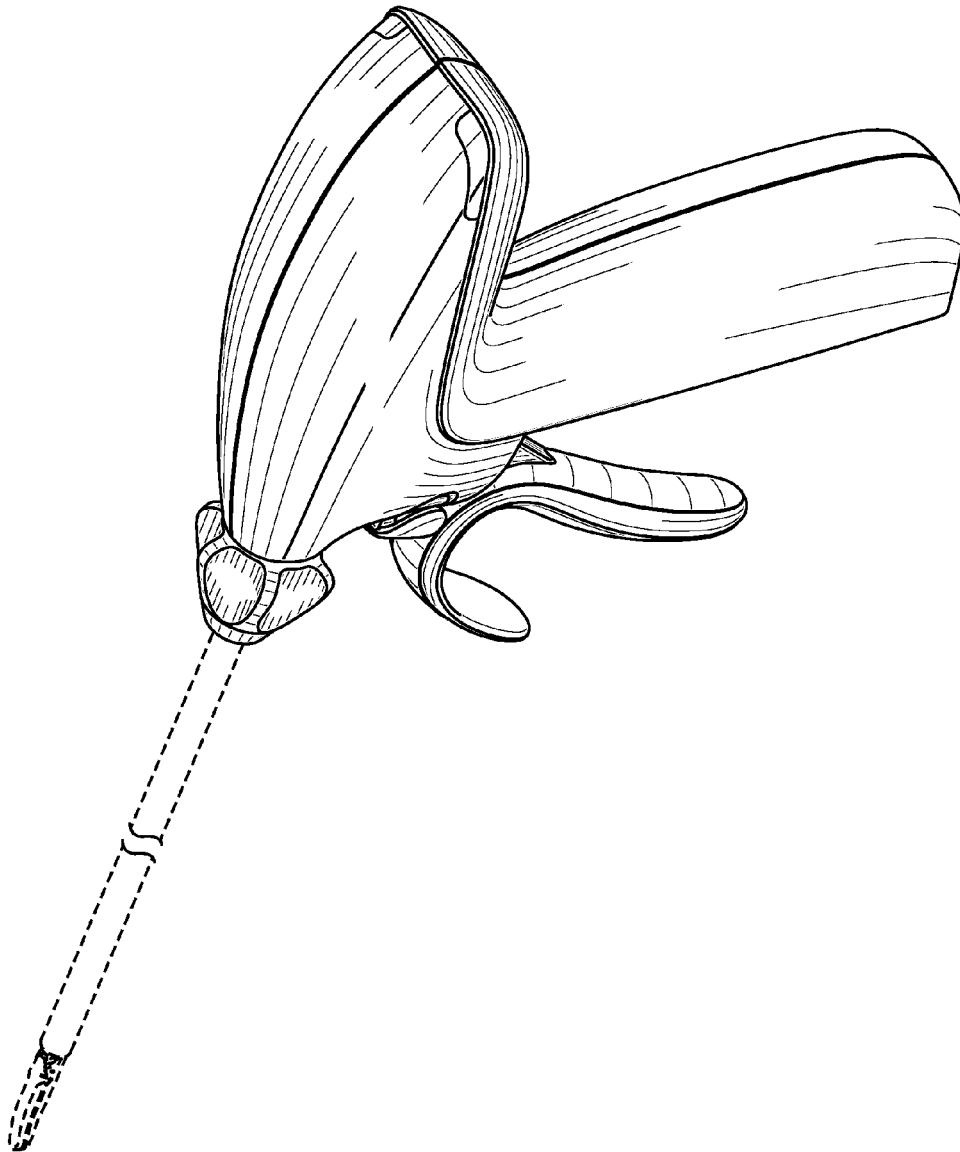


FIG. 50

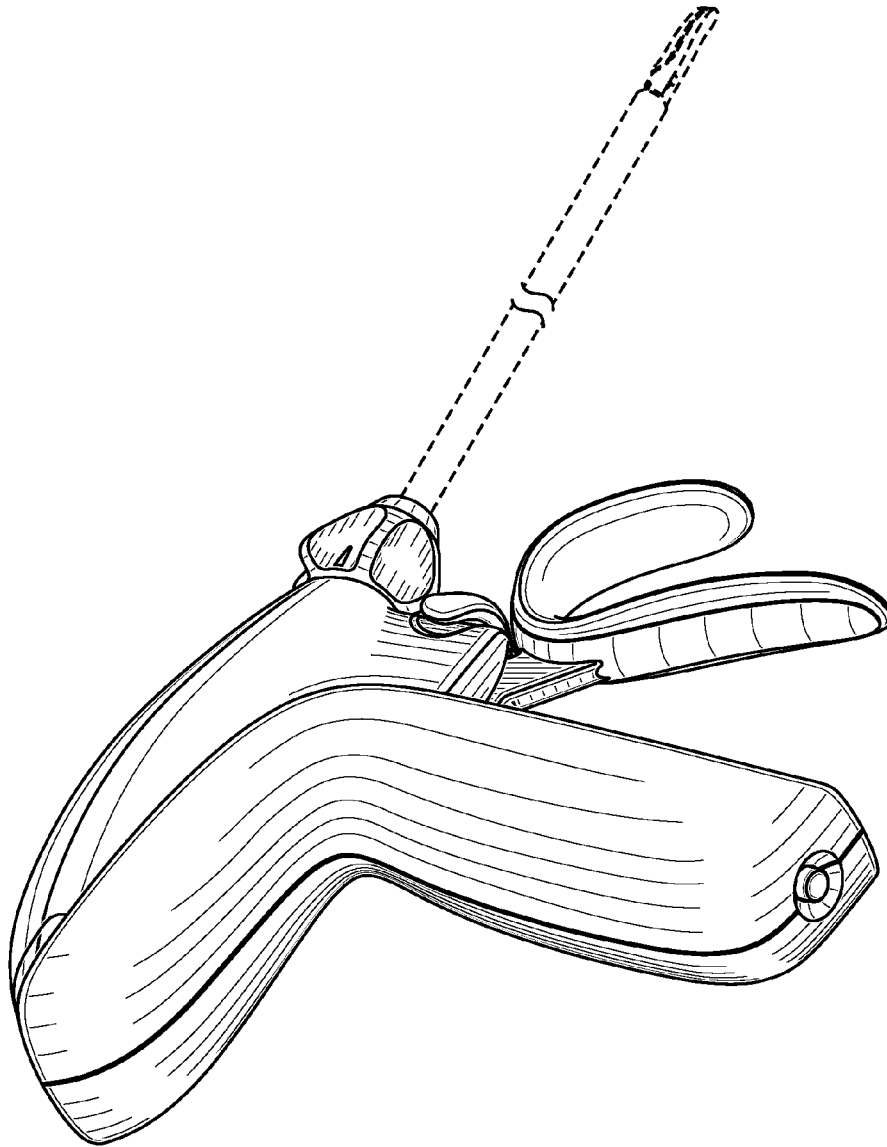


FIG. 51

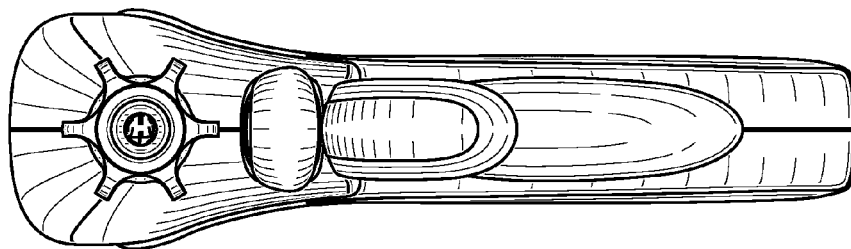


FIG. 53

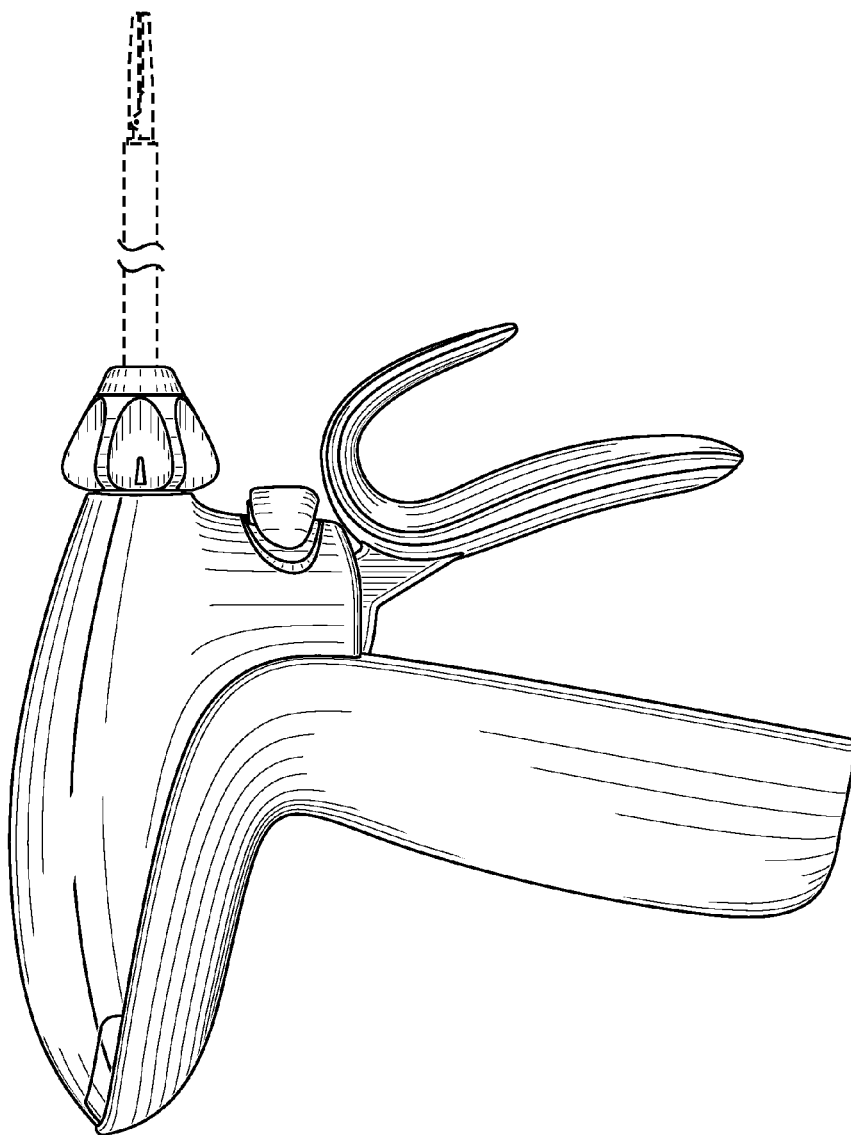


FIG. 52

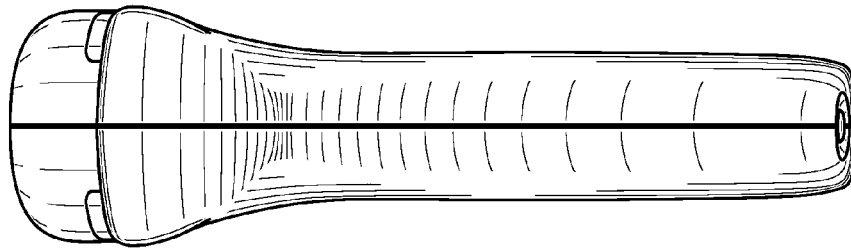


FIG. 55

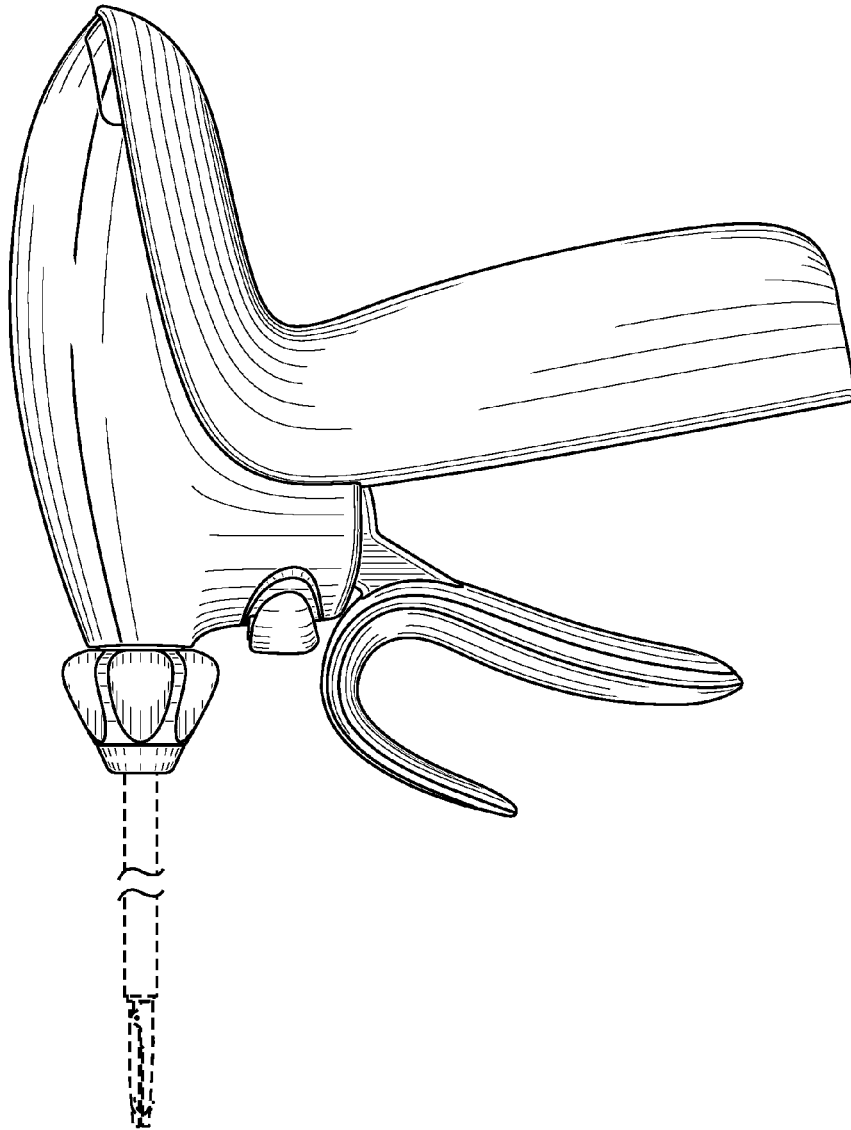


FIG. 54

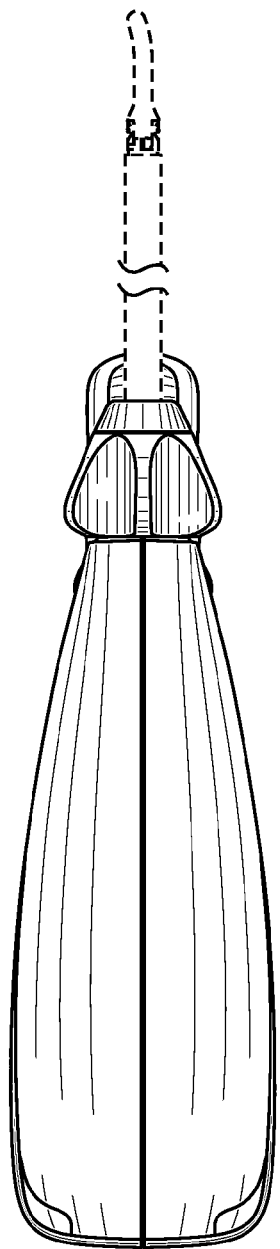


FIG. 56

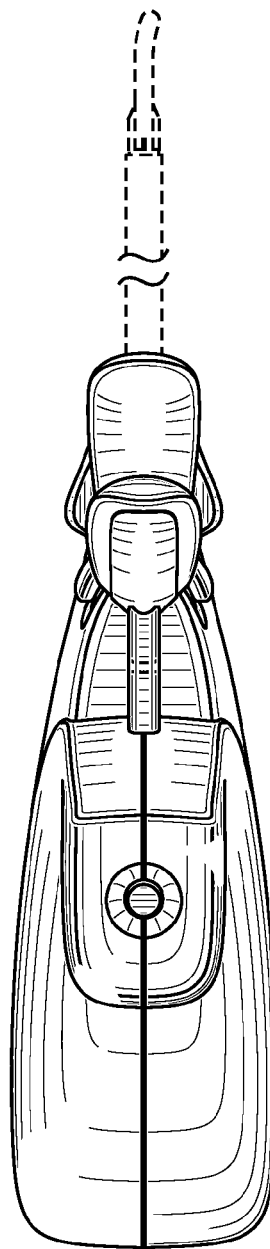


FIG. 57

**BATTERY INITIALIZATION CLIP****CROSS-REFERENCE TO RELATED APPLICATIONS**

This application claims the benefit of U.S. Provisional Patent Application Ser. No. 61/550,768, entitled "MEDICAL INSTRUMENT," filed on Oct. 24, 2011, which is incorporated herein by reference in its entirety.

This application is related to the following commonly assigned U.S. and PCT International Patent Applications:

U.S. patent application Ser. No. 13/658,784, entitled "LITZ WIRE BATTERY POWERED DEVICE," now U.S. Patent Application Publication No. 2013/0103023, concurrently filed, which is incorporated herein by reference in its entirety.

U.S. patent application Ser. No. 13/658,786, entitled "BATTERY SHUT-OFF ALGORITHM IN A BATTERY POWERED DEVICE," now U.S. Patent Application Publication No. 2013/0123776, concurrently filed, which is incorporated herein by reference in its entirety.

U.S. patent application Ser. No. 13/658,787, entitled "USER INTERFACE IN A BATTERY POWERED DEVICE," now U.S. Patent Application Publication No. 2013/0103024, concurrently filed, which is incorporated herein by reference in its entirety.

U.S. patent application Ser. No. 13/658,791, entitled "BATTERY DRAIN KILL FEATURE IN A BATTERY POWERED DEVICE," now U.S. Patent Application Publication No. 2013-0123777, concurrently filed, which is incorporated herein by reference in its entirety.

U.S. patent application Ser. No. 13/658,792, entitled "TRIGGER LOCKOUT MECHANISM," now U.S. Patent Application Publication No. 2013-0123782, concurrently filed, which is incorporated herein by reference in its entirety.

PCT International patent application Ser. No. PCT/US12/61504, entitled "MEDICAL INSTRUMENT," now WIPO Publication No. WO2013/062978, concurrently filed, which is incorporated herein by reference in its entirety.

**BACKGROUND**

The present disclosure relates to the field of medical instruments and in particular, although not exclusively, to electro-surgical instruments. The present disclosure also relates to drive circuits and methods for driving such medical instruments. Additionally, the present disclosure is directed to lock-out mechanisms, user interfaces, initialization techniques, and battery power conservation circuits and methods for such medical instruments.

Many surgical procedures require cutting or ligating blood vessels or other internal tissue. Many surgical procedures are performed using minimally invasive techniques where a handheld instrument is used by the surgeon to perform the cutting or ligating. Conventional hand-held electro-surgical instruments are generally large and bulky and require large power supplies and control electronics that are connected to the instrument through an electrical supply line.

Conventional corded electro-surgical instruments are large in size, have large power supplies and control electronics, and take up a lot of space in the operating room. Corded electro-surgical instruments are particularly cumbersome and difficult to use during a surgical procedure in part due to tethering of the hand-held electro-surgical instrument to the power supply and control electronics and the potential for cord entanglement. Some of these deficiencies have been overcome by providing battery powered hand-held electro-surgical

instruments in which the power and control electronics are mounted within the instrument itself, such as within the handle of the instrument, to reduce the size of the electro-surgical instrument and make such instruments easier to use during surgical procedures.

Electrosurgical medical instruments generally include an end effector having an electrical contact, a radio frequency (RF) generation circuit for generating an RF drive signal and to provide the RF drive signal to the at least one electrical contact where the RF generation circuit also includes a resonant circuit. The RF circuit includes circuitry to generate a cyclically varying signal, such as a square wave signal, from a direct current (DC) energy source and the resonant circuit is configured to receive the cyclically varying signal from the switching circuitry. The DC energy source is generally provided by one or more batteries that can be mounted in a handle portion of the housing of the instrument, for example.

The design of battery powered hand-held electro-surgical instruments requires the electronics in the power supply and RF amplifier sections to have the highest efficiency possible in order to minimize the heat rejected into the relatively small handheld package. Increased efficiency also improves the storage and operational life of the battery. Increased efficiency also minimizes the size of the required battery or extends the life of a battery of a given size. Thus, there is a need for battery powered hand-held electro-surgical instruments having higher efficiency power supply and RF amplifier sections.

**SUMMARY**

In one embodiment, a medical instrument includes a housing, a control lever rotatably coupled to the housing, at least one electrical contact, a radio frequency (RF) generation circuit coupled to and operated by the battery and operable to generate an RF drive signal and to provide the RF drive signal to the at least one electrical contact and an initialization clip coupled to housing and the control lever to prevent operation of the RF generation circuit and movement of the control lever.

**FIGURES**

FIG. 1 illustrates the form of an electro-surgical medical instrument that is designed for minimally invasive medical procedures, according to one embodiment.

FIG. 2 illustrates another view of the electro-surgical medical instrument shown in FIG. 1.

FIG. 3 illustrates another view of the electro-surgical medical instrument shown in FIG. 1.

FIG. 4 illustrates a sectional view of the electro-surgical medical instrument illustrating elements thereof contained within a housing, according to one embodiment.

FIG. 5 illustrates a partial sectional view of the electro-surgical medical instrument in a locked out position to prevent the actuation of the control lever, according to one embodiment.

FIG. 6 illustrates a partial sectional view of the electro-surgical medical instrument in a full stroke position, according to one embodiment.

FIG. 7 illustrates the electro-surgical medical instrument comprising an initialization clip interfering with the handle, according to one embodiment.

FIG. 8 is another view of the electro-surgical medical instrument comprising an initialization clip as shown in FIG. 7, according to one embodiment.

FIG. 9 illustrates a sectional view of a housing portion of an electrosurgical medical instrument showing an electronic circuit device portion of an electronics system, according to one embodiment.

FIG. 10 illustrates a second electronic substrate comprising an inductor and a transformer that form a part of the RF energy circuit, according to one embodiment.

FIG. 11 illustrates two separate substrates provided where the digital circuit elements are located on a first substrate and the RF amplifier section and other analog circuit elements are located on a second substrate, according to one embodiment.

FIG. 12 illustrates a partial cutaway view of a housing to show an electrical contact system, according to one embodiment.

FIG. 13 illustrates a partial cutaway view of a housing to show an electrical contact system and an inner sheath removed, according to one embodiment.

FIG. 14 illustrates a partial cutaway view of a housing with an electrically conductive shaft removed to show an electrical contact element, according to one embodiment.

FIG. 15 illustrates a partial sectional view of the electrosurgical medical instrument in a locked position, according to one embodiment.

FIG. 16 illustrates another partial sectional view of the electrosurgical medical instrument in a locked position, according to one embodiment.

FIG. 17 illustrates another partial sectional view of the electrosurgical medical instrument with an activation button partially depressed to activate the energy circuit without releasing the knife lockout mechanism, according to one embodiment.

FIG. 18 illustrates another partial sectional view of the electrosurgical instrument with the activation button fully depressed to activate the energy circuit and release the knife lockout mechanism, according to one embodiment.

FIG. 19 illustrates another partial sectional view of the electrosurgical medical instrument with the activation button fully depressed to activate the energy circuit with the knife lockout mechanism released and the knife fully thrown, according to one embodiment.

FIG. 20 is a perspective view of an initialization clip, according to one embodiment.

FIG. 21 is a partial cutaway view of the initialization clip shown in FIG. 20, according to one embodiment.

FIG. 22 illustrates an RF drive and control circuit, according to one embodiment.

FIG. 23 illustrates a perspective view of one embodiment of a transformer employed in the RF drive circuit illustrated in FIG. 22.

FIG. 24 illustrates a perspective view of one embodiment of a primary coil of the transformer illustrated in FIG. 23.

FIG. 25 illustrates a perspective view of one embodiment of a secondary coil of the transformer illustrated in FIG. 23.

FIG. 26 illustrates a bottom view of the primary coil illustrated in FIG. 24.

FIG. 27 illustrates a side view of the primary coil illustrated in FIG. 24.

FIG. 28 illustrates a sectional view of the primary coil illustrated in FIG. 24 taken along section 28-28.

FIG. 29 illustrates a bottom view of the secondary coil illustrated in FIG. 25.

FIG. 30 illustrates a side view of the secondary coil illustrated in FIG. 25.

FIG. 31 illustrates a sectional view of the secondary coil illustrated in FIG. 30 taken along section 31-31.

FIG. 32 illustrates a perspective view of an inductor employed in the RF drive circuit illustrated in FIG. 22.

FIG. 33 illustrates a bottom view of the inductor illustrated in FIG. 32.

FIG. 34 illustrates a side view of the inductor illustrated in FIG. 32.

FIG. 35 illustrates a sectional view of the inductor illustrated in FIG. 34 taken along section 35-35.

FIG. 36 illustrates main components of a controller, according to one embodiment.

FIG. 37 is a signal plot illustrating the switching signals applied to a field effect transistor (FET), a sinusoidal signal representing the measured current or voltage applied to a load, and the timings when a synchronous sampling circuit samples the sensed load voltage and load current, according to one embodiment.

FIG. 38 illustrates a drive waveform for driving an FET gate drive circuit, according to one embodiment.

FIG. 39 illustrates a diagram of a digital processing system located on a first substrate, according to one embodiment.

FIG. 40 illustrates a battery discharge circuit, according to one embodiment.

FIG. 41 illustrates a RF amplifier section with an output sensing test circuit and magnetic switch element, according to one embodiment.

FIG. 42 illustrates a fused battery connected to a substrate-mounted FET, according to one embodiment.

FIG. 43 illustrates a fused battery connected to a substrate-mounted control relay, according to one embodiment.

FIG. 44 illustrates a potted fused battery connected to a substrate-mounted FET, according to one embodiment.

FIG. 45 illustrates a potted fused battery connected to a substrate-mounted control relay, according to one embodiment.

FIG. 46 illustrates a potted fused battery including a reed relay and control FET, according to one embodiment.

FIG. 47 illustrates a potted fused battery including a reed relay and control relay, according to one embodiment.

FIGS. 48A and 48B represent a flow diagram of a process for initializing a medical instrument fitted with an initialization clip, according to one embodiment.

FIGS. 49-57 illustrates the ornamental design for a surgical instrument handle assembly as shown and described, according to one embodiment, where:

FIG. 49 is a left perspective view of a handle assembly for a surgical instrument.

FIG. 50 is a right perspective view thereof.

FIG. 51 is a left perspective view thereof.

FIG. 52 is a left view thereof.

FIG. 53 is a front view thereof.

FIG. 54 is a right view thereof.

FIG. 55 is a rear view thereof.

FIG. 56 is a top view thereof.

FIG. 57 is a bottom view thereof.

#### DESCRIPTION

Before explaining various embodiments of medical instruments in detail, it should be noted that the illustrative embodiments are not limited in application or use to the details of construction and arrangement of parts illustrated in the accompanying drawings and description. The illustrative embodiments may be implemented or incorporated in other embodiments, variations and modifications, and may be practiced or carried out in various ways. Further, unless otherwise indicated, the terms and expressions employed herein have been chosen for the purpose of describing the illustrative embodiments for the convenience of the reader and are not for the purpose of limitation thereof.

Further, it is understood that any one or more of the following-described embodiments, expressions of embodiments, examples, can be combined with any one or more of the other following-described embodiments, expressions of embodiments, and examples.

The present disclosure is directed generally to medical instruments and in particular, although not exclusively, to electrosurgical instruments. The present disclosure also is directed to drive circuits and methods for driving such medical instruments. Additionally, the present disclosure is directed to lockout mechanisms, user interfaces, initialization techniques, and battery power conservation circuits and methods for such surgical instruments.

For clarity of disclosure, the terms “proximal” and “distal” are defined herein relative to a surgeon grasping the electrosurgical instrument. The term “proximal” refers to the position of an element closer to the surgeon and the term “distal” refers to the position of an element further away from the surgeon.

Many surgical procedures require cutting or ligating blood vessels or other vascular tissue. With minimally invasive surgery, surgeons perform surgical operations through a small incision in the patient’s body. As a result of the limited space, surgeons often have difficulty controlling bleeding by clamping and/or tying-off transected blood vessels. By utilizing electrosurgical forceps, a surgeon can cauterize, coagulate/desiccate, and/or simply reduce or slow bleeding by controlling the electrosurgical energy applied through jaw members of the electrosurgical forceps, otherwise referred to as clamp arms.

FIG. 1 illustrates the form of an electrosurgical medical instrument 100 that is designed for minimally invasive medical procedures, according to one embodiment. As shown, the instrument 100 is a self contained device, having an elongate shaft 102 that has a housing 112 with a handle 104 connected to the proximal end of the shaft 102 and an end effector 106 connected to the distal end of the shaft 102. In this embodiment, the end effector 106 comprises medical forceps 108 having a movable jaw member and a cutting blade or knife (not shown) coupled to an inner sheath (not shown) located within the shaft 102 that are controlled by the user manipulating a control lever 110 (e.g., hand trigger) portion of the handle 104. In the illustrated embodiment, the control lever 110 (e.g., hand trigger) is in the form of a hook (e.g., shepherd’s hook) having a curved front portion and a rear portion where the rear portion extends below the front portion. The curved front portion and the rear portion define an aperture therebetween to receive the user’s hand to operate the control lever 110. During a surgical procedure, the shaft 102 is inserted through a trocar to gain access to the patient’s interior and the operating site.

The surgeon will manipulate the forceps 108 using the handle 104, the control lever 110, and rotation knob 116 until the forceps 108 are located around the vessel to be cauterized. The rotation knob 116 is coupled to the shaft 102 and the end effector 106. Rotation of the rotation knob 116 causes rotation of the shaft 102 and the end effector 106. In one embodiment, the shaft 102 is continuously rotatable greater than 360° using the rotation knob 116. To perform the desired cauterization Electrical energy at an RF frequency (it has been found that frequencies above about 50 kHz (e.g., ~100 kHz and higher) do not affect the human nervous system) is then applied by, in a controlled manner, to the forceps 108 by actuating an activation button 114. The activation button 114 has a partial activation position and a full activation position.

As shown in FIG. 1, in this embodiment, the handle 104 houses batteries and the housing houses control electronics for generating and controlling the electrical energy required

to perform the cauterization. In this way, the instrument 100 is self contained in the sense that it does not need a separate control box and supply wire to provide the electrical energy to the forceps 108. The instrument 100 also comprises a first visual feedback element 118a on the proximal end of the housing 112 to indicate that the device is ready for use and functioning normally, that there are a limited number of transections remaining, that RF energy is being delivered, that an alert condition or fault exists, that the initialization clip was removed, among other indications. In one embodiment, the first visual feedback element 118a is a light emitting diode (LED), without limitation. In one embodiment, the first visual feedback element 118a is a tri-color LED. In one embodiment, the instrument 100 comprises an integral generator and a non-reusable battery.

FIG. 2 illustrates another view of the electrosurgical medical instrument 100 shown in FIG. 1. In one embodiment, the instrument 100 comprises a second visual feedback element 118b located on the proximal end of the housing 112. In one embodiment, the second visual feedback element 118b performs the same function as the first visual feedback element 118a. In one embodiment, the second visual feedback element 118b is an LED, without limitation. In one embodiment, the second visual feedback element 118b is a tri-color LED.

FIG. 3 illustrates another view of the electrosurgical medical instrument 100 shown in FIG. 1. In one embodiment, the instrument 100 comprises a disposal button 120 located on the bottom of the handle 104, for example. The disposal button 120 is used to deactivate the instrument 100. In one embodiment, the instrument 100 may be deactivated by pushing and holding the disposal button 120 for a predetermined period. For example, the instrument 100 may be deactivated by pushing and holding the disposal button 120 for about four seconds. In one embodiment, the instrument 100 will automatically deactivate after a predetermined period. For example, the instrument 100 will automatically deactivate either eight or 10 hours after completion of the first cycle. An aperture 115 formed in the handle 104 provides a path for audio waves or a means for sound generated by an audio feedback element such as a piezoelectric buzzer to escape, for example, from within the handle 104. In one embodiment, the piezoelectric buzzer operates at 65 dBA at one meter at a frequency between about 2.605 kHz to 2.800 kHz, for example. The aperture 115 enables the sound to escape the handle 104 so that it is comfortably audible to the surgeon while operating the medical instrument 100.

FIG. 4 illustrates a sectional view of the electrosurgical medical instrument 100 illustrating elements thereof contained within the housing 112, according to one embodiment. In one embodiment, the instrument 100 comprises a knife lockout mechanism 200 to prevent the advancement of an inner sheath 202, which is coupled to a blade (not shown) portion of the medical forceps 108. In the illustrated embodiments, the medical forceps 108 having a movable jaw member that is pivotally movable to clamp down on a vessel when the control lever 110 is squeezed proximally in the direction of arrow 122. The cutting blade or knife (not shown) portion of the medical forceps 108 also advances distally when the control lever 110 is squeezed proximally. The cutting blade, sometime referred to as the knife, is for cutting the vessel after it has been cauterized. To prevent a “cold cut” of the vessel, which is defined as cutting with no application of energy to the vessel, a knife lockout mechanism 200 prevents the control lever 110 from being squeezed and thus prevents the blade from being advanced until the activation button 114 is fully engaged and a suitable amount of RF energy is applied to the vessel to properly cauterize it.



The knife lockout mechanism **200** ensures that the activation button **114** is fully depressed to activate the RF energy source such that energy is delivered to the vessel prior to cutting. When the activation button **114** is fully engaged, the knife lockout mechanism **200** enables the control lever **110** to be squeezed proximally in the direction of arrow **122**. This action advances the inner sheath **202** distally to close the jaw members of the electrosurgical forceps **108** while the cutting blade is simultaneously advanced to cut the vessel after it is fully cauterized. Therefore, electrosurgical energy is applied to the vessel through the jaw members of the electrosurgical forceps **108** before the cutting blade advances.

Also shown in FIG. **4** is an integral energy source **300**, according to one embodiment. In the embodiment illustrated in FIG. **4**, the integral energy source **300** may be a non-replaceable DC energy source such as a battery **300** that fits within the handle portion **104** of the housing **112**. One embodiment of the energy source **300** is described in more detail hereinbelow.

In one embodiment, the battery **300** is a 1000 mAh, triple-cell Lithium Ion Polymer battery, Lithium battery, among others. The battery **300** will be fully charged prior to Ethylene Oxide (EtO) sterilization, and will have a fully charged voltage of about 12V to about 12.6V. The battery **300** will have two 20 A fuses fitted to the substrate which connects the cells, one in line with each terminal. In other embodiments, the battery capacity may be greater than 1000 mAh, such as, up to about 3000 mAh, for example.

In one embodiment, the minimum distance between terminals of the battery **300** may be about 3 mm such that sparking conditions require an atmosphere with a dielectric breakdown of  $\leq 4200\text{V/m}$ . Even at the lowest pressures encountered in an EtO cycle, for a condition of pure EtO, across a 3 mm gap the breakdown voltage is approximately 450V. This is more than an order of magnitude greater than the maximum battery voltage, and this is further mitigated by the use of a Nitrogen blanket during the sterilization process.

Also shown in FIG. **4** is an electronics system **400**, according to one embodiment. In one embodiment, the electronics system **400** comprises an RF generation circuit to generate an RF drive signal and to provide the RF drive signal to the at least one electrical contact where the RF generation circuit also includes a resonant circuit. The electronics system **400** also comprises control elements such as one or more than one microprocessor (or micro-controller) and additional digital electronic elements to control the logical operation of the instrument **100**. One embodiment of the electronics system **400** is described hereinbelow. The electronics system **400** including the RF generation circuit is supported by the housing **112**. In one embodiment, RF generation circuit to generate an RF drive signal is integral to the housing **112** and the battery **300** is non-reusable.

Also referenced in FIG. **4** is a logical lockout mechanism **500**, in accordance with one embodiment. The logical lockout mechanism works in cooperation with an initialization clip **600** (see FIGS. 7-9) and **650** (see FIGS. 20-21) to prevent operation of the instrument **100** until it is removed. One embodiment of the logical lockout mechanism **500** is described hereinbelow.

FIG. **5** illustrates the electrosurgical medical instrument **100** in a locked out position to prevent the actuation of the control lever **110**, according to one embodiment. The control lever **110** comprises a trigger lever **212** portion that is configured to rotate about a trigger pivot **214** when the control lever **110** is squeezed in the direction of arrow **122** (FIG. **4**), unless the instrument is in locked out mode.

In the locked out mode, the trigger lever **212** portion of the control lever **110** is prevented from rotating about the trigger pivot **214** because a projection **218** of the trigger lever **212** engages a top surface **216** of an activation button lever **234** that rotates about activation button pivot **232** when the activation button **114** is squeezed in the direction of arrow **220**. A contact button torsion spring **224** keeps the activation button **114** in an outwardly position to disable the electrosurgical energy from being applied while simultaneously engaging the projection **218** of the trigger lever **212** with the top surface **216** to lockout the instrument **100** until the activation button **114** is fully engaged in the direction of arrow **220**.

A second trigger lever **210** comprises a first end that defines a pin slot **206** and a second end that defines a tab **226**. The pin slot **206** engages a pin **208** portion of the trigger lever **212**. As the trigger lever **212** rotates in the direction of arrow **236** about trigger pivot **214** the pin **208** moves within the pin slot **206** to apply a rotation movement to the second trigger lever **210**. The tab **226** engages an aperture **228** to mechanically couple the second trigger lever **210** to the inner sheath **202**. Thus, as the trigger lever **212** moves in the direction of arrow **236**, the second trigger lever **210** rotates about lever pivot **204** to apply a linear translation motion to the inner sheath **202** in the direction of arrow **238**. A trigger torsion spring **222** engages the second trigger lever **210** at a notch **240** formed on the second trigger lever **210**. The trigger torsion spring **222** torque balances the hand force applied to the second trigger lever **210** through the control lever **110** about the trigger pivot **214**.

FIG. **6** illustrates the electrosurgical medical instrument **100** in a full stroke position, according to one embodiment. In order to release the lockout mechanism **200**, the activation button **114** is fully engaged in the direction of arrow **220** to cause the activation button lever **234** to rotate about activation button pivot **232** and release the top surface **216** from engaging the projection **218**. This allows the projection **218** to slidably rotate past a surface **230** of the activation button lever **234** as the trigger lever **212** slidably rotates in the direction of arrow **236** about trigger pivot **214** as the control lever **110** is squeezed, or actuated, proximally by the surgeon. During the control lever **110** actuation period, the pin **208** is engaged by the pin slot **206** slidably moving therein and rotating the second trigger lever **210** about the lever pivot **204**. As shown in FIG. **6**, in the full stroke position, the inner sheath **202** is fully advanced in the distal direction in accordance with the translation motion applied by the tab **226** and aperture **228** as the second trigger lever **210** is fully rotated about the lever pivot **204**. Also of note, the trigger and contact button torsion springs **222**, **224**, respectively, are torqued in order to return the control lever **110** and contact button **114**, respectively, to their normal locked out deactivated positions.

FIG. **7** illustrates the electrosurgical medical instrument **100** comprising an initialization clip **600**, according to one embodiment. The clip **600** prevents firing the medical instrument **100** without enabling and also provides some protection during shipment. The initialization clip **600** is applied to the instrument **100** after initialization at the factory and stays on the instrument **100** during storage. Upon removal of the clip **600**, the instrument **100** is enabled. In one embodiment, if the instrument **100** has completed one full energy activation cycle (described in more detail hereinbelow) and the clip **600** is re-installed, the instrument **100** will not function upon removal of the clip **600** a second time.

In addition to the clip **600**, other techniques for activating the battery **300** are contemplated by the present disclosure. In one embodiment, described but not shown, a "Pull Tab" may be employed to activate the battery **300**. In one embodiment,

the Pull Tab may comprise a plastic strip that physically separates the battery contacts acting as an insulator. A multi-stage version of this embodiment enables production testing.

In another embodiment, described but not shown, a break-away plastic tab may be employed to activate the battery 300. In one embodiment, the breakaway plastic tab separates the battery 300 contacts and cannot be replaced.

In another embodiment, described but not shown, a mechanical mechanism may be employed to activate the battery 300. In one embodiment, the mechanical mechanism may be activated from outside the battery 300 to open the battery 300 contacts via a mechanical means.

In another embodiment, described but not shown, a removable battery is provided, where the battery is removed prior to the sterilizing the medical instrument 100. The removable battery may be sterilized using a separate sterilization method. For example, the medical instrument 100 may be sterilized by EtO and the battery by H<sub>2</sub>O<sub>2</sub> (hydrogen peroxide), e-beam sterilization, or any suitable sterilization technique that is non-destructive to the battery.

In another embodiment, described but not shown, a Hall-effect device may be employed as an activation means. The Hall-effect device is responsive to a magnetic field and can be used to detect the presence or absence of a magnetic field.

In yet another embodiment, a remotely activated switch element 606 (such as a reed relay, Hall-effect sensor, RF device, optical element, for example, see FIGS. 8, 10, 41) that disables the electronics system 400 when a remote switch activation element 602 (such as, for example, a magnet as shown in FIG. 8, RF device, optical element) is brought into proximity to the magnetically operated element 606. This particular embodiment is described in more detail hereinbelow in connection with FIGS. 8, 10, 41, and 42-47, for example.

Also shown in FIG. 7 is the activation button 114 in multiple positions. In one embodiment, the activation button 114 is movable over multiple actuation positions to control multiple functions. In the embodiment illustrated in FIG. 7, the activation button 114 is shown in three separate positions 114a, 114b, 114c, where in a first position 114a the activation button 114 is full extended distally outwardly and does not result in energizing the RF energy circuit. In a second position 114b the activation button 114 is in a partial depression mode and in a third position 114c the activation button 114 is in a full depression mode. During normal operation, e.g., when the clip 600 is removed, when the activation button is in the first position 114a, the lockout mechanism is engaged and the operation of the control lever 110 is inhibited as previously described in connection with FIGS. 4-6 and the energy source 300 (FIG. 4) is disconnected from the electronics system 400 (FIG. 4). When the activation switch 114 is partially depressed in the second position 114b, the device is still mechanically locked out to inhibit the operation of the control lever 110, as previously described in connection with FIGS. 4-6, but the circuit is connected to the energy source 300 and becomes partially functional. For example, in one embodiment, several logic functions may be enabled while keeping the RF energy activation circuit disabled. When the activation switch 114 is fully depressed in the third position 114c, the device is mechanically unlocked and enables the operation of the control lever 110, as previously described in connection with FIGS. 4-6, but the circuit is connected to the energy source 300 and becomes fully functional, including enabling the operation of the logic and the RF energy circuit. It will be appreciated, however, that in the configuration of the instrument 100 shown in FIG. 7, the clip 600 mechanically prevents the operation of the control lever 110 and also inhibits the

operation of the electronics system 400 by electrically disconnecting the energy source 300 from the electronics system 400. The functionality of the multi-position activation button 114 as it relates to the mechanical and electrical lockout will be described in more detail hereinbelow.

FIG. 8 is another view of the electrosurgical medical instrument 100 comprising an initialization clip 600 as shown in FIG. 7, according to one embodiment. In FIG. 7, the clip 600 is shown without a cover plate to show the internal structure of the clip 600. As shown in FIG. 8, the clip 600 defines an internal cavity that contains a magnet 602. The magnetic flux generated by the magnet 602 acts on a magnetically operated element 606 located on the electronics system 400. The magnetically operated element 606 is coupled to the electronics system 400 and the energy source 300 and acts as a switch to disconnect and connect the energy source to the electronics system 400.

In the embodiment illustrated in FIG. 8, the magnetic flux generated by the magnet 602 causes the magnetically operated element 606 to electrically disconnect the energy source 300 from the electronics system 400, including a transformer 404 and an inductor 406. When the magnet 602 is removed, by removing the clip 600 from the instrument 100, for example, the magnetically operated element 606 electrically connects the energy source 300 to the electronics system 400. Accordingly, as long as the clip 600 with the magnet 602 is located on the instrument 100, the instrument 100 is mechanically and electrically locked out. As previously described, when the clip 600 is located on the instrument 100, depressing the 114 in the first position 114a, second position 114b, or third position 114c does not activate the electronics system 400 because the magnetically operated element 606 electrically disconnects or decouples the energy source 300 from the electronics system 400. In one embodiment, the magnetically operated element 606 may be a reed switch, a hall-effect sensor, or any other switch type device that can be activated by a magnetic field. Still, in another embodiment, the medical instrument 100 may comprise an accelerometer to detect motion. When the accelerometer is at rest, indicating that the medical instrument 100 is at rest, the instrument 100 is completely powered down by disconnecting the battery 300 from the electronics system 400. When the accelerometer detects motion, indicating that the medical instrument 100 is no longer at rest, the instrument is powered up by connecting the battery 300 to the electronic system 400.

While undergoing sterilization, the electronics system 400 will not be powered and will draw only a leakage current of about 1  $\mu$ A. The electronics system 400 may be disabled by the magnetically operated element 606 (e.g., a reed switch) and magnet 602 which is encased in the clip 600. The clip 600 is fitted to the medical instrument 100 as part of the manufacturing process, and must be removed to enable power from the battery 300. When powered, in the idle condition the load circuit draws an average of 10 mA, with peaks of up to 65 mA. When the activation button 114 is pressed, the device draws an average of 5 A, with peaks of 15.5 A from the battery 300. When packaged, the jaws are closed and there is no material between them. In one non-limiting embodiment, the voltage generated across the jaws is a maximum of 85V rms. This arrangement means there are two methods for preventing the generation of high voltages or currents—the magnetic clip 600 is the primary disabling mechanism, and the activation button 114 is the second. Several connection options for the battery 300 are described hereinbelow with reference to FIGS. 42-47.

Mechanical fastening elements 604 and 608 are used to hold the clip 600 coupled to the medical instrument 100. In

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the embodiment illustrated in FIG. 8, the clip comprises a first half **612a** and a second **612b** that can be fastened using mechanical fastening elements to form an interference fit, press fit, or friction fit, such that friction holds the two halves **612a, b** after they are pushed or compressed together. In other embodiments, other fastening techniques may be employed to fasten the two halves **612a, b** such as by ultrasonic welding, snap fitting, gluing, screwing, riveting, among others. Another embodiment of an initialization clip **650** is described below in connection with FIGS. 20 and 21.

FIG. 9 illustrates a sectional view of the housing **112** portion of the electrosurgical medical instrument **100** showing an electronic circuit device **402** portion of the electronics system **400**, according to one embodiment. In one embodiment, the electronic circuit device **402** can be configured as a data gathering/programming interface, for example. In one embodiment, the electronic circuit device **402** can be programmed by a programming device (not shown). The electronic circuit device **402** can output real-time data such as tissue voltage, current, and impedance to an external data recording device (not shown). In one embodiment, the electronic circuit device **402** is a non-volatile memory device that can store computer program instructions and/or tissue voltage, current, and impedance data.

In one embodiment, the data transfer/device programming function can be implemented by a connector provided on the housing **112** to couple an external data transfer/device programmer device to the electronic circuit device **402**. The external data transfer/device programmer device may be employed for two-way communication with the electronic circuit device **402**. To upload a new program to the medical instrument **100**, for example, the external data transfer/device programmer device can be plugged into the connector to couple to the electronic circuit device **402** and then upload the program. Data stored in the electronic circuit device **402** could be read just as easily via the connector. The data may include, for example, voltage (V), current (I), impedance (Z), device parameters, among others, without limitation.

In one embodiment, the data transfer/device programming function can be implemented via at least one of the LED **118a, b** interfaces. For example, either through the tri-color LEDs **118a, b** or the addition of an infrared (IR) LED (not shown), an optical data interface can be implemented. The optical data interface can be employed to transfer data to and from the instrument **100** and/or program the instrument **100**. In one embodiment, a separate hood (not shown) comprising a cavity to receive the proximal end of the housing **112** comprising the LEDs **118a, b** may be provided. The hood also comprises optical elements (e.g., IR LEDs) configured for optical communication in order to communicate via the optical interface comprised of LEDs **118a, b**. In operation, the hood may be slidably inserted over the proximal end of the housing **112** such that the LEDs **118a, b** are optically aligned with the optical elements located inside the hood.

FIGS. 10 and 11 illustrate the electrosurgical medical instrument **100** without the housing **112** portion to reveal the internal components of the instrument **100**, according to one embodiment. The electronics system **400** comprises both digital and RF analog circuit elements. Accordingly, as shown in FIG. 11, two separate substrates **408a** and **408b** are provided where the digital circuit elements are located on a first substrate **408a** and the RF amplifier section and other analog circuit elements are located on a second substrate **408b**. The first and second substrates **408a, b** are interconnected by an interconnect device **412**. Still in FIG. 11, the first substrate **408a** also includes digital circuit components including, for example, the electronic circuit device **402** for storing program

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and tissue information. The first substrate **408a** also includes an audio feedback element **410**. In one embodiment, the audio feedback element **410** is a piezo device. In other embodiments, however, different types of audio feedback devices may be employed without limitation. It will be appreciated that in various embodiments, the first and second substrates **408a, b** are formed of printed circuit boards. In other embodiments, however, these substrates can be formed of any suitable materials, such as alumina ceramics, for example. The substrates **408a, b** may comprise discrete, integrated, and/or hybrid circuit elements and combinations thereof. With reference now to FIG. 10, the second electronic substrate **408b** comprises an inductor **404** and a transformer **406** that form a part of the RF energy circuit. With reference now to the embodiments disclosed in FIGS. 10 and 11, also shown are the dual tri-color LEDs **118a, b**. Also shown is the electrical contact system **700** that couple RF energy produced by the RF energy circuits on the second substrate **408b** to the medical forceps **108** (FIGS. 1 and 2). An electrical conductor **702** is coupled to the electrical contact system **700**. The other end of the electrical conductor **702** is coupled to the RF energy circuit.

FIGS. 12-14 illustrate various views of the electrical contact system **700**, according to one embodiment. FIG. 12 illustrates a partial cutaway view of the housing **112** to show the electrical contact system **700**, according to one embodiment. The electrical contact system **700** comprises an electrically conductive shaft **716** that is rotatable over 360° and comprises first and second rotatable electrodes **706, 708**. The rotatable electrodes **706, 708** are electrically coupled to corresponding first and second electrical contact elements **704a, 704b** where the electrical contact elements **704a, b** are electrically coupled to the electrical conductor **702** (FIGS. 10-11) coupled to the RF energy circuit. Each the first and second electrical contact elements **704a, 704b** comprise first and second electrical contact points **710a, 710b** and **712a, 712b**. The electrical contact points **710a** and **712a** are electrically coupled to a side wall **718** of the first rotatable electrode **706** and the electrical contact points **710b** and **712b** are electrically coupled to a side wall **720** of the second rotatable electrode **708**. In one embodiment, the two electrical contact elements **704a, b** provide four contact points **710a, 712a, 710b, 712b** for redundancy. The electrical contact elements **704a, b** and corresponding four contact points **710a, 712a, 710b, 712b** allow the rotatable electrodes **706, 708** of the electrically conductive shaft **716** to rotate over 360°. The two electrical contact elements **704a, b** may be formed of any suitable electrically conductive element such as copper, aluminum, gold, silver, iron, and any alloy including at least one of these element, without limitation. In one embodiment, the two electrical contact elements **704a, b** are formed of beryllium copper (BeCu) and are gold plated for corrosion resistance and good electrical contact properties. In FIG. 12 the inner sheath **202** is shown slidably inserted within the electrically conductive shaft **716**.

FIG. 13 illustrates a partial cutaway view of the housing **112** to show the electrical contact system **700** and the inner sheath **202** removed, according to one embodiment. FIG. 13, also shows an electrical element **714** that is electrically coupled to the electrical conductor **702** (FIGS. 10-11) coupled to the RF energy circuit. The electrical element **714** is coupled to the electrical contact elements **704a, b**. Accordingly, the RF energy circuit is coupled to the electrical contact element **704a, b**.

FIG. 14 illustrates a partial cutaway view of the housing **112** with the electrically conductive shaft **716** removed to show the electrical contact element **714**, according to one

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embodiment. As shown in FIG. 14, the electrical contact element 714 is located in a partial circular wall 724 that separates the circular cavities 722, 726 configured to rotatably receive the respective rotatable electrodes 706, 708 (FIGS. 12-13). The electrical contact element 714 is electrically coupled to the two electrical contact elements 704a, b. As shown, the two electrical contact elements 704a, b are located on top of the electrical contact element 714.

FIG. 15 illustrates a partial sectional view of the electrosurgical medical instrument 100 in a locked position, according to one embodiment. FIG. 15 illustrates the electrosurgical medical instrument 100 in a locked out position to prevent the actuation of the control lever 110, according to one embodiment. The control lever 110 comprises a trigger lever 212 portion that is configured to rotate about a trigger pivot 214 when the control lever 110 is squeezed in the direction of arrow 122 (FIG. 4), unless the instrument is in locked out mode.

In the locked out mode, the trigger lever 212 portion of the control lever 110 is prevented from rotating about the trigger pivot 214 because a projection 218 of the trigger lever 212 engages a top surface 216 of an activation button lever 234 that rotates about activation button pivot 232 when the activation button 114 is squeezed in the direction of arrow 220. A contact button torsion spring 224 keeps the activation button 114 in an outwardly position to disable the electrosurgical energy from being applied while simultaneously engaging the projection 218 of the trigger lever 212 with the top surface 216 to lockout the instrument 100 until the activation button 114 is fully engaged in the direction of arrow 220.

A second trigger lever 210 comprises a first end that defines a pin slot 206 and a second end that defines a tab 226. The pin slot 206 engages a pin 208 portion of the trigger lever 212. As the trigger lever 212 rotates in the direction of arrow 236 about trigger pivot 214 the pin 208 moves within the pin slot 206 to apply a rotation movement to the second trigger lever 210. The tab 226 engages an aperture 228 to mechanically couple the second lever to the inner sheath 202. Thus, as the trigger lever 212 moves in the direction of arrow 236, the second trigger lever 210 rotates about lever pivot 204 to apply a linear translation motion to the inner sheath 202 in the direction of arrow 238. A trigger torsion spring 222 engages the second trigger lever 210 at a notch 240 formed on the second trigger lever 210. The trigger torsion spring 222 torque balances the hand force applied to the second trigger lever 210 through the control lever 110 about the trigger pivot 214.

FIG. 16 illustrates another partial sectional view of the electrosurgical medical instrument 100 in a locked position, according to one embodiment. In the locked position, the knife lockout mechanism 200 prevents the control lever 110 from rotating about the trigger pivot 214 when the projection 218 of the trigger lever 212 engages a top surface 216 of the activation button lever 234. The activation button lever 234 rotates about the activation button pivot 232 when the activation button 114 is squeezed or depressed in the direction of arrow 220. The activation button 114 is supported independently from the activation button pivot 232 by a mechanism 254 such that the activation button 114 is independently operable from the actuation of the control lever 110 to actuate the cutting blade (knife). Thus, the activation button 114 can be depressed to energize the instrument 100 without actuating the cutting blade (knife). When the activation button 114 is squeezed or depressed in the direction of arrow 220, the activation button 114 actuates a switch 250, which enables energy actuation of the instrument 100. Thus, electrosurgical RF energy is applied through jaw members of the electrosur-

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gical forceps, otherwise referred to as clamp arms of the instrument 100. The cutting blade, however, is still locked out by the knife lockout mechanism 200. A tang 252 prevents the activation button 114 from being removed by pulling forward on it.

FIG. 17 illustrates another partial sectional view of the electrosurgical medical instrument 100 with the activation button 114 partially depressed to activate the energy circuit without releasing the knife lockout mechanism 200, according to one embodiment. Although the activation button 114 is partially depressed to actuate the switch 250 and energize the instrument 100, but the knife lockout mechanism 200 is still engaged to prevent the knife from being actuated by the control lever 110. As shown, the projection 218 of the trigger lever 212 is still engaged with the top surface 216 of the activation button lever 234 to prevent the trigger lever 212 from rotating about the trigger pivot 214 when the control lever 110 is squeezed.

FIG. 18 illustrates another partial sectional view of the electrosurgical instrument 100 with the activation button 114 fully depressed to activate the energy circuit and release the knife lockout mechanism 200, according to one embodiment. The activation button 114 is fully depressed to actuate the switch 250 and energize the instrument 100 and also releasing the knife lockout mechanism 200. In the fully depressed mode, the activation button 114 rests on a pin 256. As shown, the projection 218 of the trigger lever 212 is disengaged from the top surface 216 of the activation button lever 234 that rotates about activation button pivot 232 to enable the trigger lever 212 to rotate about the trigger pivot 214 when the control lever 110 is squeezed to throw the knife. As shown, the inner sheath 202 can now be advanced in the direction indicated by the direction of arrow 238.

FIG. 19 illustrates another partial sectional view of the electrosurgical medical instrument 100 with the activation button 114 fully depressed to activate the energy circuit with the knife lockout mechanism 200 released and the knife fully thrown, according to one embodiment. As shown, the inner sheath 202 has advanced in the direction indicated by the direction of arrow 238.

FIG. 20 is a perspective view of an initialization clip 650, according to one embodiment. The initialization clip 650 is similar to the initialization clip 600 described in connection with FIGS. 7-9. FIG. 21 is partial cutaway view of the initialization clip 650 shown in FIG. 20, according to one embodiment. With reference to FIGS. 20 and 21, the initialization clip 650 is attached to the electrosurgical medical instrument 100 to prevent firing the medical instrument 100 without enabling and also provides some protection during shipment. The initialization clip 650 is applied to the instrument 100 after initialization at the factory and stays on the instrument 100 during storage. Upon removal of the clip 650, the instrument 100 activates in the manner described with respect to the initialization clip 600 of FIGS. 6-9. In one embodiment, if the instrument 100 has completed one full energy activation cycle (described in more detail hereinbelow) and the clip 650 is re-installed, the instrument 100 will not function upon removal of the clip 650 a second time. The initialization clip 650 comprises a snap button 652 to secure the clip 650 to the instrument 100 and a tilted magnetic pocket 654. The magnetic pocket 654 contains a magnet that works in conjunction with a reed switch, or other suitable sensing element, to detect the presence of the initialization clip 650, and thus determine whether it is attached or removed from the instrument 100. In other respects, the initialization clip 650 operates similarly to the initialization clip 600 described in connection with FIGS. 7-9.

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The description now turns to the RF drive and control circuitry sections of the battery powered electrosurgical instrument **100**, according to one embodiment. As described in FIGS. **10-11**, the RF drive and control circuitry sections of the electronics system **400** are located on a second substrate **408b**. The electronics elements of the power supply and RF amplifier sections should be designed to have the highest efficiency possible in order to minimize the heat rejected into the relatively small handheld housing **112**. Efficiency also provides the longest storage and operational battery life possible. As described in the embodiments illustrated in FIGS. **23-35**, litz wire may be wound around a bobbin core to reduce AC losses due to high frequency RF. The litz wire construction provides greater efficiency and thus also prevents heat generation in the device.

In various embodiments, efficiency of the power supply and RF drive and control circuitry sections also may minimize the size of the battery **300** required to fulfill the mission life, or to extend the mission life for a given size battery **300**. In one embodiment, the battery **300** provides a low source impedance at a terminal voltage of 12.6V (unloaded) and a 1030 mA-Hour capacity. Under load, the battery voltage is a nominal 11.1V, for example.

Radio frequency drive amplifier topologies may vary according to various embodiments. In one embodiment, for example, a series resonant approach may be employed where the operating frequency is varied to change the output voltage to force the medical instrument **100** to operate according to a pre-programmed load curve. In a series resonant approach, the impedance of a series resonant network is at a minimum at the resonant frequency, because the reactance of the capacitive and inductive elements cancel, leaving a small real resistance. The voltage maximum for a series resonant circuit also occurs at the resonant frequency (and also depends upon the circuit Q). Accordingly, to produce a high voltage on the output, the series resonant circuit should operate closer to the resonant frequency, which increases the current draw from the DC supply (e.g., battery **300**) to feed the RF amplifier section with the required current. Although the series resonant approach may be referred to as a resonant mode boost converter, in reality, the design is rarely operated at the resonant frequency, because that is the point of maximum voltage. The benefit of a resonant mode topology is that if it is operated very close to the resonant frequency, the switching field effect transistors (FETs) can be switched "ON" or "OFF" at either a voltage or current zero crossing, which dissipates the least amount of power in the switching FETs as is possible.

Another feature of the RF drive and control circuitry section according to one embodiment, provides a relatively high turns ratio transformer which steps up the output voltage to about 85 VRMS from the nominal battery **300** voltage of about 11.1V. This provides a more compact implementation because only one transformer and one other inductor are required. In such a circuit, high currents are necessary on the transformer primary to create the desired output voltage or current. Such device, however, cannot be operated at the resonant frequency because allowances are made to take into account for the battery voltage dropping as it is expended. Accordingly, some headroom is provided to maintain the output voltage at the required level. A more detailed description of a series resonant approach is provided in commonly assigned international PCT Patent Application No. PCT/GB2011/000778, titled "Medical Device," filed May 20, 2011, the disclosure of which is incorporated herein by reference in its entirety.

According to another embodiment, an RF instrument topology comprising a novel and unique architecture is pro-

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vided for a handheld battery powered RF based generator for the electrosurgical medical instrument **100**. Accordingly, in one embodiment, the present disclosure provides an RF instrument topology with an architecture configured such that each power section of the device operate at maximum efficiency regardless of the load resistance presented by the tissue or what voltage, current, or power level is commanded by the controller. In one embodiment, this may be implemented by employing the most efficient modalities of energy transformation presently known and by minimizing the component size to provide a small and light weight electronics package to fit within the housing **112**, for example.

In one embodiment, the RF power electronics section of the electronics system **400** may be partitioned as a boost mode converter, synchronous buck converter, and a parallel resonant amplifier. According to one embodiment, a resonant mode boost converter section of the medical instrument **100** may be employed to convert the DC battery **300** voltage to a higher DC voltage for use by the synchronous mode buck converter. One aspect to consider for achieving a predetermined efficiency of the resonant mode boost converter section is ratio between input and output voltages of the boost converter. In one embodiment, although a 10:1 ratio is achievable, the cost is that for any appreciable power on the secondary the input currents to the boost mode transformer become quite heavy, in the range of about 15-25 A, depending on the load. In another embodiment a transformer turns ratio of about 5:1 is provided. It will be appreciated that transformer ratios in the range of about 5:1 to about 10:1 also may be implemented, without limitation. In a 5:1 transformer turns ratio, the design tradeoff is managing the Q of the parallel resonant output against the boost ratio. The resonant output network performs two functions. First, it filters the square, digital pulses from the Class D output amplifier and removes all but the fundamental frequency sine wave from the output. Second, it provides a passive voltage gain due to the Q of the filter network. In other words, current from the amplifier is turned into output voltage, at a gain determined by the circuit's unloaded Q and the load resistance, which affects the Q of the circuit.

Another aspect to consider for achieving a predetermined efficiency in the resonant mode boost converter section is to utilize a full bridge switcher topology, which allows half the turns ratio for the boost transformer for the same input voltage. The tradeoff is that this approach may require additional FET transistors, e.g., an additional two FETs are required over a half bridge approach, for example. Presently available switchmode FETs, however, are relatively small, and while the gate drive power is not negligible, it provides a reasonable design tradeoff.

Yet another aspect to consider for achieving a predetermined efficiency in the resonant mode boost converter section and operating the boost converter at maximum efficiency, is to always run the circuit at the resonant frequency so that the FETs are always switching at either a voltage or current minima, whichever is selected by the designer (ZCS vs. ZVS switching), for example. This can include monitoring the resonant frequency of the converter as the load changes, and making adjustments to the switching frequency of the boost converter to allow ZVS or ZCS (Zero Voltage Switching/Zero Current Switching) to occur for minimum power dissipation.

Yet another aspect to consider for achieving a predetermined efficiency in the resonant mode boost converter section is to utilize a synchronous rectifier circuit instead of a conventional full-wave diode rectifier block. Synchronous rectification employs FETs as diodes because the on-resistance of the FET is so much lower than that of even a Schottky power

diode optimized for low forward voltage drop under high current conditions. A synchronous rectifier requires gate drive for the FETs and the logic to control them, but offers significant power savings over a traditional full bridge rectifier.

In accordance with various embodiments, the predetermined efficiency of a resonant mode boost converter is approximately 98-99% input to output, for example. Any suitable predetermined efficiency may be selected based on the particular implementation. Accordingly, the embodiments described herein are limited in this context.

According to one embodiment, a synchronous buck converter section of the medical instrument **100** may be employed to reduce the DC voltage fed to the RF amplifier section to the predetermined level to maintain the commanded output power, voltage or current as dictated by the load curve, with as little loss as is possible. The buck converter is essentially an LC lowpass filter fed by a low impedance switch, along with a regulation circuit to control the switch to maintain the commanded output voltage. The operating voltage is dropped to the predetermined level commanded by the main controller, which is running the control system code to force the system to follow the assigned load curve as a function of sensed tissue resistance. In accordance with various embodiments, the predetermined efficiency of a synchronous buck regulator is approximately 99%, for example. Any suitable predetermined efficiency may be selected based on the particular implementation. Accordingly, the embodiments described herein are limited in this context.

According to one embodiment, a resonant mode RF amplifier section comprising a parallel resonant network on the RF amplifier section output is provided. In one embodiment, a predetermined efficiency may be achieved by a providing a parallel resonant network on the RF amplifier section output. The RF amplifier section may be driven at the resonant frequency of the output network which accomplished three things. First, the high Q network allows some passive voltage gain on the output, reducing the boost required from the boost regulator in order to produce high voltage output levels. Second, the square pulses produced by the RF amplifier section are filtered and only the fundamental frequency is allowed to pass to the output. Third, a full-bridge amplifier is switched at the resonant frequency of the output filter, which is to say at either the voltage zero crossings or the current zero crossings in order to dissipate minimum power. Accordingly, a predetermined efficiency of the RF amplifier section is approximately 98%. Gate drive losses may limit the efficiency to this figure or slightly lower. Any suitable predetermined efficiency may be selected based on the particular implementation. Accordingly, the embodiments described herein are limited in this context.

In view of the RF instrument topology and architecture described above, an overall system efficiency of approximately  $0.99 \times 0.99 \times 0.98$ , which is approximately 96%, may be achieved. Accordingly, to deliver approximately 45 W, approximately 1.8 W would be dissipated by the electronics exclusive of the power required to run the main and house-keeping microprocessors, and the support circuits such as the ADC and analog amplifiers and filters. To deliver approximately 135 W, approximately 5.4 W would be dissipated. This is the amount of power that would be required to implement a large jaw class generator in a hand held electrosurgical medical instrument. Overall system efficiency would likely only be a weak function of load resistance, instead of a relatively strong one as it may be the case in some conventional instruments.

In various other embodiments of the electrosurgical medical instrument **100**, a series resonant topology may be employed to achieve certain predetermined efficiency increase by employing a full bridge amplifier for the primary circuit and isolate the full bridge amplifier from ground to get more voltage on the primary. This provides a larger primary inductance and lower flux density due to the larger number of turns on the primary.

FIG. **22** illustrates an RF drive and control circuit **800**, according to one embodiment. FIG. **22** is a part schematic part block diagram illustrating the RF drive and control circuitry **800** used in this embodiment to generate and control the RF electrical energy supplied to the forceps **108**. As will be explained in more detail below, in this embodiment, the drive circuitry **800** is a resonant mode RF amplifier comprising a parallel resonant network on the RF amplifier output and the control circuitry operates to control the operating frequency of the drive signal so that it is maintained at the resonant frequency of the drive circuit, which in turn controls the amount of power supplied to the forceps **108**. The way that this is achieved will become apparent from the following description.

As shown in FIG. **22**, the RF drive and control circuit **800** comprises the above described battery **300** are arranged to supply, in this example, about 0V and about 12V rails. An input capacitor ( $C_{in}$ ) **802** is connected between the 0V and the 12V for providing a low source impedance. A pair of FET switches **803-1** and **803-2** (both of which are N-channel in this embodiment to reduce power losses) is connected in series between the 0V rail and the 12V rail. FET gate drive circuitry **805** is provided that generates two drive signals—one for driving each of the two FETs **803**. The FET gate drive circuitry **805** generates drive signals that causes the upper FET (**803-1**) to be on when the lower FET (**803-2**) is off and vice versa. This causes the node **807** to be alternately connected to the 12V rail (when the FET **803-1** is switched on) and the 0V rail (when the FET **803-2** is switched on). FIG. **22** also shows the internal parasitic diodes **808-1** and **808-2** of the corresponding FETs **803**, which conduct during any periods that the FETs **803** are open.

As shown in FIG. **22**, the node **807** is connected to an inductor-inductor resonant circuit **810** formed by an inductor  $L_s$  **812** and an inductor  $L_m$  **814**, which is the primary coil of a transformer **815**. The transformer **815** is the schematic symbol for the transformer **404** shown in FIGS. **8** and **10** and described in more detail below in connection with FIGS. **23-35**. Turning back to FIG. **22**, the FET gate driving circuitry **805** is arranged to generate drive signals at a drive frequency ( $f_d$ ) that opens and crosses the FET switches **803** at the resonant frequency of the parallel resonant circuit **810**. As a result of the resonant characteristic of the resonant circuit **810**, the square wave voltage at node **807** will cause a substantially sinusoidal current at the drive frequency ( $f_d$ ) to flow within the resonant circuit **810**. As illustrated in FIG. **22**, the inductor  $L_m$  **814** is the primary coil of a transformer **815**, the secondary coil of which is formed by inductor  $L_{sec}$  **816**. The inductor  $L_{sec}$  **816** of the transformer **815** secondary is connected to a resonant circuit **817** formed by inductor  $L_2$  **818**, capacitor  $C_4$  **820**, capacitor  $C_2$  **822**, and capacitor  $C_3$  **825**. The transformer **815** up-converts the drive voltage ( $V_d$ ) across the inductor  $L_m$  **814** to the voltage that is applied to the output parallel resonant circuit **817**. The load voltage ( $V_L$ ) is output by the parallel resonant circuit **817** and is applied to the load (represented by the load resistance  $R_{load}$  **819** in FIG. **22**) corresponding to the impedance of the forceps' jaws and any tissue or vessel gripped by the forceps **108**. As shown in FIG.

15, a pair of DC blocking capacitors  $C_{b1}$  **840-1** and **840-2** is provided to prevent any DC signal being applied to the load **819**.

In one embodiment, the transformer **815** may be implemented with a Core Diameter (mm), Wire Diameter (mm), and Gap between secondary windings in accordance with the following specifications:

Core Diameter, D (mm)

$D=19.9 \times 10^{-3}$

Wire diameter, W (mm) for 22 AWG wire

$W=7.366 \times 10^{-4}$

Gap between secondary windings, in gap=0.125

$G=\text{gap}/25.4$

In this embodiment, the amount of electrical power supplied to the forceps **108** is controlled by varying the frequency of the switching signals used to switch the FETs **803**. This works because the resonant circuit **810** acts as a frequency dependent (loss less) attenuator. The closer the drive signal is to the resonant frequency of the resonant circuit **810**, the less the drive signal is attenuated. Similarly, as the frequency of the drive signal is moved away from the resonant frequency of the circuit **810**, the more the drive signal is attenuated and so the power supplied to the load reduces. In this embodiment, the frequency of the switching signals generated by the FET gate drive circuitry **805** is controlled by a controller **841** based on a desired power to be delivered to the load **819** and measurements of the load voltage ( $V_L$ ) and of the load current ( $I_L$ ) obtained by conventional voltage sensing circuitry **843** and current sensing circuitry **845**. The way that the controller **841** operates will be described in more detail below.

In one embodiment, the voltage sensing circuitry **843** and the current sensing circuitry **845** may be implemented with high bandwidth, high speed rail-to-rail amplifiers (e.g., LMH6643 by National Semiconductor). Such amplifiers, however, consume a relatively high current when they are operational. Accordingly, a power save circuit may be provided to reduce the supply voltage of the amplifiers when they are not being used in the voltage sensing circuitry **843** and the current sensing circuitry **845**. In one-embodiment, a step-down regulator (e.g., LT3502 by Linear Technologies) may be employed by the power save circuit to reduce the supply voltage of the rail-to-rail amplifiers and thus extend the life of the battery **300**.

In one embodiment, the transformer **815** and/or the inductor  $L_s$  **812** may be implemented with a configuration of litz wire conductors to minimize the eddy-current effects in the windings of high-frequency inductive components. These effects include skin-effect losses and proximity effect losses. Both effects can be controlled by the use of litz wire, which are conductors made up of multiple individually insulated strands of wire twisted or woven together. Although the term litz wire is frequently reserved for conductors constructed according to a carefully prescribed pattern, in accordance with the present disclosure, any wire strands that are simply twisted or grouped together may be referred to as litz wire. Accordingly, as used herein, the term litz wire refers to any insulated twisted or grouped strands of wires.

By way of background, litz wire can reduce the severe eddy-current losses that otherwise limit the performance of high-frequency magnetic components, such as the transformer **815** and/or the inductor  $L_s$  **812** used in the RF drive and control circuit **800** of FIG. 22. Although litz wire can be very expensive, certain design methodologies provide significant cost reduction without significant increases in loss, or more generally, enable the selection of a minimum loss design at any given cost. Losses in litz-wire transformer windings have been calculated by many authors, but rela-

tively little work addresses the design problem of how to choose the number and diameter of strands for a particular application. Cost-constrained litz wire configurations are described in C. R. Sullivan, "Cost-Constrained Selection of Strand Wire and Number in a Litz-Wire Transformer Winding," *IEEE Transactions on Power Electronics*, vol. 16, no. 2, pp. 281-288, which is incorporated herein by reference. The choice of the degree of stranding in litz wire for a transformer winding is described in C. R. Sullivan, "Optimal Choice for Number of Strands in a Litz-Wire Transformer Winding," *IEEE Transactions on Power Electronics*, vol. 14, no. 2, pp. 283-291, which is incorporated herein by reference.

In one embodiment, the transformer **815** and/or the inductor  $L_s$  **812** may be implemented with litz wire by HM Wire International, Inc., of Canton, Ohio or New England Wire Technologies of Lisbon, N.H., which has a slightly different construction in terms of the number of strands in the intermediate windings, but has the same total number of strands of either 44 gauge or 46 gauge wire by HM Wire International, Inc. Accordingly, the disclosure now turns to FIGS. 23-35, which illustrate one embodiment of the transformer **815** and the inductor  $L_s$  **812** implemented with litz wire.

FIG. 23 illustrates a perspective view of one embodiment of the transformer **404** shown in FIGS. 8 and 10 and shown as transformer **815** in connection with the RF drive circuit **800** illustrated in FIG. 22. As shown in FIG. 23, in one embodiment, the transformer **404** comprises a bobbin **804**, a ferrite core **806**, a primary coil **821** (e.g., inductor  $L_m$  **814** in FIG. 22), and a secondary coil **823** (e.g., inductor  $L_{sec}$  **816** in FIG. 22). In one embodiment, the bobbin **804** may be a 10-pin surface mounted device (SMD) provided by Ferroxcube International Holding B.V. In one embodiment, the ferrite core **806** may be an EFD 20/107 N49. In one embodiment, the transformer **815** has a power transfer of ~45 W, a maximum secondary current of ~1.5 A RMS, maximum secondary voltage of ~90V RMS, maximum primary current of ~15.5 A RMS, and a turns ratio of 20:2 (secondary turns:primary turns), for example. The operating frequency range of the transformer **404** is from ~370 kHz to ~550 kHz, and a preferred frequency of ~430 kHz. It will be appreciated that these specification are provided as examples and should not be construed to be limiting of the scope of the appended claims.

In one embodiment, the transformer **404** comprises a ferrite core material having particular characteristics. The core used for both the inductor **406** and the transformer **404**, albeit with a different gap to yield the required  $A_L$  for each component.  $A_L$  has units of Henrys/turns<sup>2</sup>, so the inductance of a winding may be found by using  $N \text{ TURNS}^2 * A_L$ . In one embodiment, an  $A_L$  of **37** is used for the inductor **406**, and an  $A_L$  of **55** is used for the transformer **406**. This corresponds to a gap of approximately 0.8 mm and 2.0 mm respectively, although the  $A_L$  or the inductance is the parameter to which the manufacturing process controls, with the  $A_L$  being an intermediate quantity that we are not measuring directly.

In one embodiment, the inductance of the inductor **406** and transformer **404** winding may be measured directly with "golden bobbins," which are squarely in the middle of the tolerance bands for the winding statistical distributions. Cores that are ground are then tested using the "golden bobbin" to assess whether the grind is good on the cores. Better results were yielded than the industry standard method, which is to fill a bobbin with as many windings as they can fit on the bobbin, and then back calculating the  $A_L$  of the core, and controlling  $A_L$  instead of the inductance. It was found that using a "golden bobbin" in the manufacturing process yielded better results. The bobbin is what the copper windings are



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secured to, and the ferrite E cores slip through a hole in the bobbin, and are secured with clips.

FIG. 24 illustrates a perspective view of one embodiment of the primary coil 821 (e.g., inductor  $L_m$  814 in FIG. 22) of the transformer 404 illustrated in FIG. 23. In one embodiment, the primary coil 821 windings may be constructed using 300 strand/46 gauge litz wire as indicated in TABLE 1 below, among other suitable configurations. In one embodiment, primary coil 821 has an inductance of  $\sim 270$  nH, an AC resistance  $< 46$  m $\Omega$ , and a DC resistance of  $\leq 5$  m $\Omega$ , for example.

TABLE 1

Primary Coil 821 ( $L_m$ 814) 46 Gauge Litz Wire
300 Strands 46 AWG-24 turns per foot (TPF) Single Build MW80 155°C Single Nylon Served Construction: $5 \times 3 \times 20/46$ AWG Ft per lb: 412 Nominal OD: 0.039" Nominal

FIG. 26 illustrates a bottom view of the primary coil 821 (e.g., inductor  $L_m$  814 in FIG. 22) illustrated in FIG. 24. FIG. 27 illustrates a side view of the primary coil 821 illustrated in FIG. 24. FIG. 28 illustrates a sectional view of the primary coil 821 illustrated in FIG. 24 taken along section 28-28.

FIG. 25 illustrates a perspective view of one embodiment of a secondary coil 823 (e.g., inductor  $L_{sec}$  816 in FIG. 22) of the transformer 404 illustrated in FIG. 23. In one embodiment, the secondary coil 823 windings may be constructed using 105 strand/44 gauge litz wire as indicated in TABLE 2 below, among other suitable configurations. In one embodiment, the secondary coil 823 has an inductance of  $22 \mu\text{H} \pm 5\%$  @430 kHz, an AC resistance  $< 2.5 \Omega$ , and a DC resistance  $\leq 80$  m $\Omega$ , for example.

TABLE 2

Secondary Coil 823 ( $L_{sec}$ 816) 44 Gauge Litz Wire
105 Strands 44 AWG 24 TPF Single Build MW80 155°C Single Nylon Served Construction: $5 \times 21/44$ AWG Ft per lb: 1214 Nominal OD: 0.023" Nominal

FIG. 29 illustrates a bottom view of the secondary coil 823 (e.g., inductor  $L_{sec}$  816 in FIG. 22) illustrated in FIG. 25. FIG. 30 illustrates a side view of the secondary coil 823 illustrated in FIG. 25. FIG. 31 illustrates a sectional view of the secondary coil 823 illustrated in FIG. 30 taken along section 31-31.

FIG. 32 is a perspective view of one embodiment of the inductor 406 shown in FIGS. 8 and 10 and shown as inductor  $L_s$  812 in connection with the RF drive circuit 800 illustrated in FIG. 22. As shown in FIG. 32, in one embodiment, the inductor 406 comprises a bobbin 809, a ferrite core 811, and a coil 813. In one embodiment, the bobbin 809 may be a 10-pin surface mounted device (SMD) provided by Ferroxcube International Holding B.V. In one embodiment, the ferrite core 811 may be an EFD 20/107 N49. In one embodiment, the coil 813 windings may be constructed using 300 strand/46 gauge litz wire wound at 24 TPF. In one embodiment, the inductor  $L_s$  812 may have an inductance of  $\sim 345$  nH  $\pm 6\%$  @430 kHz, an AC resistance  $< 50$  m $\Omega$ , and a DC resistance  $\leq 7$  m $\Omega$ , for example. The operating frequency range of the

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inductor  $L_s$  812 is from  $\sim 370$  kHz to  $\sim 550$  kHz, and a preferred frequency of  $\sim 430$  kHz, and an operating current of  $\sim 15.5$  A rms. It will be appreciated that these specification are provided as examples and should not be construed to be limiting of the scope of the appended claims.

FIG. 33 illustrates a bottom view of the inductor 406 (e.g., inductor  $L_s$  812 in FIG. 22) illustrated in FIG. 32. FIG. 34 illustrates a side view of the inductor 406 illustrated in FIG. 32. FIG. 35 illustrates a sectional view of the inductor 406 illustrated in FIG. 34 taken along section 35-35.

Accordingly, as described above in connection with FIGS. 23-35, in one embodiment, the transformer 404 (e.g., transformer 815) and/or the inductor 406 (e.g., inductor 812) used in the RF drive and control circuit 800 of FIG. 22 may be implemented with litz wire. One litz wire configuration may be produced by twisting 21 strands of 44 AWG SPN wire at 18 twists per foot (left direction twisting). Another litz wire configuration may be produced by twisting  $5 \times 21/44$  AWG (105/44 AWG SPN), also at 18 twists per foot (left direction twisting). Other litz wire configurations include 300/46 AWG litz wire as well as 46 AWG or finer gauge size wire.

FIG. 36 illustrates the main components of the controller 841, according to one embodiment. In the embodiment illustrated in FIG. 36, the controller 841 is a microprocessor based controller and so most of the components illustrated in FIG. 16 are software based components. Nevertheless, a hardware based controller 841 may be used instead. As shown, the controller 841 includes synchronous I, Q sampling circuitry 851 that receives the sensed voltage and current signals from the sensing circuitry 843 and 845 and obtains corresponding samples which are passed to a power,  $V_{rms}$  and  $I_{rms}$  calculation module 853. The calculation module 853 uses the received samples to calculate the RMS voltage and RMS current applied to the load 819 (FIG. 22; forceps 108 and tissue/vessel gripped thereby) and from them the power that is presently being supplied to the load 839. The determined values are then passed to a frequency control module 855 and a medical device control module 857. The medical device control module 857 uses the values to determine the present impedance of the load 819 and based on this determined impedance and a pre-defined algorithm, determines what set point power ( $P_{set}$ ) should be applied to the frequency control module 855. The medical device control module 857 is in turn controlled by signals received from a user input module 859 that receives inputs from the user (for example pressing buttons or activating the control levers 114, 110 on the handle 104) and also controls output devices (lights, a display, speaker or the like) on the handle 104 via a user output module 861.

The frequency control module 855 uses the values obtained from the calculation module 853 and the power set point ( $P_{set}$ ) obtained from the medical device control module 857 and predefined system limits (to be explained below), to determine whether or not to increase or decrease the applied frequency. The result of this decision is then passed to a square wave generation module 863 which, in this embodiment, increments or decrements the frequency of a square wave signal that it generates by 1 kHz, depending on the received decision. As those skilled in the art will appreciate, in an alternative embodiment, the frequency control module 855 may determine not only whether to increase or decrease the frequency, but also the amount of frequency change required. In this case, the square wave generation module 863 would generate the corresponding square wave signal with the desired frequency shift. In this embodiment, the square wave signal generated by the square wave generation module 863 is output to the FET gate drive circuitry 805, which amplifies the



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signal and then applies it to the FET **803-1**. The FET gate drive circuitry **805** also inverts the signal applied to the FET **803-1** and applies the inverted signal to the FET **803-2**.

FIG. **37** is a signal plot illustrating the switching signals applied to the FETs **803**, a sinusoidal signal representing the measured current or voltage applied to the load **819**, and the timings when the synchronous sampling circuitry **851** samples the sensed load voltage and load current, according to one embodiment. In particular, FIG. **37** shows the switching signal (labeled PWM1 H) applied to upper FET **803-1** and the switching signal (labeled PWM1 L) applied to lower FET **803-2**. Although not illustrated for simplicity, there is a dead time between PWM1 H and PWM1 L to ensure that both FETs **803** are not on at the same time. FIG. **17** also shows the measured load voltage/current (labeled OUTPUT). Both the load voltage and the load current will be a sinusoidal waveform, although they may be out of phase, depending on the impedance of the load **819**. As shown, the load current and load voltage are at the same drive frequency ( $f_d$ ) as the switching signals (PWM1 H and PWM1 L) used to switch the FETs **803**. Normally, when sampling a sinusoidal signal, it is necessary to sample the signal at a rate corresponding to at least twice the frequency of the signal being sampled—i.e. two samples per period. However, as the controller **841** knows the frequency of the switching signals, the synchronous sampling circuit **851** can sample the measured voltage/current signal at a lower rate. In this embodiment, the synchronous sampling circuit **851** samples the measured signal once per period, but at different phases in adjacent periods. In FIG. **37**, this is illustrated by the “I” sample and the “Q” sample. The timing that the synchronous sampling circuit **51** makes these samples is controlled, in this embodiment, by the two control signals PWM2 and PWM3, which have a fixed phase relative to the switching signals (PWM1 H and PWM1 L) and are out of phase with each other (preferably by quarter of the period as this makes the subsequent calculations easier). As shown, the synchronous sampling circuit **851** obtains an “I” sample on every other rising edge of the PWM2 signal and the synchronous sampling circuit **851** obtains a “Q” sample on every other rising edge of the PWM3 signal. The synchronous sampling circuit **851** generates the PWM2 and PWM3 control signals from the square wave signal output by the square wave generator **863** (which is at the same frequency as the switching signals PWM1 H and PWM1 L). Thus control signals PWM2 and PWM3 also changes (whilst their relative phases stay the same). In this way, the sampling circuitry **851** continuously changes the timing at which it samples the sensed voltage and current signals as the frequency of the drive signal is changed so that the samples are always taken at the same time points within the period of the drive signal. Therefore, the sampling circuit **851** is performing a “synchronous” sampling operation instead of a more conventional sampling operation that just samples the input signal at a fixed sampling rate defined by a fixed sampling clock.

The samples obtained by the synchronous sampling circuitry **851** are then passed to the power,  $V_{rms}$  and  $I_{rms}$  calculation module **853** which can determine the magnitude and phase of the measured signal from just one “I” sample and one “Q” sample of the load current and load voltage. However, in this embodiment, to achieve some averaging, the calculation module **853** averages consecutive “I” samples to provide an average “I” value and consecutive “Q” samples to provide an average “Q” value; and then uses the average I and Q values to determine the magnitude and phase of the measured signal (in a conventional manner). As those skilled in the art will appreciate, with a drive frequency of about 400 kHz and sampling once per period means that the synchronous sampling circuit

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**851** will have a sampling rate of 400 kHz and the calculation module **853** will produce a voltage measure and a current measure every 0.01 ms. The operation of the synchronous sampling circuit **851** offers an improvement over existing products, where measurements can not be made at the same rate and where only magnitude information is available (the phase information being lost).

In one embodiment, the RF amplifier and drive circuitry for the electrosurgical medical instrument **100** employs a resonant mode step-up switching regulator, running at the desired RF electrosurgical frequency to produce the required tissue effect. The waveform illustrated in FIG. **18** can be employed to boost system efficiency and to relax the tolerances required on several custom components in the electronics system **400**. In one embodiment, a first generator control algorithm may be employed by a resonant mode switching topology to produce the high frequency, high voltage output signal necessary for the medical instrument **100**. The first generator control algorithm shifts the operating frequency of the resonant mode converter to be nearer or farther from the resonance point in order to control the voltage on the output of the device, which in turn controls the current and power on the output of the device. The drive waveform to the resonant mode converter has heretofore been a constant, fixed duty cycle, with frequency (and not amplitude) of the drive waveform being the only means of control.

FIG. **38** illustrates a drive waveform for driving the FET gate drive circuitry **805**, according to one embodiment. Accordingly, in another embodiment, a second generator control algorithm may be employed by a resonant mode switching topology to produce the high frequency, high voltage output signal necessary for the medical instrument **100**. The second generator control algorithm provides an additional means of control over the amplifier in order to reduce power output in order for the control system to track the power curve while maintaining the operational efficiency of the converter. As shown in FIG. **38**, according to one embodiment, the second generator control algorithm is configured to not only modulate the drive frequency that the converter is operating at, but to also control the duty cycle of the drive waveform by duty cycle modulation. Accordingly, the drive waveform **890** illustrated in FIG. **38** exhibits two degrees of freedom. Advantages of utilizing the drive waveform **890** modulation include flexibility, improved overall system efficiency, and reduced power dissipation and temperature rise in the amplifier’s electronics and passive inductive components, as well as increased battery life due to increased system efficiency.

FIG. **39** illustrates a diagram of the digital processing system **900** located on the first substrate **408a**, according to one embodiment. The digital processing system **900** comprises a main processor **902**, a safety processor **904**, a controller **906**, a memory **908**, and a non-volatile memory **402**, among other components that are not shown for clarity of disclosure. The dual processor architecture comprises a first operation processor referred to as the main processor **902**, which is the primary processor for controlling the operation of the medical instrument **100**. In one aspect, the main processor **902** executes the software instructions to implement the controller **841** shown in FIG. **22**. In one embodiment, the main processor **902** also may comprise an analog-to-digital (A/D) converter and pulse width modulators (PWM) for timing control.

The main processor **902** controls various functions of the overall medical instrument **100**. In one embodiment, the main processor receives voltage sense (V Sense) and current sense (I Sense) signals measured at the load (represented by the load resistance  $R_{load}$  **819** in FIG. **22**) corresponding to the imped-

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ance of the forceps' jaws and any tissue or vessel gripped by the forceps 108. For example, the main processor 902 receives the V Sense and I Sense signals for the voltage sensing circuitry 843 and current sensing circuitry 845, as shown in FIG. 15. The main processor 902 also receives tissue temperature (T sense) measurement at the load. Using the V Sense, I Sense, and T Sense, the processor 902 can execute a variety of algorithms to detect the state of the tissue based on impedance Z, where  $Z = V \text{ Sense} / I \text{ Sense}$ . In one embodiment, the medical instrument 100 is frequency agile from about 350 kHz to about 650 kHz. As previously discussed, the controller 841 changes the resonant operating frequency of the RF amplifier sections, controlling the pulse width modulation (PWM), reducing the output voltage (V) to the load, and enhancing the output current (I) to the load as described in connection with FIGS. 22 and 36-38, for example.

Examples of frequency agile algorithms that may be employed to operate the present surgical instrument 100 are described in the following commonly owned U.S. Patent Applications, each of which is incorporated herein by reference in its entirety: (1) U.S. patent application Ser. No. 12/896,351, entitled DEVICES AND TECHNIQUES FOR CUTTING AND COAGULATING TISSUE, now U.S. Patent Application Publication No. 2011-0082486; (2) U.S. patent application Ser. No. 12/896,479, entitled SURGICAL GENERATOR FOR ULTRASONIC AND ELECTROSURGICAL DEVICES, now U.S. Pat. No. 8,956,349; (3) U.S. patent application Ser. No. 12/896,345, entitled SURGICAL GENERATOR FOR ULTRASONIC AND ELECTROSURGICAL DEVICES, now U.S. Patent Application Publication No. 2011-0087212; (4) U.S. patent application Ser. No. 12/896,384, entitled SURGICAL GENERATOR FOR ULTRASONIC AND ELECTROSURGICAL DEVICES, now U.S. Pat. No. 8,951,248; (5) U.S. patent application Ser. No. 12/896,467, entitled SURGICAL GENERATOR FOR ULTRASONIC AND ELECTROSURGICAL DEVICES, now U.S. Patent Application Publication No. 2011-0087215; (6) U.S. patent application Ser. No. 12/896,451, entitled SURGICAL GENERATOR FOR ULTRASONIC AND ELECTROSURGICAL DEVICES, now U.S. Pat. No. 9,039,695; (7) U.S. patent application Ser. No. 12/896,470, entitled SURGICAL GENERATOR FOR ULTRASONIC AND ELECTROSURGICAL DEVICES, now U.S. Patent Application Publication No. 2011-0087217; and (8) U.S. patent application Ser. No. 12/503,775, entitled ULTRASONIC DEVICE FOR CUTTING AND COAGULATING WITH STEPPED OUTPUT, now U.S. Pat. No. 8,058,711.

In one embodiment, the main processor 902 also detects the limit switch end of stroke position (Lmt Sw Sense). The limit switch is activated when the knife reaches the end of stroke limit. The signal generated by the limit switch Lmt Sw Sense is provided to the main processor 902 to indicate the end-of-stroke condition of the knife.

In one embodiment, the main processor 902 also senses an actuation signal (Reed Sw Sense) associated with the magnetically operated element 606 located on the electronics system 400. As previously described the magnetically operated element 606 is initially actuated when the initialization clip 600, 650 is removed. When the Reed Sw Sense is detected by the main processor 902, an algorithm is executed to control the operation of the medical instrument 100. One embodiment of such an algorithm is described in more detail hereinbelow. Further, on initial power up, when the magnetically operated element 606 connects the battery 300 supply to the electronics system 400, a low resistance load is applied to the terminals of the battery 300 to check the internal resistance of the battery 300. This enables the main processor 902

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to determine the charge state of the battery 300 or in other words, determines the ability of the battery 300 to deliver power to the electronics system 400. In one embodiment, the main processor 902 may simply determine the absolute value of the difference between the unloaded and loaded battery 300. If the main processor 902 determines that the battery 300 does not have enough capacity to deliver a suitable amount of power, the main processor 902 disables the medical instrument 100 and outputs a Discharge Battery signal, as discussed in more detail hereinbelow, to controllably discharge the battery 300 such that it cannot be reused and is classified as an out-of-the box failure.

In one embodiment, as part of the algorithm, the main processor 902 enables one or more visual feedback elements 118. As shown in FIG. 39, the visual feedback elements 118 comprise at least one red LED, at least one green LED, and at least one blue LED. Each of the LEDs are energized based on algorithms associated with the medical instrument 100. The main processor 902 also actuates an audio feedback element 410 based on algorithm associated with the medical instrument 100. In one embodiment, the audio feedback element 410 includes a piezoelectric buzzer operating at 65 dBA at 1 meter at a frequency between about 2.605 kHz to 2.800 kHz, for example. As previously discussed, the visual and audio feedback elements 118, 410 are not limited to the devices disclosed herein and are intended to encompass other visual and audio feedback elements.

In one embodiment, the main processor 902 executes battery shut-off and battery-drain/kill algorithms to shut-off the instrument 100 and/or drain the battery 300 under certain conditions described below. The algorithms monitor instrument usage and battery voltage and trigger shutdown of the instrument 100 and the drain the battery 300 in the event of unrecoverable faults or as a natural way to shutdown the instrument 100 and drain the battery 300.

In one embodiment, an unrecoverable event triggers the medical instrument 100 to shutdown and drain the battery 300. Events that can trigger the medical instrument 100 to shutdown and drain the battery 300 include, without limitation, (1) the detection of five consecutive firing short circuits; (2) activation of RF power when the activation button 114 is not pressed; (3) activation of RF power without activation of the audible feedback; (4) activation of the audible feedback without RF power; (5) the switch is stuck at power up for >30 seconds; (6) the resting voltage of the battery 300 is less than 10.848V after any firing; and (7) three consecutive firings that are over or under the established load curve extremes of +/-20%.

In one embodiment, the medical instrument 100 may be shutdown and the battery 300 drained as a result natural usage of the instrument 100, which includes, without limitation: (1) when the medical instrument 100 completes five firings after detecting a resting voltage of the battery 300 of 11.02V; (2) after the clip 600, 650 has been removed from the medical instrument 100, if the instrument 100 has completed a real firing (more than three joules and the user gets the cycle complete tone 3) and if the user replaces the initialization clip 600, 650 on the instrument 100, the instrument 100 will no longer be useable when they clip 600, 650 is once again removed from the instrument 100; (3) when the user depresses the disposal button 120 located on the bottom of the handle 104 of the instrument 100 for four seconds; (4) upon reaching a time limit: (a) after at least eight hours of use and if not used between hours six through eight, the instrument 100 it will shutdown; and (b) if used at least once between hours six and eight, the instrument 100 will extend the time limit to ten hours and then shutdown.

In one embodiment, the main processor **902** provides certain output signals. For example, one output signal is provided to the circuitry to discharge the battery **300** (Discharge Battery) signal. This is explained in more detail with reference to FIG. **40**. There may be a need to discharge the battery **300** under several conditions according to algorithms associated with the medical instrument **100**. Such conditions and algorithm are discussed in more detail hereinbelow. In one embodiment, the battery **300** used to power the medical instrument **100** has an initial out of the box capacity ranging from about 6 to about 8 hours up to about 10 hours under certain circumstances. After a medical procedure, some capacity will remain in the battery **300**. Since the battery **300** is designed as a single use battery and is not rechargeable, the battery **300** is controllably discharged after use to prevent reuse of the medical instrument **100** when the battery **300** has a partial capacity.

In one embodiment, the main processor **902** can verify the output voltage (V) and current (I) sensing function by an artificial injection of voltage and current into the load. The main processor **902** then reads back the voltage and current from the load and determines whether the medical instrument **100** can operate or fail in safe mode. In one embodiment, the test voltage and current are applied to the dummy load via an electronically controlled switch. For example, the electronic switch may comprise a two-pole relay. The main processor **902** verifies the output sensing function once per hour when it is inactive and once prior to every firing. It will be appreciated that these periods may vary based on the particular implementation. To verify the output sensing function, the main processor **902** outputs inject test voltage (Inject Test V) and inject test current (Inject test I) signals to the output sensing test circuit described in connection with FIG. **41** hereinbelow. As previously described, the main processor **902** reads the sensed voltage and current signals V Sense and I Sense to determine the operation of the voltage (V) and current (I) sensing function of the medical instrument **100**.

The main processor **902** is also coupled to a memory **908** and the nonvolatile memory **402**. The computer program instructions executed by the main processor **902** are stored in the nonvolatile memory **902** (e.g., EEPROM, FLASH memory, and the like). The memory **908**, which may be random access memory (RAM) may be used for storing instructions during execution, measured data, variables, among others. The memory **908** is volatile and its contents are erased when the battery **300** is discharged below a predetermined voltage level. The nonvolatile memory **402** is nonvolatile and its contents are not erased when the battery **300** is discharged below a predetermined level. In one embodiment, it may be desirable to erase the contents of the nonvolatile memory **402** to prevent its reuse, for example, when the medical instrument **100** has already been utilized in a procedure, the instrument **100** is determined to be an out-of-the box failure, or when the instrument **100** otherwise fails. In each of these circumstances, the main processor **902** initiates a battery **300** discharge operation. In such circumstances, program instructions in the nonvolatile memory **402** for erasing nonvolatile memory are transferred to the memory **908** where program execution resumes. The instructions executed from the memory **908** then erase the contents of the nonvolatile memory **402**.

The safety processor **904** is coupled to the main processor **902** and monitors the operation of the main processor **902**. If the safety processor **904** determines a malfunction of the main processor **902**, the safety processor **904** can disable the operation of the main processor **902** and shuts down the medical instrument **100** in a safe mode.

The controller **906** is coupled to both the main processor **902** and the safety processor **904**. In one embodiment, the controller **906** also monitors the operation of the main processor **902** and if the main processor **902** loses control, the controller **906** enables the safety processor to shut down the RF amplifier section in a safe manner. In one embodiment the controller **906** may be implemented as complex programmable logic device (CPLD), without limitation.

To preserve or extend the life of the battery **300**, the main processor **902**, the safety processor **904**, and/or the controller **906** may be powered down (e.g., placed in sleep mode) when they are not in use. This enables the digital processing system **900** to conserve energy to preserve or extend the life of the battery **300**.

In various embodiments, the main processor **902**, the safety processor **904**, or the controller **906** may comprise several separate functional elements, such as modules and/or blocks. Although certain modules and/or blocks may be described by way of example, it can be appreciated that a greater or lesser number of modules and/or blocks may be used and still fall within the scope of the embodiments. Further, although various embodiments may be described in terms of modules and/or blocks to facilitate description, such modules and/or blocks may be implemented by one or more than one hardware component, e.g., processor, Complex Programmable Logic Device (CPLD), Digital Signal Processor (DSP), Programmable Logic Devices (PLD), Application Specific Integrated Circuit (ASIC), circuits, registers and/or software components, e.g., programs, subroutines, logic and/or combinations of hardware and software components.

In one embodiment, the digital processing system **900** may comprise one or more embedded applications implemented as firmware, software, hardware, or any combination thereof. The digital processing system **900** may comprise various executable modules such as software, programs, data, drivers, application program interfaces (APIs), and so forth. The firmware may be stored in the nonvolatile memory **402** (NVM), such as in bit-masked read-only memory (ROM) or flash memory. In various implementations, storing the firmware in ROM may preserve flash memory. The NVM may comprise other types of memory including, for example, programmable ROM (PROM), erasable programmable ROM (EPROM), electrically erasable programmable ROM (EEPROM), or battery backed random-access memory **908** (RAM) such as dynamic RAM (DRAM), Double-Data-Rate DRAM (DDRDRAM), and/or synchronous DRAM (SDRAM).

FIG. **40** illustrates a battery discharge circuit **1000**, according to one embodiment. Under normal operation line **1004** is held at a low potential and a current control device, such as a silicon controlled rectifier **1002**, is in the OFF state and the battery voltage  $V_{batt}$  is applied to the electronics system **400** since no current flows from the anode "A" to the cathode "C" of the silicon controlled rectifier **1002**. When, a high potential control signal "Discharge Battery" is applied by the main processor **902** on line **1004**, the gate "G" of the silicon controlled rectifier **1002** is held high by capacitor  $C_1$  and the silicon controlled rectifier **1002** conducts current from the anode "A" to the "C." The discharge current is limited by resistor  $R_4$ . In alternate embodiments, rather than using the silicon controlled rectifier **1002**, the current control device may be implemented using one or more diodes, transistors (e.g., FET, bipolar, unipolar), relays (solid state or electromechanical), optical isolators, optical couplers, among other electronic elements that can be configured to for an electronic switch to control the discharge of current from the battery **300**.

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FIG. 41 illustrates a RF amplifier section with an output sensing test circuit and magnetic switch element, according to one embodiment. As previously discussed, in one embodiment, the main processor 902 can verify the output current (I) and output voltage (V) sensing function by injecting a corresponding first test current 1102 and second test current 1104 into a dummy load 1114. The main processor 902 then reads back the corresponding output sense current (I Out Sense 1) through current sense terminal 1120 and output sense current (I Out Sense 2) through voltage sense terminal 1122 from the dummy load 1114 and determines whether the medical instrument 100 can operate or fail in safe mode. In one embodiment, the test current and voltage are applied to the dummy load via electronically controlled switches such as FET transistors, solid state relay, two-pole relay, and the like. The main processor 902 verifies the output sensing functions once per hour when it is inactive and once prior to every firing. It will be appreciated that these periods may vary based on the particular implementation.

To verify the output sensing function, the main processor 902 disables the operation of the RF amplifier section 1112 by disabling the driver circuit 1116. Once the RF amplifier section 1112 is disabled, the main processor 902 outputs a first inject test current (Inject Test I) signal and a second inject test voltage (Inject Test V) signal to the output sensing test circuit 1100. As a result a first test current 1102 is injected into resistors that turn ON transistor T1 1106, which turns ON transistor T2 1108 to generate I Out Sense 1 current through the transistor T2 1108. The current I Out Sense 1 flows out of the current sense terminal 1120 and is detected by the main processor 902 as the I Sense signal. A second test current 1104 is applied through the input section of a solid state relay 1110 (SSR). This causes a current I Out Sense 2 to flow through the dummy load 1114. The current I Out Sense 2 flows out of the current sense terminal 1122 and is detected by the main processor 902 as the V Sense signal. The dummy load 1114 load comprises a first voltage divider network comprised of resistors R1-R4 and a second voltage divider network comprised of R5-R8. As previously described, the main processor 902 reads the sensed voltage and current signals V Sense and I Sense to determine the operation of the voltage (V) and current (I) sensing function of the medical instrument 100.

In one embodiment, the magnetically actuated element 606, which works in conjunction with the magnet 602 located in the clip 600, 650. As shown in FIG. 41, in one embodiment, the magnetically operated element 606 may be implemented as a reed switch 1118. The reed switch 1118 electrically disconnects the battery power from the electronics system 400 while it is held in a first state by the magnetic flux generated by the magnet 602. When the magnet 602 is removed and the magnetic flux does not influence the reed switch 1118, battery power is connected to the electronics system 400 and the system undergoes an initialization algorithm, as described hereinbelow.

Before the describing the initialization algorithm, several connection options for the battery 300 are now described with reference to FIGS. 42-47. As previously discussed, the electronics system 400 will not be powered when undergoing sterilization, and will draw no current. In one embodiment, the electronics system 400 is disabled by a magnetically operated element located in the clip 600, 650, one example of which is the reed switch 1118 shown in FIG. 41, and the magnet 602 which is encased in the clip 600 and the tilted magnetic pocket 654 of the clip 650. The clip 600, 650 is fitted to the medical instrument 100 as part of the manufacturing process, and must be removed to enable power from the

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battery 300. When powered, in the idle condition the load circuit draws an average of about 10 mA, with peaks of up to about 65 mA. When the activation button 114 is pressed, the device draws an average of about 5 A, with peaks of about 15.5 A from the battery 300. When packaged, the jaws are closed and there is no material between them. The voltage generated across the jaws is about 85V rms. This arrangement means there are two methods for preventing the generation of high voltages or currents—the magnetic clip 600, 650 is the primary disabling mechanism, and the activation button 114 is the second.

As previously discussed, certain sections of the hardware circuits may be shut down or placed in sleep mode to conserve energy and thus extend the life of the battery 300. In particular, amplifier circuits associated with the injection of the test current and test voltage and sensing the output sense currents may be placed in sleep mode or periodically shut down to conserve energy.

FIG. 42 illustrates a fused battery connected to a substrate-mounted field effect transistor (FET), according to one embodiment. In the embodiment shown in FIG. 42, a battery connection circuit 1200 comprises the battery 300, the magnet 602, the reed switch 1118, an FET 1202, two resistors R1, R2 1204, 1206, and the electronics system 400. In this implementation, when the protective clip 600 is removed, the reed switch 1118 closes, enabling current to flow through the control FET 1202, thus coupling the electronics system 400 to the return (−) terminal of the battery 300. Leakage current through the FET is approximately 1 uA. The battery is coupled to the (+) and (−) terminals via corresponding fuses 1208, 1210.

FIG. 43 illustrates a fused battery connected to a substrate-mounted control relay, according to one embodiment. In the embodiment shown in FIG. 43, a battery connection circuit 1300 comprises the battery 300, the magnet 602, the reed switch 1118, and a control relay 1302 comprising a primary winding 1304 that controls a switch 1306. In this implementation, when the protective clip 600 is removed, the reed switch 1118 closes, energizing the relay 1302 and connecting the electronics system 400 to the return (−) terminal of the battery 300. Leakage current is zero, because the switch 1306 is physically open when the primary winding 1304 is not energized. The operating current, however, to hold the relay 1304 open is approximately 5 mA, which could involve increasing battery size.

FIG. 44 illustrates a potted fused battery connected to a substrate-mounted FET, according to one embodiment. The connection circuit 1400 is similar to the connection circuit 1200 shown in FIG. 42, but with the top of the battery 300 potted in potting compound 1402. Thus, EtO sterilization gas will have no access to the individual battery cells 300a, 300b, 300c, and the first exposed contact is to the fused contacts.

FIG. 45 illustrates a potted fused battery connected to a substrate-mounted control relay, according to one embodiment. The connection circuit 1500 is similar to the connection circuit 1300 shown in FIG. 23, but with the top of the battery 300 potted in potting compound 1402. Thus, EtO sterilization gas will have no access to the individual battery cells 300a, 300b, 300c, and the first exposed contact is to the fused contacts.

FIG. 46 illustrates a potted fused battery including a reed relay and control FET, according to one embodiment. The connection circuit 1600 is similar to the connection circuit 1400 shown in FIG. 24, but with reed relay 1118 and the control FET 1202 included in the potting compound 1402 as well as the top of the battery 300. Thus, EtO sterilization gas will have no access to the individual battery cells 300a, 300b,

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300c, the reed relay 1118, and the control FET 1202, and the first exposed contact is to the fused contacts.

FIG. 47 illustrates a potted fused battery including a reed relay and control relay, according to one embodiment. The connection circuit 1700 is similar to the connection circuit 1500 shown in FIG. 45, but with reed relay 1118 and the control relay 1302 included in the potting compound 1402 as well as the top of the battery 300. Thus, EtO sterilization gas will have no access to the individual battery cells 300a, 300b, 300c, the reed relay 1118, and the control relay 1302, and the first exposed contact is to the fused contacts.

Having described various systems associated with the medical instrument 100, the description now turns to a user interface specification of the medical instrument 100, according to one embodiment. Accordingly, in one embodiment, the medical instrument 100 comprises visual feedback elements 118a, 118b. In one embodiment, the visual feedback elements 118a, 118b each comprises RED, GREEN, BLUE (RGB) LEDs as shown in FIG. 39.

The state of the medical instrument 100 can be determined by the state of the visual feedback elements 118a, 118b as follows:

Solid Green: indicates that the medical instrument 100 is ready to be used, everything is functioning normally.

Flashing Green: indicates that medical instrument 100 is ready to be used, but there is only enough energy for a limited, e.g., low, number of operations such as transections remaining (a minimum of 5 transections are left when flashing first begins). In one embodiment, the rate of flashing is 300 ms on, 300 ms off, 300 ms on, 300 ms off.

Solid Blue: indicates that energy is being delivered to the medical instrument 100.

Solid Red: indicates a terminal failure and the medical instrument 100 can no longer be used. Energy is not being delivered to the medical instrument 100. All Solid Red light conditions have a 4 second timeout; after which the LED goes OFF. Power cannot be activated when the LED is Solid Red—can only activate power when LED is Green or Flashing Green.

Flashing Red: indicates a fault that may be recoverable and to wait for the light to change to Green or Red before operation can be resumed. Energy is not being delivered to the medical instrument 100 when the LED is Flashing Red. The rate of flashing is 300 ms on, 300 ms off, 300 ms on, 300 ms off. Power cannot be activated when the LED is Flashing Red—can only activate power when the LED is Green or Flashing Green.

OFF: If before the plastic clip 600 has been removed, indicates that device has not yet been powered ON by remov-

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ing the clip 600. If any time after the clip 600 has been removed, indicates that device is permanently powered OFF, and can be disposed of.

In one embodiment, the medical instrument 100 comprises an audio feedback element 410. The state of the medical instrument 100 can be determined by the state of the audio feedback element 410 as follows:

Power ON Tone: indicates that the medical instrument 100 has been powered ON. This occurs when the plastic clip 600 is removed. The audio feedback element 410 emits an audible 2.55 kHz 800 ms beep.

Activation Tone: indicates that energy is being delivered. This occurs when the hand activation button 114 is pressed by the user. The audio feedback element 410 emits an audible 2.55 kHz 150 ms beep, 200 ms pause, 2.55 kHz 150 ms beep, 200 ms pause, and so on. The beeping pattern continues as long as power is being activated and upper impedance limit has not been reached.

Activation Tone 2: indicates that the upper impedance threshold has been reached. This occurs when the hand activation button 114 is pressed by user, and the upper impedance limit has been reached. The audio feedback element 410 emits an audible 2.8 kHz 150 ms beep, 200 ms pause, 2.8 kHz 150 ms beep, 200 ms pause, and so on. The Tone 2 beeping pattern latches. After it has been reached, it continues as long as power is being activated or until Cycle Complete.

Cycle Complete Tone: indicates that the activation cycle is complete. The audio feedback element 410 emits an audible 2.8 kHz 800 ms beep.

Alert Tone: indicates an alert. The LED visual feedback element 118a, 118b provides further information. The audio feedback element 410 emits an audible 2.9 kHz 250 ms beep, 50 ms pause, 2.55 kHz 350 ms beep, 200 ms pause, 2.9 kHz 250 ms beep, 50 ms pause 2.55 kHz 350 ms beep, 200 ms pause, 2.9 kHz 250 ms beep, 50 ms pause, 2.55 kHz 350 ms beep. The two-tone beep repeats three times, then does not repeat after that). Power to the medical instrument 100 cannot be activated until “alert” sound has completed.

Timeout: indicates that activation cycle has timed out. Reactivate to continue. The audio feedback element 410 emits an audible 2.8 kHz 50 ms beep, 50 ms pause, 2.8 kHz 50 ms beep.

Solid Tone: indicates that the user disable button is being pressed. The audio feedback element 410 emits an audible continuous 2.55 kHz tone while being held, up to 4 seconds.

TABLE 3 below summarizes one embodiment of a user interface indicating the status (e.g., event/scenario) of the medical instrument 100 and the corresponding visual and audible feedback provided by the user interface.

TABLE 3

Device Status	LED Feedback	Audible Feedback	Notes
Power is OFF	No light	None	
Turn power ON by removing the initialization Clip.	Solid Green Illuminates immediately when initialization Clip is removed. Indicates device is ready to be used, everything functioning normally.	One long beep immediately when initialization Clip is removed indicates power in ON.	
Power is ON but not being activated, device ready, above the “low transections remaining” threshold.	Solid Green Device ready to be used, everything functioning normally.	None	
Power ON, device ready, power not being activated, below the “low	Flashing Green Indicates that device is ready to be used, but a low number of transections remain; a minimum of	Two tone beeps: Indicates an alert: look at LED indicators for	

TABLE 3-continued

Device Status	LED Feedback	Audible Feedback	Notes
transactions remaining” threshold.	five transactions are left when flashing first begins.	further information.	
Power ON, device ready, power not being activated, “No transactions remaining”	Solid Red Indicates a terminal failure, device can no longer be used. Energy is not being delivered.	Two tone beeps: Indicates an alert: look at LED indicators for further information.	
Activating power	Solid Blue Energy is being delivered.	Continuous beeping during power activation. Indicates energy is being delivered.	Feedback for activation power is not affected by the ‘low transactions remaining’ threshold- behaves the same whether above or below threshold
Activation cycle timeout occurs after 25 sec of power activation without reaching Cycle Complete.	Solid Blue until two short beep sound completes, then changes to Solid Green. Indicates device is ready to be used, everything functioning normally.	Two short beeps. Indicates that the activation cycle has timed out. Reactivate to continue.	Upon detection of timeout, activation beeping will be immediately interrupted. After the interruption of activation beeping there will be a 100 ms pause. After the 100 ms pause, there will be the “Timeout” audio feedback.
Cycle Complete	Solid Blue until Cycle Complete sound finishes, then changes to Solid Green.	One long beep. Indicates that activation cycle is complete.	Cycle complete sound should occur after the first (200 ms) activation beeping pause following system detection of cycle complete.
Short Detected	Flashing Red, while in short circuit mode. LED returns to Solid Green when short circuit mode is cleared, or goes to Solid Red if terminal failure. Flashing Red indicates a fault that may be recoverable: wait for LED to change to Green or Red. Energy is not being detected when LED is Flashing Red.	Two tone beeps. Indicates an alert: look at LED indicators for further information.	There will be a “five strikes and out” approach to this condition: if a short is detected on five consecutive activations, the fifth detection will result in a terminal system failure. Upon detection of short, the activation beeping will be immediately interrupted. After the interruption of activation beeping there will be a 100 ms pause. After the 100 ms pause, there will be the “Alert” audio feedback.
Over-temperature condition	Flashing Red, while in over-temperature condition. LED returns to Solid Green when temperature drops below threshold. Flashing Red indicates a fault that may be recoverable: wait for LED to change to Green or Red. Energy is not being delivered when LED is Flashing Red.	Two tone beeps. Indicates an alert: look at LED indicator for further information.	Upon detection of a temporary over-temperature condition during activation, activation/ beeping will not be interrupted. After the activation/ beeping has been completed, there will be a 100 ms pause. After the 100 ms pause, there will be the

TABLE 3-continued

Device Status	LED Feedback	Audible Feedback	Notes
Terminal System failure	Solid Red LED red light stays on for four seconds and then goes off. Indicates a terminal failure, device can no longer be used. Energy is not being delivered when LED is Solid Red.	Two tone beeps. Indicates an alert: look at LED indicator for further information.	'Alert' audio feedback. Upon detection of terminal system failure, any activation beeping (if applicable) will be immediately interrupted. After the interruption of any activation beeping there will be a 100 ms pause. After the 100 ms pause, there will be the 'Alert' audio feedback. All Solid Red light conditions have a 4 second timeout, after which the LED goes OFF
User initiates device disabling before disposal. Note: User can disable the device by pressing and holding User Disable Button on bottom of handle for four continuous seconds.	While User Disable Button is pressed and held continuously up to four seconds, LED is Flashing Red. After four continuous seconds of User Disable Button being held, LED goes to Solid Red. NOTE: LED red light stays on four seconds and then goes off. Indicates a terminal failure, and device can no longer be used. Energy is not being delivered. If User Disable Button is released at any time before four continuous seconds have passed, LED will return to Solid Green or Flashing Green as appropriate.	Solid continuous tone while pressing and holding User Disable Button continuously up to four seconds. After four continuous seconds of pressing User Disable Button, sound changes to two tone beeps. Indicates an alert: look at LED indicators for further information.	

TABLE 4 below summarizes an additional or alternative embodiment of the status (e.g., event/scenario) of the medical

instrument **100** and the corresponding visual and audible feedback provided by the user interface.

TABLE 4

Device Status	LED Feedback	Audible Feedback	Notes
Power is OFF	No light	None	
Incomplete Cycle: user releases activation button prior to cycle complete and before the 15 second activation timeout.	Solid Blue, until activation button is released, then Solid Green	None	
Power ON, ready, power not being activated, below the Low Transactions Remaining threshold.	Flashing Green	Alert Tone	After the appearance of the Green Flashing LED, there will be 5 transactions remaining. Ad If an activation ends with a detection of an activation timeout, short detection, or over-temperature detection-and-the system has also crossed the low-uses remaining threshold after the same activation-

TABLE 4-continued

Device Status	LED Feedback	Audible Feedback	Notes
			then-the 'Alert' would sound once (as opposed to twice, or multiple times). In terms of alert hierarchy in this scenario in regard to the LED behavior, a short detection or over temperature takes precedence over the low-uses remaining indicator. Once either the short or over-temperature condition is cleared by the system, the LED would then go to Flashing Green to indicate the device is ready to be used, but there are a low number of transactions remaining.
power ON, ready, power not being activated, No Transactions Remaining.	Solid Red	Alert Tone	All Solid Red light conditions have a 4 second timeout, after which the LED goes OFF.
User Disables Device before disposal	Flashing Red while pressing & holding user disable button 120 continuously up to 4 seconds. After four continuous seconds of pressing user disable button, the LED goes to Solid Red, then the LED goes OFF after 4 seconds timeout. If user releases user disable button at any time before 4 continuous seconds, the LED will return to Solid Green	Solid Tone Alert Tone	User can disable the device by pressing & holding the user disable button on the bottom of the handle for 4 continuous seconds. The "Solid Tone" audio feedback is a solid continuous tone that occurs as long as user presses & holds user disable button, up to 4 seconds. If user releases user disable button at any time before 4 continuous seconds, sound goes off. At the end of 4 seconds of continuous pressing, the "Solid Tone" stops, followed by a 100 ms pause, followed by the "Alert" audio feedback. The "Alert" audio feedback is accompanied by the LED changing to Solid Red, then LED goes OFF after 4 second timeout.
Activating Power-Tone 2	Solid Blue	Activation Tone2	Tone2 indicates that the upper impedance limit



TABLE 4-continued

Device Status	LED Feedback	Audible Feedback	Notes
			has been reached during activation, and that the knife is ready to be fully advanced.

FIGS. 48A and 48B is a flow diagram of a process 1800 for initializing the medical instrument 100 fitted with the initialization clip 600, 650, according to one embodiment. As shown in the process 1800, at 1802 the medical instrument 100 is programmed with an application code. The application code is a set of computer instructions stored in the nonvolatile memory 402 that may be executed by the main processor 902, the safety processor 904, the controller 906, or any combination thereof. The Production Test Complete flag is set to FALSE and the Device Used flag also is set to FALSE.

The instrument 100 is then fitted with the clip 600, 650 and is turned OFF at 1804 and the instrument 100 enters what is referred to as the "assembly state." The Production Test Complete flag remains set to FALSE and the Device Used flag also remains set to FALSE.

At 1806 the instrument 100 is placed in the production mode after the clip 600, 650 is removed. In the production mode, the BLUE and GREEN LEDs 118a, b are turned ON and activation is inhibited. The Production Test Complete flag remains set to FALSE and the Device Used flag also remains set to FALSE. A timeout counter is started.

After a 1 second timeout, at 1808 the instrument 100 is still in the production mode, but remains idle. The user interface operates as per normal mode. From the production mode 1808 the process can continue to 1804 or to 1810. If the clip 600, 650 is fitted on the instrument 100 prior to a ten minute timeout, the process 1800 returns to 1804 where the instrument 100 is turned OFF and is placed in the assembly state. After a ten minute timeout period, the process 1800 continues at 1810. The instrument 100 is still in the production mode, but in a low power consumption state. The BLUE and GREEN LEDs 118a, b are intermittently ON (0.1 s ON and 1.9 s OFF). The clip 600, 650 is fitted back on the instrument 100, which turns the instrument 100 OFF, and the process 1800 returns to 1804. If at 1808 the instrument 100 is activated before the clip 600 is restored or before the ten-minute timeout period, the process continues to test mode at 1812. The Production Test Complete flag remains set to FALSE and the Device Used flag also remains set to FALSE. In test mode activations may be limited to four and timeout may be set to ten minutes. Furthermore, upon entry to test mode, the GREEN and BLUE LEDs 118a, b are illuminated for 1 s. Subsequently, the LEDs 118a, b and the audio feedback element 410 follow the user interface specification.

At 1812, the instrument 100 is placed in test mode where the RF amplifier subsection 800 is turned ON. The user interface operates per normal mode. The Production Test Complete flag is set to TRUE and the Device Used flag remains set to FALSE.

From 1812, the clip 600, 650 may be fitted to the instrument 100 turning the instrument 100 OFF and the process 1800 may continue at 1818 where the instrument 100 is placed in a shipping state. The Production Test Complete flag remains set to TRUE and the Device Used flag remains set to FALSE.

From 1812, the process may continue at 1814 after the instrument 100 is de-activated for the first three times. At

10 1814 the instrument 100 is placed in idle mode. The UI operates as pre normal. The Production Test Complete flag remains set to TRUE and the Device Used flag remains set to FALSE. The instrument 100 is activated once more and the process 1800 continues to 1812. The instrument 100 is de-activated a fourth time, the clip 600, 650 is fitted to the instrument 100, and the process 1800 continues to 1816 where the instrument 100 is placed in low power mode and the BLUE and GREEN LEDs 118a, b are flashed intermittently ON (0.1 s ON and 1.9 s OFF). The Production Test Complete flag remains set to TRUE and the Device Used flag remains set to FALSE. The clip 600, 650 is fitted back on the instrument 100, which is turned OFF and placed in the shipping state. The instrument 100 enters low power mode after the instrument 100 has been activated twice by pressing the activation button 114 or following expiration of the 10 minute timeout period.

From 1814, rather than activating the instrument 100, a 10 minute timeout period may be allowed to lapse or the clip 600, 650 may be fitted back on the instrument 100. If the 10 minute timeout period is allowed to lapse, the process 1800 continues 1816. If the clip 600, 650 is fitted back on the instrument, the process 1800 continues at 1818.

From 1818, the instrument 100 may be shipped to the user. Before using the instrument 100, the user has to remove the clip 600, 650 from the instrument 100 and then must activate the instrument 100. After the clip 600, 650 is removed from the instrument 100 but before the activation button 114 is pressed, the process continues at 1820 where the instrument is placed in normal mode but is in idle. The Production Test Complete flag remains set to TRUE and the Device Used flag remains set to FALSE. If the clip 600, 650 is fitted back on the instrument 100, the process 1800 continues back to 1818. If the activation button 114 is activated, however, the process 1800 continues 1822 where the instrument is placed in normal mode and the RF section is turned ON. Now Production Test Complete flag remains set to TRUE and the Device Used flag is set to TRUE. The instrument 100 only gets marked for disposal (Device Used Flag is TRUE) if the instrument 100 has been activated and the limit switch is pressed during normal mode. If the instrument 100 is now de-activated, the process 1800 continues to 1824 where the instrument is placed in normal mode idle. From 1824, if the instrument is activated by pressing the activation button 114, the process 1800 continues at 1822. From either 1822 or 1824, if the clip 600, 650 is fitted back on the instrument 100, the process continues to 1826 where the instrument 100 is turned OFF and enters an end of use state. Both the Production Test Complete flag and the Device Used flag remain set to TRUE. The clip 600, 650 should be removed at the end of test as a final check to ensure the GREEN LED 118a, b comes on. If the RED LED 118a, b comes on instead, the instrument 100 has entered self destruct mode.

From 1826, if the clip 600, 650 is removed, the instrument 100 initiates discharging the battery 300 and the process 1800 continues to 1828 where the battery 300 continues discharging until the battery 300 is fully discharged at 1830. From

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1828, the clip 600, 650 may be fitted back on the instrument 100, in which case, the process 1800 continues to 1826. If any fatal hardware errors occur from any instrument state such as, five short circuits, battery end of life,  $\frac{1}{10}$  hour timeout, disposal switch 120 is pressed for more than 4 seconds, or the battery 300 initiates discharge, the process 1800 continues to 1828.

FIG. 49-57 illustrates the ornamental design for a surgical instrument handle assembly as shown and described, according to one embodiment.

FIG. 49 is a left perspective view of a handle assembly for a surgical instrument.

FIG. 50 is a right perspective view thereof.

FIG. 51 is a left perspective view thereof.

FIG. 52 is a left view thereof.

FIG. 53 is a front view thereof.

FIG. 54 is a right view thereof.

FIG. 55 is a rear view thereof.

FIG. 56 is a top view thereof.

FIG. 57 is a bottom view thereof.

It is worthy to note that any reference to “one aspect” or “an aspect” means that a particular feature, structure, or characteristic described in connection with the aspect is included in at least one aspect. Thus, appearances of the phrases “in one aspect” or “in an aspect” in various places throughout the specification are not necessarily all referring to the same aspect. Furthermore, the particular features, structures or characteristics may be combined in any suitable manner in one or more aspects.

Some aspects may be described using the expression “coupled” and “connected” along with their derivatives. It should be understood that these terms are not intended as synonyms for each other. For example, some aspects may be described using the term “connected” to indicate that two or more elements are in direct physical or electrical contact with each other. In another example, some aspects may be described using the term “coupled” to indicate that two or more elements are in direct physical or electrical contact. The term “coupled,” however, also may mean that two or more elements are not in direct contact with each other, but yet still co-operate or interact with each other.

While certain features of the aspects have been illustrated as described herein, many modifications, substitutions, changes and equivalents will now occur to those skilled in the art. It is therefore to be understood that the appended claims are intended to cover all such modifications and changes as fall within the true scope of the disclosed embodiments.

Various aspects of the subject matter described herein are set out in the following numbered clauses:

1. A medical instrument comprising: a handle for gripping by a user, an end effector coupled to the handle and having at least one electrical contact; a radio frequency (RF) generation circuit coupled to the handle and operable to generate an RF drive signal and to provide the RF drive signal to the at least one electrical contact; wherein the RF generation circuit comprises a parallel resonant circuit.

2. The medical instrument according to clause 1, wherein the RF generation circuit comprises switching circuitry that generates a cyclically varying signal, such as a square wave signal, from a direct current (DC) supply and wherein the resonant circuit is configured to receive the cyclically varying signal and wherein the cyclically varying signal is duty cycle modulated.

3. The medical instrument according to clause 1, comprising a battery compartment for holding one or more batteries for providing power to the RF generation circuit for generating said RF drive signal.

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4. The medical instrument according to clause 3, wherein the battery compartment is configured to hold a module comprising the one or more batteries and the RF generation circuit.

5. A device according to clause 1, further comprising: battery terminals for connecting to one or more batteries; wherein the RF generation circuit is coupled to the battery terminals; wherein the frequency generation circuit comprises: switching circuitry for generating a cyclically varying signal from a potential difference across the battery terminals; and the resonant circuit, being a resonant drive circuit coupled to the switching circuitry and operable to filter the cyclically varying signal generated by the switching circuitry; and wherein the RF drive signal is controlled by an output from said resonant drive circuit.

6. The medical instrument according to clause 1, comprising a control circuit configured to vary the frequency of the RF drive signal.

7. The medical instrument according to clause 1, comprising a control circuit configured to vary the amplitude of the RF drive signal.

8. The medical instrument according to clause 1, comprising a control circuit configured to vary the duty cycle of the RF drive signal.

9. The medical instrument according to clause 8, wherein the control circuit is operable to receive a measurement of the RF drive signal and is operable to vary the frequency of the RF drive signal to control the power, voltage and/or current delivered to the at least one electrical contact of the end effector.

10. The medical instrument according to clause 9, wherein the measurement is obtained from a sampling circuit that samples a sensed voltage or current signal at a sampling frequency that varies in synchronism with the frequency and phase of the RF drive signal.

11. The medical instrument according to clause 10, wherein the frequency at which the sampling circuit is operable to sample the sensed signal is an integer fraction of the frequency of the RF drive signal.

12. The medical instrument according to clause 8, wherein the control circuit is configured to vary the frequency of the RF drive signal around the resonant frequency of the resonant circuit.

13. The medical instrument according to clause 12, wherein the resonant characteristic of the resonant circuit varies with a load connected to the at least one electrical contact and wherein the control circuit is configured to vary the RF drive frequency to track changes in the resonant characteristic of the resonant circuit.

14. The medical instrument according to clause 1, wherein the handle comprises: a control lever to operate the end effector; and an activation button to operate the RF generation circuit and deliver RF energy to the end effector.

15. The medical instrument according to clause 14, comprising a rotation knob coupled to end effector to rotate the end effector about an angle greater than 360°.

16. The medical instrument according to clause 14, comprising at least one visual feedback element to indicate a state of the medical instrument.

17. The medical instrument according to clause 14, comprising an audio feedback element to indicate a state of the medical instrument.

18. The medical instrument according to clause 17, comprising an aperture formed in the handle to provide a path for audio waves to escape an interior portion of the handle.

19. The medical device according to clause 14, comprising a knife lockout mechanism.

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20. The medical device according to clause 14, comprising a clip coupled to the control lever.

21. The medical instrument according to clause 20, comprising a magnet located within the clip.

22. The medical instrument according to clause 21, comprising a magnetically operated element coupled to an electronics system of the medical instrument and a battery of the medical instrument, wherein when the magnet is located within the clip and the clip is coupled to the control lever, the magnetically operated element disconnects the battery from the system electronics.

The invention claimed is:

1. A medical instrument comprising:

a housing;

a control lever rotatably coupled to the housing;

at least one electrical contact;

a radio frequency (RF) generation circuit configured to and operated by a battery and operable to generate an RF drive signal and to provide the RF drive signal to the at least one electrical contact; and

an initialization clip coupled to the housing and the control lever to prevent operation of the RF generation circuit and movement of the control lever.

2. The medical instrument according to claim 1, wherein the initialization clip comprises a first half and a second half fastened by at least one mechanical fastening element.

3. The medical instrument according to claim 2, comprising:

a battery; and

a battery connection circuit coupled to the battery; wherein when the initialization clip is attached to the housing, the battery connection circuit electrically disconnects the battery from the RF generation circuit.

4. The medical instrument according to claim 3, wherein when the initialization clip is removed from the housing, the battery connection circuit electrically couples the battery to the RF generation circuit.

5. The medical instrument according to claim 3, comprising:

a remotely activated switch element coupled between the battery and the battery connection circuit; and

a remote switch activation element supported by the initialization clip.

6. The medical instrument according to claim 5, wherein the remotely activated switch element electrically disconnects the battery from the RF generation circuit when the remote switch activation element is in proximity to the remotely activated switch element.

7. The medical instrument according to claim 5, wherein the remotely activated switch element electrically connects the battery from the RF generation circuit when the remote switch activation element is not in proximity to the remotely activated switch element.

8. The medical instrument according to claim 5, wherein the remotely activated switch element is a magnetically operated element and the remote switch activation element is a magnet.

9. The medical instrument according to claim 8, wherein the magnetically operated element is any one of a reed switch and a Hall-effect sensor.

10. The medical instrument according to claim 5, wherein the battery connection circuit comprises a field effect transistor (FET), wherein when the initialization clip is removed from the housing, the remotely activated switch element closes, enabling current to flow through the FET to couple the RF generation circuit to a return (−) terminal of the battery.

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11. The medical instrument according to claim 5, wherein the battery connection circuit comprises a relay comprising a primary winding that controls a switch, wherein when the initialization clip is removed from the housing, the remotely activated switch element closes, enabling current to flow through the primary winding and closing the switch to couple the RF generation circuit to a return (−) terminal of the battery.

12. The medical instrument according to claim 2, wherein the at least one mechanical fastening element is a snap button.

13. The medical instrument according to claim 2, wherein the initialization clip comprises a chamber to contain a remote switch activation element.

14. The medical instrument according to claim 13, wherein the chamber is tilted.

15. A method of configuring a medical instrument comprising a housing, a control lever rotatably coupled to the housing, at least one electrical contact, a radio frequency (RF) generation circuit configured to be to and operated by a battery and operable to generate an RF drive signal and to provide the RF drive signal to the at least one electrical contact, a memory, and a processor coupled to the memory, the method comprising:

fitting an initialization clip on the housing and the control lever a first time;

storing an application code in the memory, the application code comprising a set of computer instructions to be executed by the processor;

turning the instrument to an off state;

removing the initialization clip;

placing the instrument in production mode; and

fitting an initialization clip on the housing and the control lever a second time.

16. The method according to claim 15, comprising removing the initialization clip to activate the RF generation circuit.

17. The method according to claim 16, comprising:

disabling the RF generation circuit when the initialization clip is refitted to the housing and the control lever; and discharging the battery.

18. A medical instrument comprising:

a housing;

a battery supported by the housing;

a control lever rotatably coupled to the housing;

at least one electrical contact;

a radio frequency (RF) generation circuit coupled to and operated by the battery and operable to generate an RF drive signal and to provide the RF drive signal to the at least one electrical contact;

a battery connection circuit coupled to the battery; and

a remotely activated switch element coupled between the battery and the battery connection circuit;

wherein when an initialization clip is attached to the housing, the battery connection circuit electrically disconnects the battery from the RF generation circuit; and

wherein when the initialization clip is removed from the housing, the battery connection circuit electrically couples the battery to the RF generation circuit.

19. The medical instrument according to claim 18, wherein the remotely activated switch element electrically disconnects the battery from the RF generation circuit when a remote switch activation element is in proximity to the remotely activated switch element.

20. The medical instrument according to claim 18, wherein the remotely activated switch element electrically connects the battery from the RF generation circuit when a remote switch activation element is not in proximity to the remotely activated switch element.

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**21.** The medical instrument according to claim **18**, wherein the remotely activated switch element is a magnetically operated element responsive to a magnet.

\* \* \* \* \*

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UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 9,333,025 B2  
APPLICATION NO. : 13/658790  
DATED : May 10, 2016  
INVENTOR(S) : Monson et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the Title Page:

The first or sole Notice should read --

Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b)  
by 435 days.

Signed and Sealed this  
Eleventh Day of October, 2016

A handwritten signature in black ink, reading "Michelle K. Lee". The signature is fluid and cursive, with the first letters of each name being capitalized and prominent.

Michelle K. Lee  
*Director of the United States Patent and Trademark Office*